

# Development of stand density management diagrams for Chinese fir plantations

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## Abstract

Stand density management diagrams (SDMDs) are powerful tools for the design, display and evaluation of different density management regimes derived without long-term thinning trials in the field. The SDMDs can be used to predict the future stand development based on specific thinning schedules and optimize the forest management. In this study, SDMDs, generated from common one-time inventory data, provided information about the evolution of quadratic mean diameter, stand volume, stem and aboveground biomass with stand development for the widely planted Chinese fir (*Cunninghamia lanceolata* [Lamb.] Hook) plantations in southern China. A system of four equations was fitted simultaneously to the data collected from 74 inventory plots. The relative spacing index was used to characterize the growing stock. These SDMDs can be used by local forest managers to estimate the stand volume, stem and aboveground biomass, and could be the reference tools to determine optimum thinning schedules.

**Keywords:** stand density management diagrams, stand volume, biomass, forest management

## Introduction

An increasing number of forest products, such as timber and bioenergy, are required for the development of society and economy and the improvement of people's lives. To meet these demands, large-scale afforestation and reforestation activities have been conducted in China during recent decades, resulting in large plantation areas constituting nowadays about one-third of total global forest plantation area (SFA, 2008). Chinese fir (*Cunninghamia lanceolata* [Lamb.] Hook) is one of the most important plantation tree species with good timber quality, fast growth and >1000 years of cultivation history in southern China (Zhao et al., 2009; Chen et al., 2013). Currently, Chinese fir plantations cover an area of 8.54 million ha, which corresponds to 21 per cent of the total plantation area in China according to the seventh national forest inventory (Jia et al., 2009). Chinese fir plantations are usually managed in a clear-cutting system with a rotation period of 25 years, with variations from 20 to 30 years depending on site quality (Wu, 1984). Chinese fir is now one of the most important timber species in the Chinese forest product industry. The country has committed to increase its total forest area by 40 million hectare in the next few decades, and the number and area of Chinese fir plantations will likely increase as well (Xu, 2011; Hu et al., 2014). In order to improve timber output and economic benefits, as well as ecological functions, suitable forest management is required.

37 Thinning plans are essential to control tree competition, resulting in an improvement in stand stability and wood  
38 quality. To achieve these goals, stand density management diagrams (SDMDs) have widely been used in forest  
39 plantation management (Castedo-Dorado et al., 2009; Schnell et al., 2012; Castaño-Santamaría et al., 2013).

40 Stand density management, conceptually, is the process of controlling tree competition through density regulation  
41 to meet different management targets (Newton, 1997). Normally, stand development is displayed by an increase  
42 of tree dimensions with decreasing numbers of trees per hectare (Long et al., 2004). One useful approach to  
43 describe stand development is the application of SDMDs, which integrate the relationship between stand density,  
44 stand structure, canopy dynamics and production efficiency. This application links quantitative silviculture to  
45 ecology (Jack and Long, 1996). In contrast to long-term observation plots, SDMDs can quickly be adapted to  
46 different site conditions and management objectives, overcoming the restrictions of complex and time-consuming  
47 measurement series in the field that are commonly focused on specific site conditions and silvicultural targets  
48 (Schnell et al., 2012). Therefore, the application of SDMDs can be considered as one of the most effective methods  
49 for the design, display and evaluation of different density management regimes in even-aged stands (Jack and  
50 Long, 1996). Nevertheless, real observations of field thinning experiments that look into cause and effect  
51 mechanisms are certainly the best and most reliable way to design thinning (Schnell et al., 2012). On the other  
52 hand, in the absence of long-term observations or experiments, SDMDs offer the possibility of deriving basic  
53 stand growth models whenever immediate results with limited resources are needed.

54 SDMDs represent a system of empirical quantitative functions that illustrate the cumulative effects of various  
55 competition processes on tree and stand yield variables (Newton and Amponsah, 2005). The main axes usually  
56 are the average size of tree attributes (e.g. the height of dominant trees, diameter or volume) and stand density.  
57 The first SDMD was proposed by Ando (1962), who presented competition and yield functions (based on the  
58 stand density) and the self-thinning rule in a two-dimensional graphical format. Afterwards, yield variables in the  
59 form of stem biomass, total aboveground biomass (Barrio-Anta et al., 2006), empirical-based volume functions  
60 (Newton and Weetman, 1994), above-ground bioenergy and cellulose production (Pérez-Cruzado et al., 2011)  
61 have been included in SDMDs for different forest types. SDMDs were also used to control shrub growth in the  
62 early stages of stand development (Smith, 1989), reduce stand susceptibility to pests (Long and Shaw, 2005),  
63 assess crown fire potential (Gomez-Vazquez et al., 2014) and optimize wildlife habitats (Sturtevant et al., 1996).

64 Although the importance of stand management in Chinese fir plantations has been highlighted for several decades,  
65 there is no realistic, simple, and easy to follow operating guidance to implement management. Thinning is  
66 considered to be a good management practice to improve stand production in Chinese fir plantations based in  
67 some case studies (Bingshuan, 1989; Liu and Yang, 2008); unfortunately, these approaches remain at a theoretical  
68 level. As a result, forest managers and owners have difficulty applying these silvicultural treatments because of a  
69 lack of forest decision support tools to design, display, evaluate and optimize stand management for different  
70 purposes. SDMDs can overcome these limitations and allow managers to simulate different silvicultural  
71 alternatives. However, to our knowledge, no SDMD has yet been proposed for Chinese fir plantations. Thus, the  
72 objective of this study was to develop SDMDs for Chinese fir plantations based on a relative spacing index to  
73 characterize stand volume, stem and aboveground biomass. These SDMDs allow forest managers to estimate  
74 growth and yield variables of Chinese fir plantations under several management regimes and implement thinning  
75 schedules for a wide range of site qualities and management objectives. This study can also fill the gap between

76 the theoretical and practical management of Chinese fir plantations and can be a reference tool to optimize stand  
77 management.

## 78 **Materials and methods**

### 79 **Study area**

80 The study was conducted in Shitai county (29° 59' –30° 24' N, 117° 12' – 117° 59' E, Figure 1), Anhui province,  
81 China. Eighty per cent of this county is covered by forest. It is a mountainous area with an elevation range of 50  
82 – 1000 m and steep slopes with an average of 66 per cent. The region has a mid-subtropical, humid, mountainous  
83 climate with distinct seasonality (Geng and Wang, 2011). The annual average temperature is 16°C with an annual  
84 mean maximum of 40.9°C and an annual mean minimum of -13.2°C (Lu, 2010). The mean annual precipitation is  
85 ~1668 mm with high inter-annual variability, and with ~70 per cent of the precipitation occurring in rainy seasons  
86 (Geng and Wang, 2011). Average annual sunshine duration is 1704 h, and evaporative capacity is 1256 mm (Lu,  
87 2010).

### 88 **Plot design**

89 This study is a part of the Lin<sup>4</sup>carbon project (<http://www.lin2value.uni-goettingen.de/>), in which three different  
90 scales of inventories are distinguished: (1) a land use and forest inventory (LUI/FI) that produce information over  
91 the whole extent of a sampling frame based on systematic grids at 3 × 3 km; (2) a forest management inventory  
92 for those stands with forest management information with a 500× 500 m systematic grid, which is also part of a  
93 Sustainable Forest Management (SFM) Cooperation Project between the Shitai Forest Bureau and the German  
94 Development Bank (KfW); and (3) a stand inventory of selected stands with a 100 × 100 m systematic grid.  
95 Seventy-four nested circular plots in the Chinese fir plantations were established. In circular plots of 6 m radius,  
96 trees were measured for 10 cm, dbh, 20 cm while in 10-m radius plots, trees with dbh of .20 cm were measured.  
97 A slope correction was conducted automatically using Vertex III (Haglöf Sweden AB) during forest inventory.  
98 Tree diameters were measured with a diameter tape to the nearest 0.1 cm and heights of one or two dominant, one  
99 co-dominant and one suppressed trees were measured in each plot, respectively. A height– diameter relationship  
100 was fitted to the subsample of trees with height measurements using the model proposed by Pretzsch (2009):

$$101 \quad h = 1.3 + \frac{d}{2.0208 + 0.3461 \times d}^3 \quad R^2 = 0.7286, \text{ RMSE} = 1.6006, (1)$$

102 where  $h$  is the total height of the tree (m), and  $d$  is the diameter at breast height (1.3 m) in cm.

### 103 **Biomass and volume estimation**

104 The following stand variables were calculated for each plot: the number of trees per hectare ( $N$ ), quadratic mean  
105 diameter ( $d_g$ ), mean height ( $H_m$ ), dominant height ( $H_d$ , defined as the mean height of 100 thickest trees per hectare),  
106 stand volume ( $V$ ), stem biomass ( $B_s$ ) and aboveground biomass ( $B_{agb}$ ).

107 Allometric models (equations 2 – 4), developed in the same study area in Shitai county (Guisasola-Rodríguez,  
108 2014), were used to estimate stem, branch and leaf biomass. Total aboveground biomass was calculated as the

109 sum of these components ( $B_s + B_b - B_l$ ). Equation 5, developed as a compatible taper function for this species  
 110 (Tang et al., 2015), was used to calculate individual tree volume. Individual tree-level biomass and volume were  
 111 scaled to stand level in terms of expansion factors of 31.8 for 10-m radius plots and 88.4 for 6-m radius plots.

$$B_s = 0.020232 \times (d^2 \times h)^{0.9674} \quad R^2 = 0.9764, \text{ RMSE} = 0.0179, \quad (2)$$

$$B_b = 0.011774 \times d^{3.7416} \times h^{-1.6029} \quad R^2 = 0.9722, \text{ RMSE} = 0.0294, \quad (3)$$

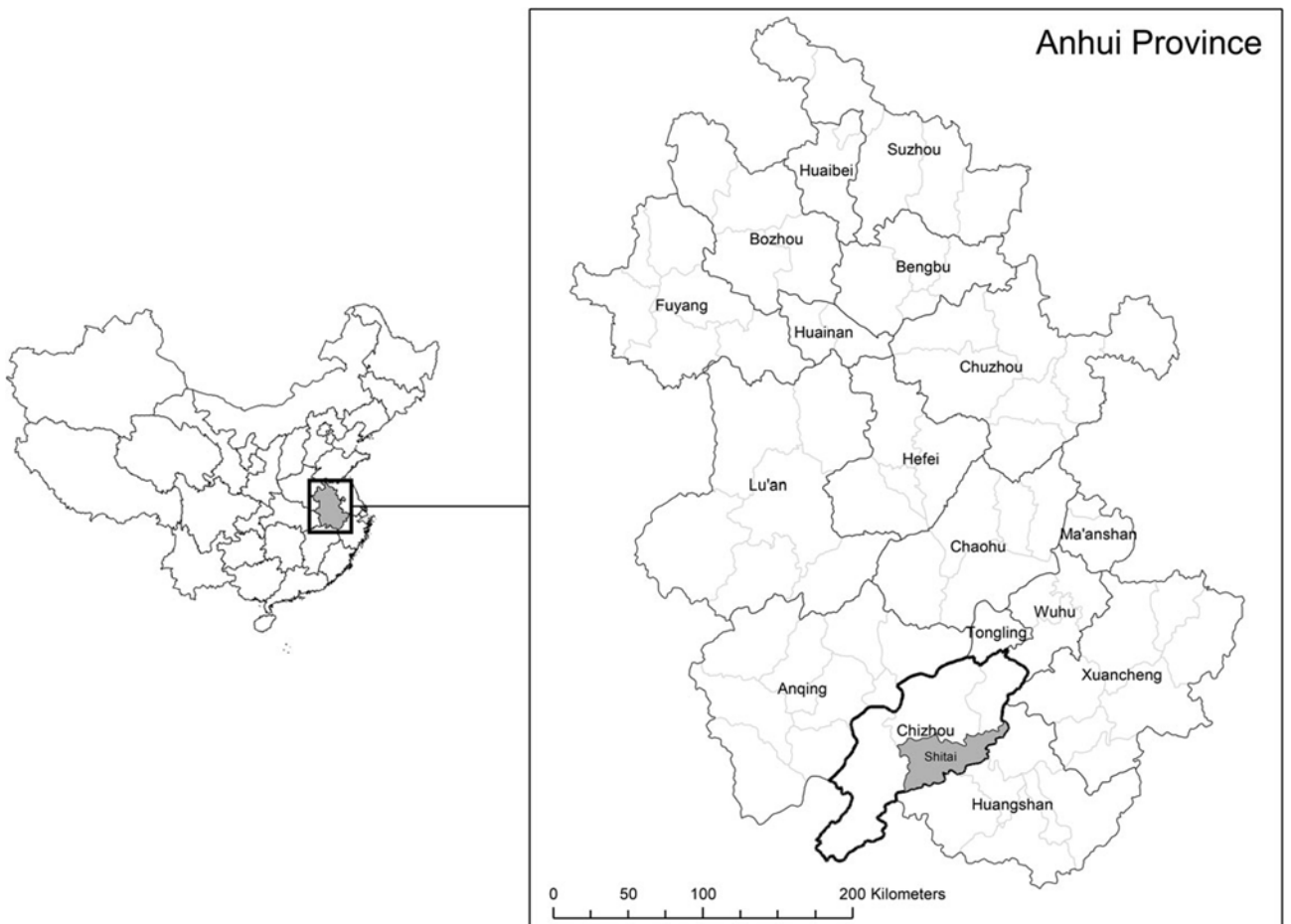
$$B_l = 0.031911 \times d^{3.207} \times h^{-1.5105} \quad R^2 = 0.9522, \text{ RMSE} = 0.0363, \quad (4)$$

$$V_s = 0.000071 \times d^{1.7512} \times h^{1.0417} \quad R^2 = 0.9878, \text{ RMSE} = 0.0243, \quad (5)$$

112

113 where  $B_s$ ,  $B_b$ ,  $B_l$  and  $V_s$  represent stem biomass, branch biomass, leaf biomass (kg) and stem volume ( $\text{m}^3$ ) of the  
 114 individual tree, respectively.  $d$  is the diameter at breast height at 1.3 m (cm),  $h$  is the total tree height (m),  $R^2$  is  
 115 the coefficient of determination and RMSE is the root mean square error.

116



117

118 Figure 1 Location of Shitai County in the southern part of Anhui Province, South-East China.

119

120

121 **Development of SDMDs**

122 In our study, SDMDs consisted of four equations and a relative spacing index (RS) as the basic components. The  
 123 RS (%) used to characterize the growing stock level was calculated as the ratio between the average distance  
 124 among the trees and dominant height, expressed as a percentage (Castedo-Dorado et al., 2009; Pérez-Cruzado et  
 125 al., 2011):

$$RS = \frac{100}{\sqrt{N} \times H_d} \times 100, \quad (6)$$

126

127 where RS is relative spacing index (per cent),  $N$  is the number of trees per hectare, and  $H_d$  is dominant tree height  
 128 (m).

129 To describe stand stability, a slenderness coefficient (SC) is often used (Wang et al., 1998; Wilson and Oliver,  
 130 2000). In this study, SC is defined as the ratio of mean stand height ( $H_m$ ) to the mean diameter at breast height  
 131 ( $d_m$ ), expressed as:

$$SC = \frac{H_m}{d_m}. \quad (7)$$

132

133 The first step to model SDMDs was to fit a non-linear system of equations 8 – 11. In the system,  $N$  and  $H_d$  are  
 134 exogenous variables (defined as independent of the system), while  $V$ ,  $B_s$  and  $B_{agb}$  are endogenous variables (vari-  
 135 ables that the model predicts), and  $d_g$  is an endogenous instrumental variable (Pérez-Cruzado et al., 2011; Castaño-  
 136 Santamaría et al., 2013). These equations are very flexible and have already been successfully applied in different  
 137 tree species, such as *Eucalyptus* species (Pérez-Cruzado et al., 2011) and *Quercus pyrenaica* forests (Castaño-  
 138 Santamaría et al., 2013).

$$d_g = a_1 \times N^{a_2} \times H_d^{a_3}, \quad (8)$$

$$V = a_4 \times d_g^{a_5} \times H_d^{a_6} \times N^{a_7}, \quad (9)$$

$$B_s = a_8 \times d_g^{a_9} \times H_d^{a_{10}} \times N^{a_{11}}, \quad (10)$$

139

$$B_{agb} = a_{12} \times d_g^{a_{13}} \times H_d^{a_{14}} \times N^{a_{15}}. \quad (11)$$

140 where  $a_1, a_2 \dots a_{15}$  are the fitted coefficients;  $d_g$  is quadratic mean diameter (cm);  $H_d$  is mean height of the 100  
 141 thickest trees per hectare (m);  $N$  is the number of the trees per hectare (trees ha<sup>-1</sup>);  $V$  is stand volume (m<sup>3</sup> ha<sup>-1</sup>);  $B_s$   
 142 is stem biomass and  $B_{agb}$  is aboveground biomass (t ha<sup>-1</sup>).

143 Because of correlations between error components of the independent variables and dependent variables, the full  
 144 information maximum likelihood method was used in the fitting process (Pérez-Cruzado et al., 2011). Equations 8

145 – 11 were fitted simultaneously using the MODEL procedure in SAS 9.2 (SAS Institute, Inc., 2007). The  
 146 convergence level was set at 0.001. Some characteristics of the inventory stands are given in Table 1.

147 Construction of the SDMDs involved the following steps: (1) plotting the height of dominant trees on the x-axis  
 148 and the number of trees per hectare on the y-axis (logarithmic scale); (2) representation of isolines of the relative  
 149 spacing index ( $RS$ ); (3) representation of isolines for quadratic mean diameter using equation (7) by setting  $dg$   
 150 constant and solving for the number of trees ( $N$ ) through a range of dominant heights ( $H_d$ ); and (4) representing  
 151 isolines for additional variables ( $V$ ,  $B_s$  and  $B_{agb}$ ). These isolines were determined by substituting  $dg$  in equations  
 152 9 – 11 by equation 8 and solving for the number of trees ( $N$ ) through a range of dominant height ( $H_d$ ) by setting  
 153 the additional variables ( $V$ ,  $B_s$  and  $B_{agb}$ ) constant. The SDMDs were drawn in R 3.0.2 (R Core Team, 2014).

## 154 Results and discussion

155 The coefficients and statistics of non-linear regressions (equations 8 – 11) are shown in Table 2. All coefficients  
 156 were significant at the level of 0.05. These equations explained 79 percent of the observed variability of quadratic  
 157 mean diameter and 99 per cent for  $V$ ,  $B_s$  and  $B_{agb}$ . As expected, the quadratic mean diameter was least precisely  
 158 modelled. These results are common for static stand-level models (Pérez-Cruzado et al., 2011; Schnell et al., 2012;  
 159 Castaño-Santamaría et al., 2013). The high variability explained by the stand volume, stem biomass and  
 160 aboveground biomass models is consistent with other studies (Barrio-Anta et al., 2006; Castaño-Santamaría et al.,  
 161 2013).

## 162 Construction of SDMDs

163 Three SDMDs were constructed for stand volume, stem biomass and aboveground biomass (Figures 2 – 4) by  
 164 superimposing the expected size-density trajectories. The dominant height was set on the x-axis whereas the  
 165 number of trees per hectare was set on the y-axis. The tree height axis ranged from 6 m to 24 m, whereas the  
 166 number of trees ranged from 30 to 3000 according to the observed sample plots (Table 1). Stand stability  
 167 (expressed by the  $SC$ ), relative spacing ( $RS$ ) and the quadratic mean diameter ( $dg$ ) were shown in isolines.

168 Table 1 Main stand characteristics in the sample plots

Variables	Mean	Min	Max	SD
$d_m$ (cm)	15.4	7.4	25.9	3.1
$d_q$ (cm)	15.7	7.5	26.0	3.2
BA ( $m^2 ha^{-1}$ )	18.6	1.0	50.8	12.3
$N$ (trees $ha^{-1}$ )	1021	32	2741	679
$H_d$ (m)	12.0	6.3	16.2	2.3
$H_m$ (m)	9.6	5.7	14.9	1.7
SC	63.2	49.0	81.0	4.6
RS (%)	37.9	14.2	177.2	30.4
$V$ ( $m^3 ha^{-1}$ )	100	4	286	70
$B_s$ ( $t \cdot ha^{-1}$ )	38	1	111	27
$B_{agb}$ ( $t \cdot ha^{-1}$ )	52	2	146	36

170  $d_g$  is quadratic mean diameter,  $d_m$  is mean diameter; BA is stand basal area;  $N$  is the number of the trees .10 cm  
171  $dbh$  per hectare,  $H_d$  is dominant height,  $H_m$  is stand mean height, SC is the slenderness coefficient, RS is the  
172 relative spacing index;  $V$  is stand volume,  $B_s$  is stand stem biomass per hectare,  $B_{agb}$  is total aboveground biomass  
173 per hectare and SD is the standard deviation of means.

174 Some studies have only focused on the stability of the largest 100 – 250 trees per hectare because these trees tend  
175 to have the highest timber, aesthetic and habitat values (Cremer et al., 1982). However, some other studies also  
176 considered stand stability aspects using SC as the ratio of the mean stand height and mean stand diameter, therefore  
177 including suppressed trees as well (Hinze and Wessels, 2002; Castedo-Dorado et al., 2009). They found that the  
178 average SC of 90 was a reasonable stability threshold for, e.g. *Pinus radiata* forests. When the SC was above 90,  
179 the stand was unstable for wind or snow damage, while a mean SC below 60 indicated stable conditions. The  
180 inclusion of the average stand SC is conditioned by other variables represented in the diagram (Hinze and Wessels,  
181 2002; Castedo-Dorado et al., 2009). In our study, if we take an SC of 90 as a reference threshold, the SC ranged  
182 from 49 to 81 (Table 1) indicating that the Chinese fir plantations in our study area were relatively stable against  
183 wind or snow damage. However, there was no more information available about critical SC values in Chinese fir  
184 plantations, which is necessary for future Chinese fir plantation management.

185 The open circular dots in in Figures 2 – 4 represent field measured data based on  $N$  and  $H_d$ , demonstrating the  
186 range of applicability of the models. The RS isolines drawn in black lines slope down from left to right with values  
187 of 8 – 56 per cent were similar to those in other studies (Barrio-Anta, 2005; Castedo-Dorado et al., 2009).  $d_g$  is  
188 represented in red lines and runs upwards from right to left. As confirmed by other authors (Schnell et al., 2012),  
189  $d_g$  was found to be highly sensitive to stand density, ranging from 6 to 40 cm. For constant  $H_d$ ,  $d_g$  decreased with  
190 increasing  $N$  because of increasing competition for resources in the stands, leading to a smaller average tree  
191 dimension (Curtis, 1970; Dean and Long, 1992; Pérez-Cruzado et al., 2011). The observed values ranging from 4  
192 to 286 m<sup>3</sup> ha<sup>-1</sup> for  $V$ , from 1 to 111 t ha<sup>-1</sup> for  $B_s$  and 2 to 146 t ha<sup>-1</sup> for  $B_{agb}$  represented in blue lines slope downwards  
193 from left to right (Figures 2– 4). For a constant  $N$ , the growing stock (expressed by  $V$ ,  $B_s$  and  $B_{agb}$ ) increased with  
194 an increase of  $H_d$ . This result is consistent with the principle that stand productivity is strongly related to tree  
195 height development (Schnell et al., 2012).

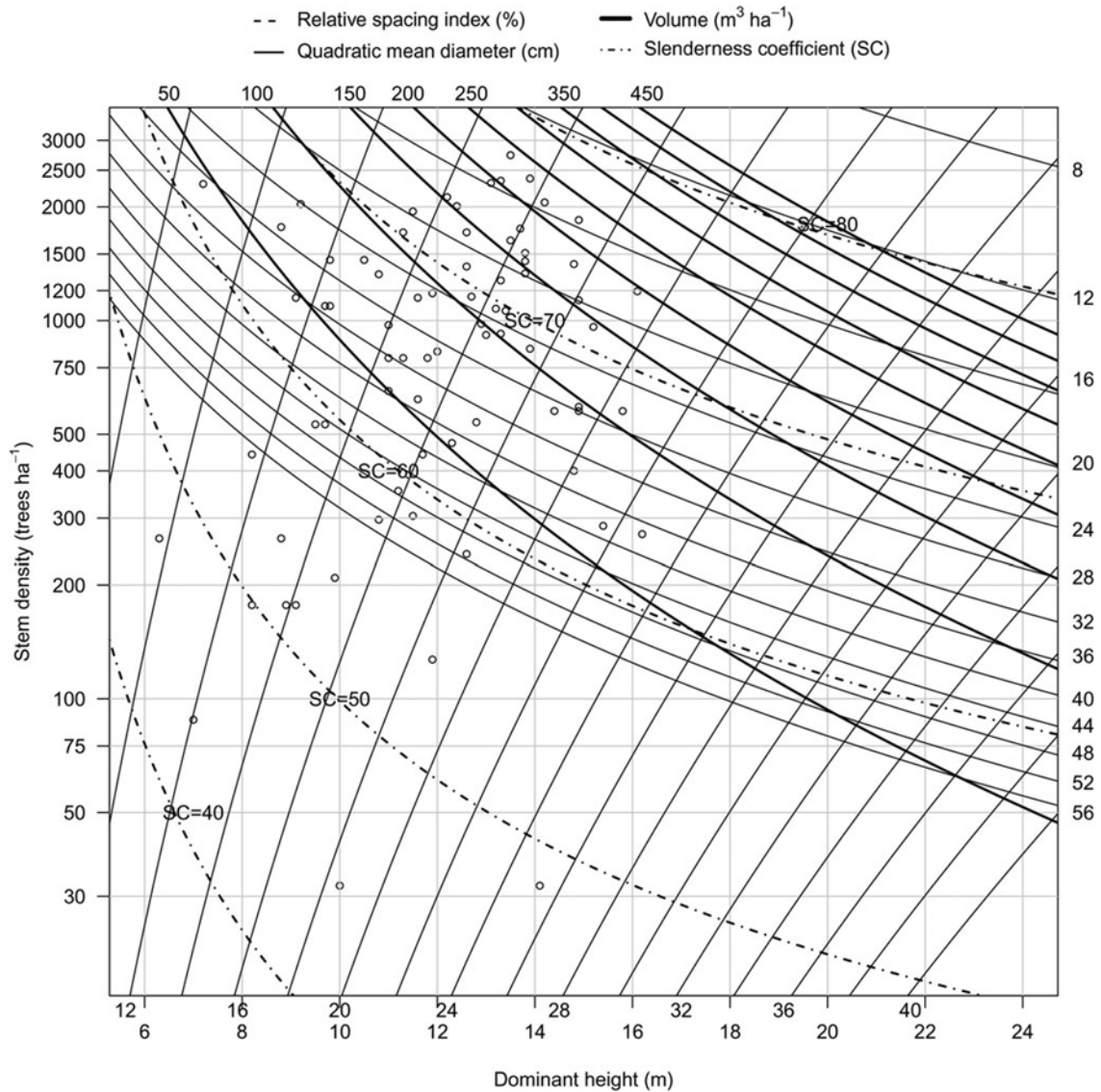
## 196 **Comparisons with yield tables**

197 Statistically, yield tables are constructed from a large monitoring data, long-term experimental data or permanent  
198 inventory plots and allow estimation of site-dependent volume production (Pretzsch, 2009). This makes the  
199 volumes from yield tables and SDMDs comparable. Since there was no yield table of our study area, a yield table  
200 built on similar stand and climate conditions as ours for Chinese fir plantations was taken to compare our results  
201 (Jiang et al., 1996). A strong relationship between predicted volume from our models and volume from the yield  
202 table up to a dominant height of 22 m was observed (Figure 5). The result illustrated the reliability of SDMDs for  
203 estimating stand volume, even for a dominant height above 17 m where there were no observed data. However,  
204 predicted volume from our study was lower than that in some other studies (e.g. Li et al., 1988; Jiang et al., 1997).  
205 This could be due to differences in site quality, climatic conditions and threshold diameters used in forest  
206 inventory. For example, the threshold diameter was 10 cm in our study, compared with 5 cm for other researches  
207 (Li et al., 1988; Jiang et al., 1997).

208 Table 2 Coefficients (including standard errors) and goodness-of-fit statistics obtained with non-linear regression  
 209 models using simultaneous fitting of the four equations system predicting quadratic mean diameter (equation 8,  
 210  $d_g$ ), stand volume (equation 9,  $v$ ), stem biomass (equation 10,  $b_s$ ) and aboveground biomass (equation 11,  $b_{agb}$ )

Equation	Coefficients				df	$R^2_{adj}$	RMSE
(8)	$a_1 \frac{1}{4}$ 3.3739 (0.552)	$a_2 \frac{1}{4}$ 20.1079 (0.00922)	$a_3 \frac{1}{4}$ 0.9023 (0.059)		71	0.7921	1.4546
(9)	$a_4 \frac{1}{4}$ 0.000063 (0.0000074)	$a_5 \frac{1}{4}$ 2.4941 (0.0568)	$a_6 \frac{1}{4}$ 0.1520 (0.0509)	$a_7 \frac{1}{4}$ 1.0214 (0.00826)	70	0.9986	2.7485
(10)	$a_8 \frac{1}{4}$ 0.000015 (0.0000046)	$a_9 \frac{1}{4}$ 2.5760 (0.0762)	$a_{10} \frac{1}{4}$ 0.2230 (0.0751)	$a_{11} \frac{1}{4}$ 1.0299 (0.011)	70	0.9974	1.4452
(11)	$a_{12} \frac{1}{4}$ 0.000029 (0.0000029)	$a_{13} \frac{1}{4}$ 2.5968 (0.0515)	$a_{14} \frac{1}{4}$ 0.1375 (0.0498)	$a_{15} \frac{1}{4}$ 1.0037 (0.00808)	70	0.9992	1.1025

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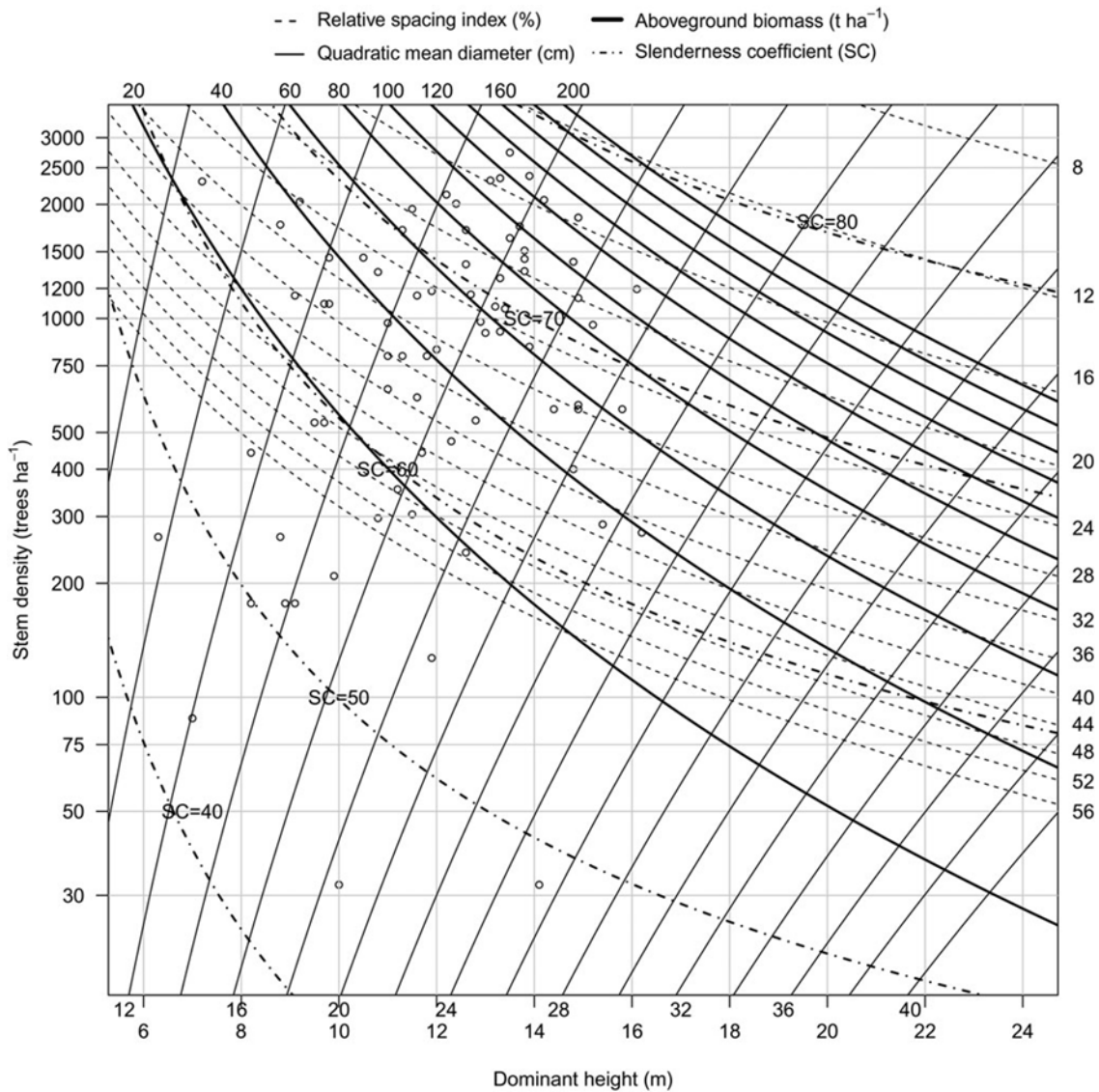
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213 Figure 2 Stand density diagram for Chinese fir plantations in relation to stand volume. The open circles represent  
 214 measured field data used to fit the models. Dominant height is the mean height of the 100 thickest trees. Other  
 215 variables are explained in the text.

216 Therefore, the SDMDs developed in this study should be preferably applied in the local study area or the stands  
 217 with similar site and climatic conditions as those in the area used for this study.

218 **Practical examples**

219 Stand management is recognized as an important practice for increasing stand productivity (Liu et al., 2013; Gao  
220 et al., 2014). However, management in Chinese fir plantations is commonly associated with the application of  
221 short rotations, monoculture, clear-cuts and high-level removal of biomass or volume driven by economic benefits.  
222 With the expansion of plantation area, repeated establishment of plantation by sprouts or new seedlings on the  
223 same sites is normal with a short rotation of 25 years (Wu, 1984; Wei et al., 2012). Continuous cultivation of  
224 plantations on the same sites or monoculture has resulted in a decline in production (Bi et al., 2007), increased  
225 susceptibility to insect and diseases (Ye et al., 2010) and decreased stand stability (Zhou et al., 2011). Although  
226 thinning trials are observed in some case studies in China (Cheng et al., 2014), a lack of management knowledge  
227 and technical training has limited expansion of thinning treatments. It is expected that the proposed SDMDs could  
228 provide a theoretical guideline for the management of Chinese fir plantations. Therefore, two thinning trials with  
229 the same target are presented below to illustrate how to use SDMDs.



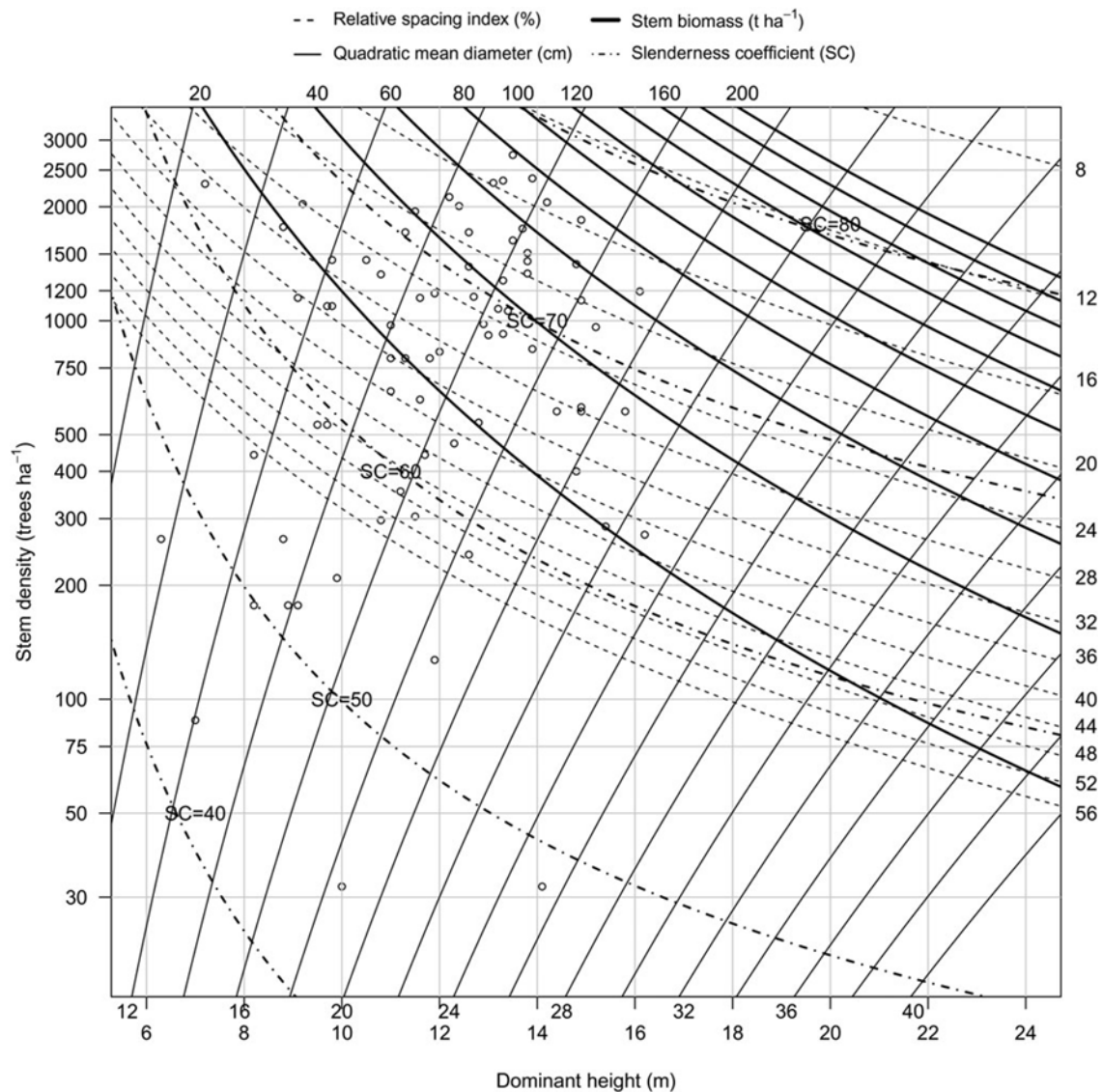
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231 Figure 3 Stand density management diagram for Chinese fir plantation in relation to aboveground biomass ( $t\ ha^{-1}$ )  
232  $^1$ ). Open circles represent measured field data used to fit the models. Dominant height is the mean height of the  
233 100 thickest trees. Other variables are explained in the text.

234 In the practical application of SDMDs, two factors determine the thinning schedules: the target stand dimension  
235 at rotation age and upper and lower growing stock limits (Barrio-Anta, 2005; Barrio- Anta et al., 2006; Schnell et  
236 al., 2012). The first factor could be defined by any logical combination of two of the following variables: dominant  
237 height, quadratic mean diameter, number of the trees per hectare, total stand volume, aboveground biomass  
238 depending on the final management target or stand variable used to develop in the SDMDs (Schnell et al., 2012;  
239 Castaño-Santamaría et al., 2013).

240 The second factor should be the maximum growth on the stand or on the tree level, but these cannot be maximized  
241 simultaneously (Schnell et al., 2012). Therefore, the selection of the upper and lower stocking limits represents a  
242 compromise between stand growth and individual tree growth (Long, 1985), as well as stand stability. The upper  
243 growing stock limit can be set higher than the determined RS to avoid density-caused mortality and maintain an  
244 adequate live-crown ratio for good tree vigour, while the lower growing stock limit could be set to maintain  
245 adequate site occupancy using RS. For example, an RS of 16 per cent seems a reasonable upper growing stock  
246 limit to maintain live-crown ratios for good tree vitality and to avoid density-dependent mortality in radiata pine  
247 (*Pinus radiata*) plantations in temperate regions (Rodríguez et al., 2002). An alternative method of setting constant  
248 RS values is to define thinning trials considering height growth combined with an upper growing stock limit, or  
249 with thinning intensity defined as the increment of the RS values that ensure stand stability (Barrio-Anta, 2005).

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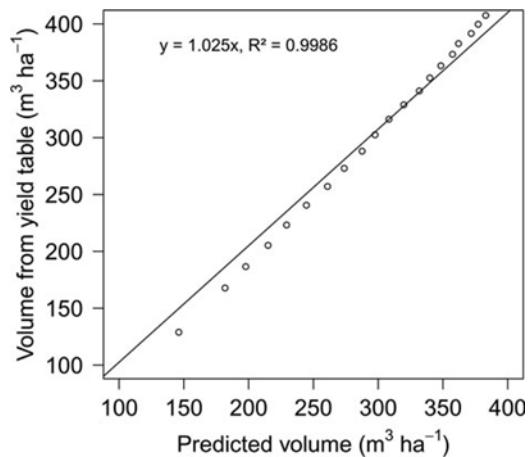
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252 Figure 4 Stand density management diagram for Chinese fir plantation in relation to stem biomass( $\text{t ha}^{-1}$ ). Open  
 253 circles represent the measured field data used to fit the models. Dominant height is the mean height of the 100  
 254 thickest trees. Other variables are explained in the text.

255 When applying SDMDs to describe the thinning schedules, there are two assumptions. The first assumption is  
 256 that no mortality happens during stand development after the first thinning. If the upper and lower stock limits are  
 257 selected in a way that ensures natural mortality does not occur, this assumption seems to be reasonable. However,  
 258 mortality caused by incidents such as wind, snow, ice or lightning damage is not included. To account for such  
 259 issues, a mortality model could be included in the SDMDs (Castedo-Dorado et al., 2009; Pérez-Cruzado et al.,  
 260 2011). However, in our study, density-independent mortality was not considered due to a lack of information. The  
 261 second assumption is that thinning has no effect on the dominant height, as this variable is site-dependant.

262 The sequence of thinning was plotted by a backward stair- stepping procedure considering the upper growth limit  
 263 and thinning interval. Therefore, the vertical (y-axis) and horizontal segments (x-axis) of the steps represent  
 264 thinning and post- thinning stages. The theoretical development of a Chinese fir plantation under a particular  
 265 management strategy is shown in Figure 6. Assumed target dimensions were 22 m (dominant height) and 30 cm

266 (quadratic mean diameter) (Figure 6K). The lower growing stock limit was defined by a relative space index of  
267 24 per cent, similar to that in other studies (e.g. Castaño-Santamaría et al., 2013). Because the average spacing  
268 index in our study was 38 per cent and no dead trees were found in the sample plots (Table 1), 36 per cent was  
269 defined as the upper stock limit in our study. The starting density of the plantations was defined at 3000 trees per  
270 hectare with a dominant height of 7 m because the planting distance between each seedling was 2 m 1.5 m with  
271 about a 90 per cent survival rate in our study area according to reports from the local forest administration  
272 (unpublished data).

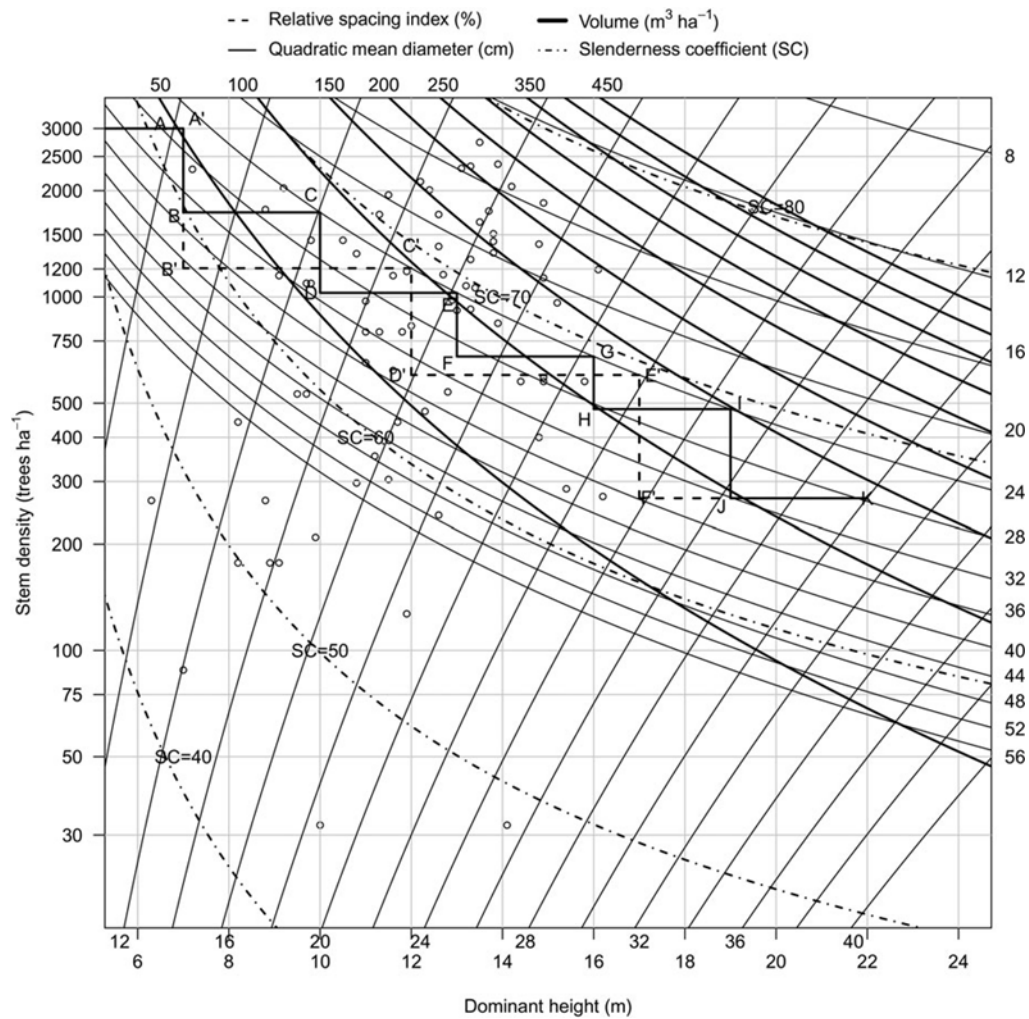


273

274 Figure 5 The relationship between predicted volume from our models and volume from the yield table proposed  
275 by Jiang et al. (1997) for Chinese fir plantations.

276 Theoretically, in order to achieve the target harvest stage (Point K, Figure 6), two thinning schedules are simulated  
277 based on observed stand characteristics and on standard silvicultural practice for Chinese fir in the region. The  
278 first example (dark green) shows a thinning schedule based on low-intensity interventions which may maximize  
279 site occupancy and production with an increment of dominant height of 3 m within one thinning interval. The  
280 stair-stepping procedure is drawn in Figure 6 taking stand volume as an example. Therefore, thinning related  $V_t$ ,  
281  $N$  and  $d_g$  can be read directly from different SDMDs. For example, during the five thinning operations, 20, 30, 31,  
282 31 and 57 m³ ha⁻¹ were theoretically removed, respectively. At rotation age stand volume reached 125 m³ ha⁻¹  
283 (Table 3). Including the thinning volume, a total yield of 292 m³ ha⁻¹ was produced in the Chinese fir plantation.

284 The second alternative responds mainly to economic aspects (dashed blue, Figure 6), as the lower number of  
285 interventions indicates that all removals have commercial value either because of the size of the extracted trees or  
286 because of the total amount of extracted biomass. Similarly, including thinning volume, a total yield of 287 m³  
287 ha⁻¹ was produced in the Chinese fir plantation until the harvest stage (pion K, Figure 6), which was similar to the  
288 first thinning example. However, the stand stability resistant to natural disasters, such as wind and snow damage,  
289 should be considered because each thinning removes half or even more than half of the standing trees.



290

291 Figure 6 Examples for two different thinning schedules in Chinese fir plantations. Open circles represent measured  
 292 field data used to fit the models. Dark lines (Example 1) and dash lines (Example 2) show describe simulated  
 293 thinning schedules, respectively.

294 Table 3 Stand data modelled for two different thinning scenarios (see also Figure 6)

Example 1	Before thinning				Thinning			After thinning				Total
	$N$	$d_g$	BA	$V_b$	$N$	BA	$V_t$	$N$	$d_g$	BA	$V_a$	
7	3000	8.2	16.0	58	1264	5.6	20	1736	8.7	10.4	38	58
10	1736	12.0	19.8	90	709	6.7	69	1027	12.7	13.1	61	110
13	1027	16.2	21.0	114	349	5.9	31	678	16.9	15.2	84	163
16	678	20.4	22.1	138	197	5.2	31	481	21.1	16.9	106	217
19	481	24.7	23.0	161	212	8.4	57	269	26.3	14.6	104	272
22	269	28.0	16.6	125								292
Example 2												
7	3000	8.2	16.0	58	1794	8.1	29	1206	9.1	7.8	29	58
12	1206	14.8	20.7	106	605	8.7	43	601	15.9	12.0	63	135
17	601	21.8	22.4	146	332	10.5	66	269	23.8	11.9	80	218
22	269	30.0	19.0	149								287

295

296  $N$  is the number of the trees per ha,  $d_g$  is quadratic mean diameter (cm), BA is basal area ( $m^2 ha^{-1}$ ),  $V_b$  is stand  
 297 volume ( $m^3 ha^{-1}$ ) before thinning,  $V_t$  is thinning volume ( $m^3 ha^{-1}$ ),  $V_a$  is stand volume ( $m^3 ha^{-1}$ ) after thinning,  
 298  $V_{total}$  is total stand volume ( $m^3 ha^{-1}$ ).

299 In our SDMDs, aside from stand volume, aboveground biomass through thinning schedules can be optimized as  
300 well. Combining the variables in Table 2, the stand-level biomass could easily be estimated based on knowledge  
301 of stand basal area and dominant height. This could be an alternative to individual-tree biomass estimations.

## 302 **Conclusions**

303 To our knowledge, this is the first study where SDMDs of stand volume, stem and aboveground biomass were  
304 developed for Chinese fir plantations. SDMDs could be a useful tool to support and optimize forest management  
305 according to different owner objectives. These diagrams also allow for rapid estimations of stand volume, stem  
306 and aboveground biomass for a range of planting densities and rotation ages. This information may help man-  
307 agers control for several indicators of SFM (e.g. stand volume, stand biomass). Further risk indices depending on  
308 stand characteristics are easy to superimpose on the proposed diagrams, allowing forest managers to make better  
309 technical decisions. The fitted stand-level volume and biomass models can be used as alternatives to the  
310 individual-tree models for making predictions at stand level. This study could fill the gap between theoretical and  
311 practical management in Chinese fir plantations and the SDMDs proposed could be a decision support tool to  
312 optimize stand management of these forests for different management purposes.

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