



# Hypothalamic wars: the last nanodelivery

Sajid Fazal<sup>1</sup> · Jane Jose Vattathara<sup>1,2</sup> · Miguel López<sup>1,2</sup>

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## Abstract

The hypothalamus plays important roles in maintaining overall body homeostasis and energy balance. Disruption of its normal functioning has been associated with the onset of various metabolic disorders in the body that arise from several genetic, immunological, and environmental factors or their combination. To combat this, developing treatment strategies that modulate the activity of the hypothalamus could be advantageous. Here, understanding the hypothalamus's complex structure and function within the context of regulation of energy balance is important to identify treatment targets and to explore opportunities to modulate key metabolic pathways. Moreover, the unique sensitive position of the hypothalamus in the brain necessitates that treatment strategies that are developed are highly effective and specific, and do not cause any untoward effects at neighboring regions of the brain. This is further complicated by its protection through the blood-brain barrier (BBB) that highly regulates the entry of materials from the periphery, allowing entry of molecules only under specific conditions. In this regard, advanced multifunctional nanoparticulate drug-delivery systems can be of benefit as they have been explored for brain specific delivery. For this, nanoparticle chemistry, specific ligand expression, BBB penetration capability, biocompatibility, and immunogenicity among other physicochemical properties are important parameters that govern brain specific delivery. In this review, the role of hypothalamus in regulating energy metabolism, its structure and function and the effect of dysfunction on the onset of metabolic disorders are summarized. Furthermore, the use of nanoparticles for brain targeting and hypothalamic regulation, such as small extracellular vesicles targeting AMP-activated protein kinase (AMPK), along with the future of nanoparticle-based modulation of the hypothalamus is also discussed.

## 1 Introduction

Currently, the global prevalence of metabolic diseases is on the rise [1–4], which continues to be a major public health challenge [1–4]. Type-2 diabetes, hypertension, obesity, hypercholesterolemia, and metabolic dysfunction-associated fatty liver disease are the most common metabolic disorders [1–4]. Among them, the prevalence of obesity has increased at an alarming rate with studies showing that the total number of children, adolescents, and adults living with

obesity worldwide has surpassed one billion and that there are more obese people now than underweight [5–12].

Obesity has long been considered to be caused by external environmental conditions, that could be prevented through lifestyle changes and regular exercise. However current understanding of the disease pathology of obesity has unearthed its underlying genetic factors and the complex involvement of the central nervous system in its exacerbation which makes its treatment complicated [3, 7–15]. Since obesity is a condition pertaining to energy imbalance, dysregulation in the body's central metabolic regulator, namely the hypothalamus, plays a major function in its onset and progression [13, 16–21]. The hypothalamus is located in the ventral part of the brain above the pituitary gland and surrounding the third ventricle. Just like all other parts of the brain, the hypothalamus is also protected by the blood-brain barrier (BBB) [22–30] (Fig. 1). The positioning of the hypothalamus within the brain permits direct exposure to various hormonal and nutritional signals through its distally positioned part, namely the median eminence (ME). The ME is a circumventricular organ having highly

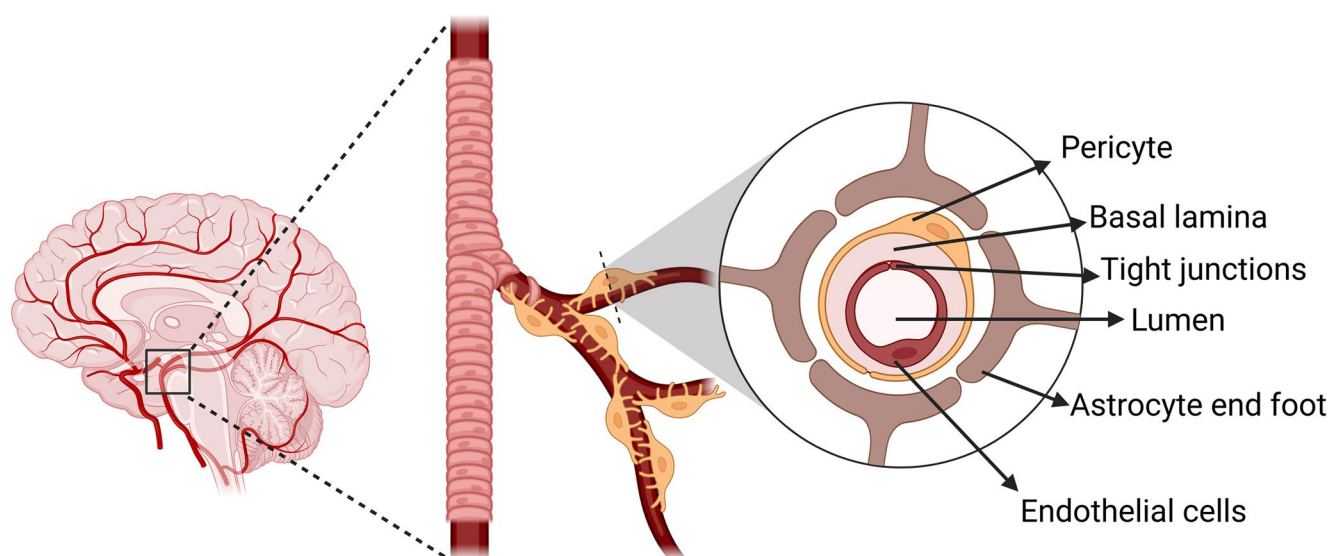
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✉ Sajid Fazal  
sajid.fazal@usc.es

✉ Miguel López  
m.lopez@usc.es

<sup>1</sup> NeurObesity Group, Department of Physiology, CIMUS, University of Santiago de Compostela, Santiago de Compostela 15782, Spain

<sup>2</sup> CIBER Fisiopatología de la Obesidad y Nutrición (CIBEROBN), Santiago de Compostela 15706, Spain



**Fig. 1** Structural organization of the blood–brain barrier. Schematic representation of the blood–brain barrier (BBB) at the level of a brain microvessel. The luminal side is formed by a continuous monolayer of endothelial cells sealed by tight and adherens junctions, which strongly restrict paracellular diffusion and contribute to the high trans-endothelial electrical resistance characteristic of the BBB. These endothelial cells rest on a basal lamina that also surrounds pericytes, which closely interact with the endothelium to regulate barrier integrity, vessel stability and transport properties. On the abluminal side,

astrocytic end-feet almost completely ensheath the vascular wall, providing metabolic and structural support, while interacting with neurons and microglia to couple local blood flow and nutrient supply to neuronal activity. Together, these specialized cellular and extracellular components create a highly selective interface that tightly controls the entry of ions, nutrients, hormones and xenobiotics from the bloodstream into the brain parenchyma, thereby preserving central nervous system homeostasis

fenestrated capillaries that allow signaling molecules from the periphery to reach different regions of the hypothalamus [22–30]. The ME comprises axon terminals of hypothalamic neurons and the capillary network of hypophyseal portal system placed in apposition with the pituitary gland and serves as a link between the brain and the endocrine system [22–30].

The dysfunction in the individual hypothalamic nuclei brought about by pro-inflammatory conditions, genetic factors and impaired hormone signaling aggravate the condition of not only obesity, but also other metabolic diseases as well [13, 16–22]. In this regard, devising therapeutic strategies that can alter/rectify these aberrations will be useful in the treatment of associated metabolic diseases [13, 19, 20]. However, due to the extremely complex and highly heterogeneous functions of the hypothalamic neuronal populations [13, 16, 17, 31–33], it is difficult to target a single neuronal population with a drug to obtain a desired effect. Additionally, the BBB further makes accessing the hypothalamus from the periphery difficult since it highly regulates biomolecule entry into the brain [13, 20, 23–30, 34]. Thus, a successful therapeutic modality should not only be able to penetrate the BBB but also be able to target specific hypothalamic nuclei to modulate neuro-metabolic pathways [13, 20, 23–30, 34].

In the recent past, nanoparticles have demonstrated successful brain penetration and drug delivery [20, 35, 36]. Owing to their small size, in vivo stability, modifiable surface functionality, biodegradability, and capability to protect encapsulated drug cargo from external environmental conditions, nanoparticles have revolutionized the field of medicine and drug-delivery [20, 36–42]. For brain-specific delivery, nanoparticles have shown exceptional capability to penetrate the BBB (via intravenous administration) [24, 29, 43–45] or the mucosal barrier (intranasal administration) [46, 47] and have shown promising results for the treatment of different disease conditions such as stroke [45, 48], glioblastoma [49] and other neurological conditions such as Alzheimer’s [50], Parkinson’s [51] diseases etc. Moreover, studies have shown their ability to target specific sites within the brain either through the use of targeting ligands [52–54], or through their inherent capability to home to these sites (e.g. using extracellular vesicles [20, 36, 43–45, 55–57], cell-membrane nanovesicles [58]). Due to these promising studies reported in literature, and the vast amount of modularity on offer to engineer nanomaterials, it is highly envisaged that nanoparticles could be the next precise silver bullet for the treatment of metabolic disorders through brain targeting, specifically the hypothalamus [20, 36].

## 2 Role of the hypothalamus in regulating metabolism

The hypothalamus is a vital part of the brain conferred with the control of many autonomic nervous functions with a strong association with the endocrine system due to its interactions with the pituitary gland [13, 16–22, 59, 60]. The hypothalamus is therefore considered as a neuroendocrine entity not limited to regulating energy balance but also in regulating circadian rhythms, reproductive activity, emotional and behavioral patterns [13, 16–22, 59, 60]. To do so, the hypothalamus produces neurotransmitters, neuropeptides, and hormones for executing multiple functions [13, 16–22, 59, 60]. Shouldering such immense complex functions makes the hypothalamus segmented with each region possessing well-defined hypothalamic neuronal clusters or nuclei [13, 16–22, 59, 60].

The hypothalamus is a highly metabolically responsive area of the brain playing crucial role in the regulation of energy homeostasis by the combinatorial actions of its different nuclei [13, 16–22]. The main hypothalamic nuclei that regulate energy homeostasis comprises the arcuate nucleus (ARC), the paraventricular nucleus (PVH), the ventromedial nucleus (VMH), the dorsomedial nucleus (DMH) and the lateral hypothalamic area (LHA) [13, 16–22]. These together regulate energy balance by controlling feeding (homeostatic and hedonic), energy expenditure (physical activity and thermogenesis) and whole-body metabolism [13, 16–22, 61]. All these regulations for maintaining energy homeostasis are brought about by the actions of these nuclei by tuning the expression of neuropeptides in response to metabolic hormones like insulin, ghrelin, leptin, adiponectin, glucagon like peptide (GLP-1), estrogens, thyroid hormones (THs) and energy currencies (glucose, lipids and amino acids) from the periphery [13, 16–22, 59, 60, 62]. Thus, the hypothalamus integrates metabolic signals from the periphery and responds efficiently to the energy needs. Dysfunction or inflammation in key neuronal and glial populations within those nuclei contributes to obesity, insulin resistance, type 2 diabetes, and metabolic syndrome [13, 16–22]. Along with this, genome-wide association studies (GWAS) have recently determined genes in hypothalamic neuronal populations that can specifically tune energy balance, and their expression levels are identified as predisposing factors for obesity and other metabolic disorders [7, 13]. The targetability of these areas remains mainly unaddressed [13, 20, 36]. Furthermore, these identified genes are found to be altered significantly in populations of high body mass index, thus emphasizing its importance [7]. This was corroborated with the established pathophysiology of metabolic diseases (gathered over many years) comprising of hypothalamic inflammation, autophagic dysfunction, mitochondrial

damage, neuronal apoptosis and structural abnormalities of the human hypothalamus [13, 16–22, 63]. Moreover, due to the extremely complex and highly heterogeneous functions of the hypothalamic neuronal populations, it is difficult to target a single neuronal population with a drug to obtain a desired effect [13, 20, 36]. Rather, it would be more promising to use a multi-receptor or combinatorial drug administration regime to modulate hypothalamic function effectively such as the complex mechanism of action of the recently approved GLP-1 derived drugs (liraglutide, semaglutide and tirzepatide [8, 11, 14, 15]).

## 3 Hypothalamic dysfunction in obesity

Obesity is a condition driven by prolonged excessive nutrient uptake exacerbated by a sedentary lifestyle which leads to reduced energy expenditure and abnormal increase in adiposity and body weight (BMI > 30) [5–12]. This condition has long been considered to be caused by the direct effect of external environmental conditions, that could be ameliorated through lifestyle changes and regular exercise [8, 10–12]. Though increased physical activity and a calorie deficit diet would help in preventing the onset of obesity, its treatment on the other hand is complicated [8, 10–12]. This reflects the current understanding of the pathophysiology of obese conditions and the underlying genetic conditions that may play a role in its exacerbation.

One of the important monogenic causes of obesity is the mutation in the melanocortin receptor 4 gene (MCR-4) [7, 13, 64, 65]. This gene is widely expressed in the PVH encoding a G-protein coupled receptor whose natural ligand is alpha-melanocyte stimulating hormone ( $\alpha$ -MSH; a proteolytic product of proopiomelanocortin, POMC) [66–69]. This mutation is widely reported in obese conditions and other associated metabolic comorbidities in both humans and rodent models [7, 13, 64, 65]. Similar to monogenic causes there are other polygenic mutations and genetic polymorphisms that are identified in obesity [7, 13, 65], such as leptin receptor mutations (resulting in abrogated anorexigenic response [7, 13, 70]), heterozygous single-minded (*SIMI*) gene mutations (causing PVH abnormalities leading to hyperphagic obesity [7, 13, 71, 72]), *PCSK1* gene mutations (encoding for the prohormone convertase 1/3, a pro-neuropeptide converting serine endoprotease [7, 13, 73]) and the *FTO* (fat mass and obesity associated gene) a gene encoding for 2-oxoglutarate-dependent nucleic acid demethylase [7, 74–76]. These are a few among the widely reported gene clusters that show single nucleotide polymorphisms (SNP) and mutations that result in weight gain [7, 65].

Apart from the genetic predispositions cited for obesity, hypothalamic inflammation is another facet that contributes

to the progression of an obese phenotype [13, 21, 63, 77–81]. Consumption of high fat diet (HFD) triggers hypothalamic inflammation upon the release of chemokines and cytokines ensuing an inflammatory scenario in the brain [63, 77, 82, 83]. This central inflammation could possibly cause neuronal apoptosis in association with microgliosis and astrogliosis which abrogates the tight regulation imparted by the hypothalamic neurons in energy homeostasis [63, 79, 84, 85]. Furthermore, hypothalamic inflammation activates key signal transduction pathways *via* the NF- $\kappa$ B and stress responsive kinase c-Jun N terminal kinase (JNK) [63, 82, 86–88]. The activation of these deleterious signaling pathways causes further deterioration of neuronal health by activating unfolded protein response (UPR) pathway eliciting endoplasmic reticulum (ER) stress [78, 82, 88–94]. This inflammatory chaos further compromises the integrity of the BBB, facilitating the recruitment of peripheral inflammatory mediators into the CNS [30, 95, 96]. Some of these changes occurring within the hypothalamus of experimental models of obesity are also well documented in humans using multi-modal neuroimaging studies [80, 97]. The degree of hypothalamic inflammatory markers and gliotic markers are now considered as predictive tools for insulin sensitivity, body weight gain and the likelihood of the incidence of associated co-morbidities [13, 21, 28, 63]. In addition to these mechanisms, defects in the Bardet–Biedl syndrome (BBS) protein complex (the BBSome) have emerged as a cause of hypothalamic leptin resistance and severe obesity [98–101]. It has been shown that BBSome components are required for proper trafficking of the long signaling form of the leptin receptor (LRb) to the plasma membrane in hypothalamic neurons, and that disruption of BBS proteins impairs LRb surface expression and signaling, leading to obesity in mouse models [102, 103]. This work highlights intracellular trafficking machinery such as the BBSome as an additional layer of regulation in hypothalamic energy-balance circuits, beyond classic inflammatory or ER-stress pathways.

Methods to modulate hypothalamic activity hold great promise for the treatment of various metabolic and endocrine disorders that stem from its dysfunction. However, such methods to modulate hypothalamic function remain largely unexplored [20, 36, 104–108]. This likely reflects the highly complex role that the hypothalamus plays in maintaining body homeostasis, and the difficulty associated in targeting due to its sensitive location and structural complexity. Additionally, the BBB further makes accessing the hypothalamus from the periphery difficult. In this regard, it is important to utilize a multifunctional therapeutic that can not only modulate specific neuro-metabolic pathways within the hypothalamic nuclei but also be able to specifically target the hypothalamus and undertake successful entry to the brain through the BBB. Such a multifunctional

therapeutic, in essence must be a composite material that can assimilate multiple components in a single platform that can work together to achieve a desired therapeutic outcome. In this regard, the field of nanoscience and nanotechnology has played a decisive and much needed advancement in the field of pharmaceutical and medical science.

#### 4 Nanoparticles for brain delivery

The use of nanoparticles (NPs) as protective delivery vehicles for active pharmaceutical ingredients (APIs; such as drugs, small molecules, proteins, peptides, nucleic acids, etc.) has led to the development of the now well-known field of nano-drug-delivery [37–42]. This approach of utilizing NPs for cargo delivery provides unique advantages over the use of the bare API alone [37, 40, 109, 110] by (i) preventing direct exposure to degrading enzymes (such as hydrolases, lysozymes, etc.) that may chemically alter the API, (ii) modulating immune system interactions of APIs that may otherwise be immunogenic [111], (iii) chemically presenting stealth/non-immunogenic reactive groups on NP surface [112, 113] (such as polyethylene glycol, poly sarcosines, etc.) that will improve its biodistribution and circulation times and (iv) presenting actively targetable functional moieties that will provide specificity and organ/tissue tropism [114, 115]. All these in-effects will reduce undesirable degradation and clearance of the API of interest and overall increase its pharmacokinetic and pharmacodynamic properties [116, 117]. Moreover, importantly, in cases where the API of interest can produce undesirable toxic effects (for e.g., chemotherapeutics used for cancer therapy), the shielding effect provided by nanoparticles can alleviate its non-specific systemic toxicity and improve its overall safety. Furthermore, in certain cases, depending on the type of material used, nanoparticles can also be utilized for remote-controlled on-demand drug delivery upon exposure to an external stimulus [118, 119], like magnetic fields [120], light [121–123], ultrasound [124, 125], pH [126, 127], that would specifically elicit a response enabling the release of encapsulated cargo. Due to all these advantages conferred, nanoparticles have now become important tools for drug-delivery. This is further supported by the fact that many current approved formulations in the market are nanoparticle based [128].

Several reports have highlighted the use of nanoparticles for brain-specific delivery. As with any exogenous material administered in the body, when utilizing nanoparticles for drug delivery applications, several intrinsic factors can influence its bio-distribution profile. These include its physicochemical characteristics such as size, charge, chemical make-up, reactive functional groups, bio-degradability,

hydrophilicity, etc., which will in turn modulate its interaction with biological fluids, serum proteins/biomolecules, and cells of the immune system [129–131]. Here, the route of administration plays an obvious, yet important role in the type of environment the nanoparticles are exposed to initially. For delivery to the brain, two main modes of nanoparticle administration have been widely reported in literature: intravenous [24] and intranasal [46, 47]. Unlike direct intrathecal delivery to the brain, these procedures are easy to implement, have high patient compliance, and do not require complicated high-risk surgical methods. In certain cases, though, a direct intrathecal delivery becomes necessary especially when the direct effect of the nanomaterial on the brain is to be evaluated [132–135]. In this review, however, we focus on brain/hypothalamus delivery through the intravenous and intranasal route as these modes of administration are considered desirable for easy clinical translation.

#### 4.1 Intravenous delivery for brain targeting

For brain delivery, the intravenous route of administration is a simple and effective mode of API administration. As with all APIs administered intravenously, nanoparticles also undergo systemic circulation and non-specific accumulation at different organs in the body including the lung, liver, spleen, and kidneys [129, 136]. Here, the % injected nanoparticle dose that accumulates at the brain has been reported to be between 0.01 and 0.5%, and has been found to be dependent on the type of nanoparticle administered, the integrity of the BBB, as well as on the use of targeting agents (coupled onto the nanoparticle surface) that can specifically target the BBB [137–140]. Among these, the BBB plays the most important role as it presents a major barrier to the entry of molecules from systemic circulation to the brain [23–25].

The BBB, which is located within the brain microvasculature (Fig. 1), shares a general organizational plan with arterioles in the systemic circulation, but displays specialized structural and functional features that tightly regulate molecular entry into the brain [23–27]. However, it possesses certain key differences owing to the vital role and sensitivity of the brain. The luminal side of the arteriole in the BBB comprises of a single layer of endothelial cells that are tightly tethered to each other and are resting on the basal lamina. Any gaps between the endothelial cells are packed with tight junctions and adherence junctions, unlike the arterioles in systemic circulation which ensures that biomolecules that enter the brain are highly regulated and tightly controlled [23–27]. The transendothelial electrical resistance (TEER; which is a measure of the ionic permeability of these junctions) is about 100 to 500 times more than that of non-cerebral capillaries [141]. Within the basal lamina

reside pericytes, which interact closely with endothelial cells and play key roles in modulating biomolecule entry and preserving BBB integrity [23–27]. On the abluminal side of the basal lamina astrocytic end-feet, neural synapses and microglia are present which make up the core components of the different parts of the brain. Here, the astrocytes act as an interface between the neural cells and the endothelial cells, besides serving important functions for the proper functioning of the CNS [23–28].

The BBB in the median eminence of the hypothalamus exhibits distinctive features, including increased endothelial permeability and reduced expression of tight junction proteins, and is closely associated with specialized ependymal cells known as tanycytes, which contribute to regulating the exchange of signals between the periphery and hypothalamic neurons [23–28, 142]. Additionally, capillaries of the ME harbor a wider perivascular space between the endothelial cell and the surrounding tissues [26–28]. The proteins that are expressed and released by the cells of the BBB dictate its permeability, for e.g., the increased expression of vascular endothelial growth factor (VEGF), matrix metalloproteinases (MMP's), proinflammatory cytokines (IL-6, TNF- $\alpha$ ), chemokines, nitric oxide etc. can destabilize the BBB and result in endothelial apoptosis and increased BBB permeability [26–28]. On the other hand, proteins like angiopoietin-1, glial cell derived neurotrophic factor (GDNF) and upregulation of tight junction proteins claudin and occludin can decrease BBB permeability [26–28]. Thus, structural plasticity of the cells comprising the ME will determine the rate and type of molecules entering and leaving the hypothalamus [143]. This leakiness of the BBB of ME is maintained to communicate rapidly to the periphery and respond to feeding cues and the overall metabolic status. This has been demonstrated through radiolabeled and fluorescent tracing studies that show the ability of systemically administered hormones to enter the ARC after trafficking the BBB in the ME and choroid plexus [144]. Hormones like leptin, insulin, GLP-1, prolactin etc. that have large molecular weights bind to their receptors on the ARC neurons, astrocytes and tanycytes [103, 142, 145]. These hormones and molecules like glucose and fatty acids are found to be internalized by the transporters on the glial end feet [103, 142, 145], while ghrelin (3 kDa) that is smaller in size is reported to passively diffuse the vasculature of the ME [146].

To enter the brain, nanoparticles will need to traverse through the BBB via the transcellular pathway (entry through cells), rather than the paracellular pathway (in between cells) since the tightly packed structures within the BBB (especially the tight and adherence junctions in the luminal side of the basal lamina) make paracellular transport highly unlikely for nanoparticles [23, 24, 29]. For transcellular

entry into the brain, receptor mediated transcytosis is considered the most rational approach to delivery, wherein receptors on epithelial cells (such as transferrin receptor [147], insulin receptor [148–150], low-density lipoprotein receptors [151–154]) are exploited to uptake nanoparticles that present themselves with specific recognizable ligands. This recognition, followed by clathrin-dependent or independent endocytosis processes, leads to nanoparticle uptake through vacuoles, their fusion to early endosomes, and their release through vesicle fusion to the abluminal side of the basal lamina, resulting in the entry of nanoparticles to the brain [23, 24, 29].

Nanoparticle entry into the brain through receptor mediated transcytosis has been reported by utilizing different types of surface functionalized ligands. By utilizing Angiopoep-2, a ligand having specificity to bind to low density lipoprotein receptor-related protein (LRP) in the BBB epithelium, ultra-small ceria nanoparticles (~ 4 nm) were found to enter the brain upon intravenous administration [155]. Even though non-specific biodistribution of these nanoparticles could be observed in the major organs such as the liver and spleen, their specific accumulation ( $\mu\text{g}$  nanoparticle/g of tissue), was found to be higher in the brain than in organs such as kidneys, lungs and heart [155]. Owing to their ROS scavenging capability, ceria nanoparticles were demonstrated here for the treatment of stroke. Thus, by targeting the BBB, entry to the brain was made possible in this case. Similarly, nanoparticles functionalized with ligands that recognize endothelial receptors such as transferrin [156] or insulin [157], or with integrin-binding peptides such as the Arg-Gly-Asp (RGD) motif, which is recognized by several endothelial integrins expressed at the BBB [158], its entry into the brain can be strategically promoted.

Brain targeting can also be enabled by utilizing ligands for targeting receptors that are specific to the diseased condition, or by utilizing a characteristic property of the target site itself. For example, in the case of ischemic brain tissues, C-X-C motif chemokine receptor 4 (CXCR4) is significantly over-expressed [159], and the inclusion of such receptor specific ligands on the surface of nanoparticles can enable better targeting outcomes. This was demonstrated using polymeric nanoparticles that were conjugated with AMD3100 that binds to CXCR4 [160]. Furthermore, these polymeric nanoparticles consisted of an ROS reactive poly(2,2'-thiodiethylene 3,3'-thiodipropionate) (PTT) polymer, that could alleviate ROS stress [160]. The inclusion of AMD3100 as a targeting ligand, an ROS scavenging polymer, and the encapsulation of glyburide (used for the treatment of cerebral oedema), enabled important brain penetration upon intravenous administration which further improved stroke recovery in a stroke model of mice [159]. This shows how tuning nanoparticle design based on the

characteristics of the diseased site can be helpful in enhancing targetability and cargo delivery. Such an approach was further demonstrated for the treatment of glioblastoma multiforme, a rapidly growing tumor, where its high metabolic activity and characteristic rapid nutrient uptake was taken into advantage [161]. For this, biomimetic nanoparticles made of albumin proteins were utilized since these tumor cells showed high albumin uptake due to the presence of albumin binding proteins SPARC (secreted protein acidic and rich in cysteine) and glycoprotein 60 (gp60) [162]. To further increase uptake *in vivo*, these nanoparticles were additionally functionalized with a cell penetrating peptide LWMP (low molecular weight protamine) that facilitated intracellular entry. Intravenous administration of chemotherapeutic drug loaded nanoparticles in this case, lead to significant reduction of tumor volume as compared to free drug controls [162].

By utilizing such BBB targeted nanoparticles, it is evident that specific brain accumulation is made possible. The binding affinity and avidity of the ligand to the brain endothelial cell receptor has been found to play an additional important role for nanoparticle entry into the brain parenchyma [156]. Although it may seem intuitive that nanoparticles tagged with high-affinity, high-avidity ligands would show superior BBB targeting, efficient receptor-mediated transcytosis into the brain parenchyma instead requires an optimal, intermediate ligand avidity [156]. This is because an excessively high ligand avidity promotes nanoparticle retention on the luminal side of the BBB, whereas an avidity that is too low prevents efficient receptor binding altogether. This behavior was clearly demonstrated for transferrin-conjugated gold nanoparticles, where increased accumulation in the brain parenchyma was observed only when an intermediate density of transferrin ligands was presented on the nanoparticle surface [156].

## 4.2 Intranasal delivery for brain targeting

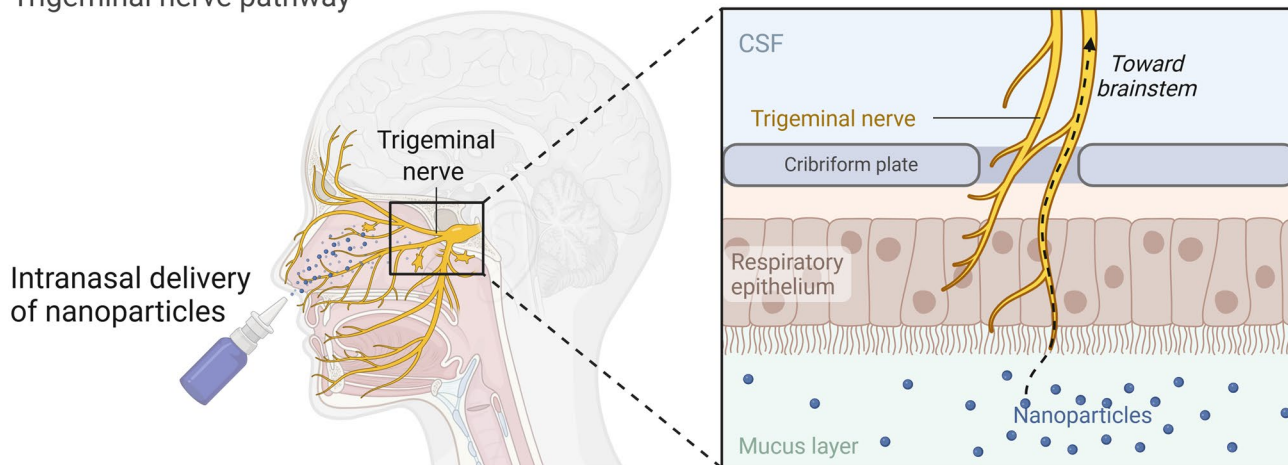
Compared to intravenous administration, intranasal delivery has several benefits, including enhanced brain bioavailability, reduced systemic exposure (and thus fewer systemic adverse effects), and a rapid onset of therapeutic effects [47]. The biggest advantage this route of administration offers is the bypassing of the BBB [163], which could make brain delivery relatively simple for drugs that have poor BBB penetrability [163]. In addition, from the applicability point of view, intranasal administration carries low injury risk and superior patient compliance owing to its ability to be self-administrable [47]. All these advantages underscore its importance, thus making this a favorable route of administration for clinical translation [164].

The intranasal route comprises of its own set of barriers that administered nanoparticles should overcome to

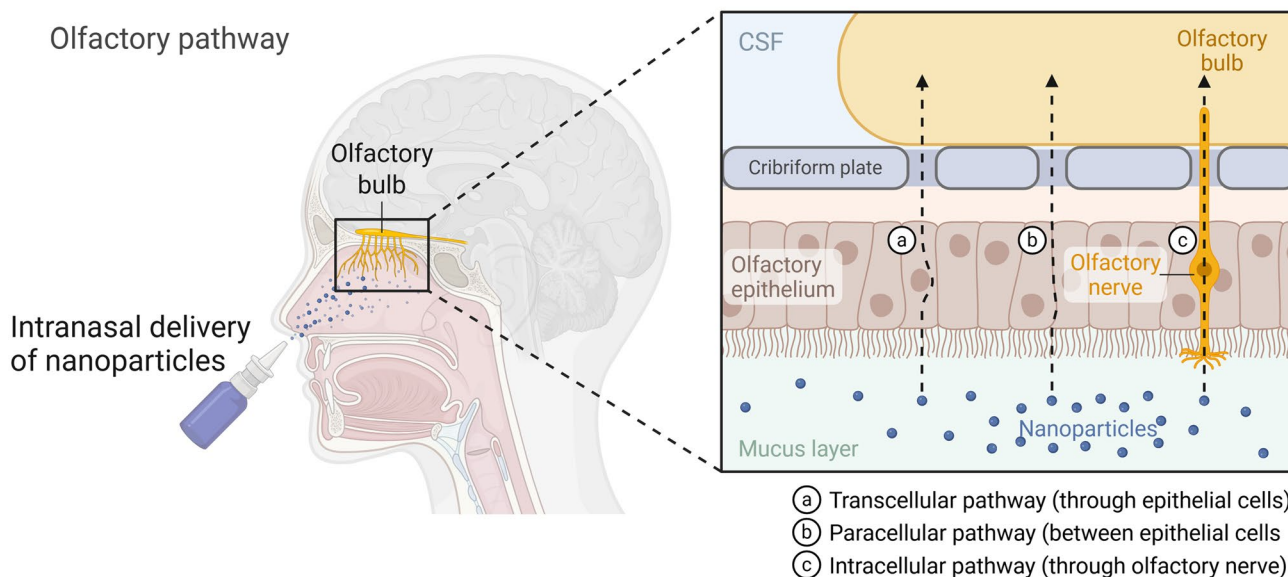
enter the brain (Fig. 2). The presence of a thick mucus layer, ciliated cells, and enzymes present the first barrier to penetration which can clear, block and break-down exogenous materials and transport them from the nasal cavity to the gastrointestinal tract, where further degradation could occur [165]. Once these barriers in the vestibular region of the nasal cavity are surpassed, access to the brain occurs through the respiratory and olfactory regions, where the trigeminal and olfactory nerves provide the primary pathways for nose-to-brain delivery [165, 166]. Other supporting

cells such as the basal cells, goblet cells, ciliated and non-ciliated columnar epithelium also make up the barrier to entry in these regions [165, 166]. It is through these neuronal routes that direct delivery to the pons, cerebrum, olfactory and frontal brain is made possible predominantly either through both transcellular and paracellular transport (Fig. 2). In effect, once the nanoparticles enter the CSF, it can get distributed throughout the brain through bulk flow. To pass through the trigeminal and olfactory nerves (Fig. 2), nanoparticles can be functionalized with appropriate ligands

### Trigeminal nerve pathway



### Olfactory pathway



**Fig. 2** Nose-to-brain delivery routes for intranasal nanoparticles. Schematic illustration of the main anatomical routes by which intranasally administered nanoparticles can reach the brain. In the upper panel, particles deposited on the respiratory epithelium cross the mucus layer and are taken up by branches of the trigeminal nerve, which transport them along perineural and/or intracellular pathways toward the brainstem. In the lower panel, particles delivered to the olfactory epithelium access the central nervous system by traversing the epithelial barrier

via transcellular (a) or paracellular (b) routes, or by being internalized and transported along olfactory neurons (c), ultimately reaching the cerebrospinal fluid and olfactory bulb. These complementary trigeminal and olfactory pathways enable direct nose-to-brain transport while partly bypassing the blood–brain barrier, thereby enhancing the potential of intranasal nanocarriers for targeting deep brain structures such as the hypothalamus

that can enable receptor mediated transcytosis to the brain [166]. For example, one study used lactoferrin-decorated lipid nanoparticles (LNPs) for Alzheimer's disease, taking advantage of the fact that lactoferrin receptors are overexpressed in the nasal mucosa and in neurons in this condition [167]. Moreover, the mucolytic agent N-acetyl cysteine was also used to promote nanoparticle penetration and brain bioavailability [168]. With the combined use of targeting and mucolytic agents, enhanced brain accumulation of the nanoparticle was observed as against the use of these agents alone. Other reports have also demonstrated the use of specific ligands such as lectins [169] (that bind to glycan receptors), cell penetrating peptides such as transactivator of transcription (TAT)-derived peptide [170], or other specific ligands (that bind to receptors such as L-fucose [171]) along with mucolytic agents such as hyaluronidase [172] that can enhance nose to brain delivery.

Since the nasal epithelium is usually covered by a thick layer of mucus and contains ciliated cells (Fig. 2) that quickly clear exogenous materials, the use of muco-adhesive materials for the synthesis of nanocarriers has emerged as a rational approach. Under physiological conditions, the mucous layer is slightly acidic with negatively charged mucins, that provide an optimal environment for a polycationic polysaccharide material such as chitosan, to be widely explored for intranasal drug-delivery [173]. Apart from its ability to form positive charges at low pH and its capacity to interact with tight-junction proteins at the nasal epithelium, its biocompatibility and bio-degradability have made it one of the most widely explored material for drug delivery through this route [166]. In addition, due to its versatile physical and chemical properties, chitosan has also been used as a coating material for other nano drug-delivery vehicles to impart muco-adhesive functionality [174–176]. However, it should be noted that strong muco-adhesion could lead to unfavorable retention of nanoparticles in the nasal cavity, and therefore it is essential that the nanoparticles also have the capacity to penetrate through the mucus layer. This is often done through PEGylation of nanoparticle surface in to maintain a balance between muco-adhesion and -penetration [177].

## 5 Nanoparticles for hypothalamic targeting

Due to the proximity of the hypothalamus to the BBB, any exogenous material that surpasses this barrier from the blood stream readily encounters the hypothalamus. On the other hand, as mentioned before, intranasal administration can also provide relatively direct access to the hypothalamus via the olfactory and trigeminal nerve pathways, which

enable nose-to-brain transport while bypassing the blood-brain barrier [165, 166]. A few reports have evaluated the ability of nanoparticles for brain targeting and its specific effect on the hypothalamus-owing to the important role it plays in maintaining body homeostasis.

Owing to their small size, nanoparticle entry through the BBB without the use of a targeting ligand, is possible in certain cases [178]. This was demonstrated for PAMAM dendrimers, with size < 100 nm and a near-neutral surface charge, that could enter the brain easily post intra-carotid administration [179]. By loading hydrophobic curcumin- a potent anti-oxidant into these dendrimers, their administration to mice produced a shielding effect on the hypothalamus that were exposed to a heat shock condition [179]. This was evidenced through limited neuronal damage as compared to controls, that received the dendrimer nanoparticle alone. In this case, since the protective action of the API is broadly beneficial for the whole hypothalamic region, simple passive diffusion into the BBB is enough to produce the desired effect [179]. However, for specifically targeting the hypothalamus, apart from having the capacity to target and penetrate the BBB, nanoparticles should also include specific ligands on its surface that recognize and bind target cells within the brain tissue. This is important to reduce any non-specific/indiscriminate action of the API within the brain, that can occur due to nanoparticle entry. Thus overall, nanoparticle design for specific targeting within the hypothalamus should take into consideration **(i)** receptor mediated transcytosis for BBB penetration, requiring the need for ligand functionalization **(ii)** cell/site recognition, depending on target region of interest/ diseased condition and **(iii)** cargo to be encapsulated, for example, drug, nucleic acid, peptide etc. Such targeting strategy was utilized for nanoparticles of PEG-PCL co-polymer, that were surface modified with CGN polypeptide (d-CGNHPLAKYNGT) to penetrate brain capillary endothelial cells [180], and MG polypeptide (CHHSSSAR), that specifically targeted pro-inflammatory microglia [63, 181]. These nanoparticles were demonstrated for the treatment of hypothalamic neuroinflammation [52] by encapsulating and delivering zimlovisertib, an interleukin 1 receptor associated kinase 4 (IRAK4) inhibitor [182]. Similar strategies have also been reported for the treatment of CNS conditions such as Alzheimer's disease [53] stroke and experimental autoimmune encephalomyelitis in mice [54], where entry and targeting into the brain is necessary.

The dual targeting strategy **(i and ii)** also becomes relevant for intranasal delivery wherein the mucosal barrier needs to be first overcome to penetrate the brain. Specific hypothalamic targeting was demonstrated for nanoparticles administered intranasally in one study wherein a mucolytic agent

N-acetyl-L-cysteine was loaded along with a specific receptor binding ligand-neurokinin A, that recognizes neurokinin 3 receptor (NK3R) in neurons of the ARC [183]. By loading these nanoparticles with SB222200, a NK3R antagonist, hypothalamic release of gonadotropin releasing hormone (GnRH) could be regulated [183]. This was demonstrated in a mice model of precocious puberty, where intranasal administration of the designed nanoparticle system led to significant delay in the onset of puberty as compared to controls [183].

In cases where specific receptor mediated hypothalamic targeting is not envisaged, alterations in the structural integrity of the BBB can be exploited for drug delivery. In the case atherosclerosis, where its onset has been linked to the disruption of the BBB [184], untargeted liposomes (encapsulating bromocriptine) when administered intranasally facilitated enhanced BBB penetration and drug accumulation at the hypothalamus, as compared to non-atherosclerotic normal mice [185]. Analysis of brain endothelial cell populations here revealed the presence of hyperproliferative endothelial cells in atherosclerotic mice which also overexpressed organic anion-transporting polypeptide 1a4 (Oatp1a4), a transcellular transporter of amphipathic organic anions, which were hypothesized to cause the increased nanoparticle transport across the BBB [185]. By utilizing this inherent structural anomaly of the BBB in a disease condition, enhanced bromocriptine delivery to the hypothalamus was reported in this study, which ameliorated hyperprolactinemia in a mice model [185].

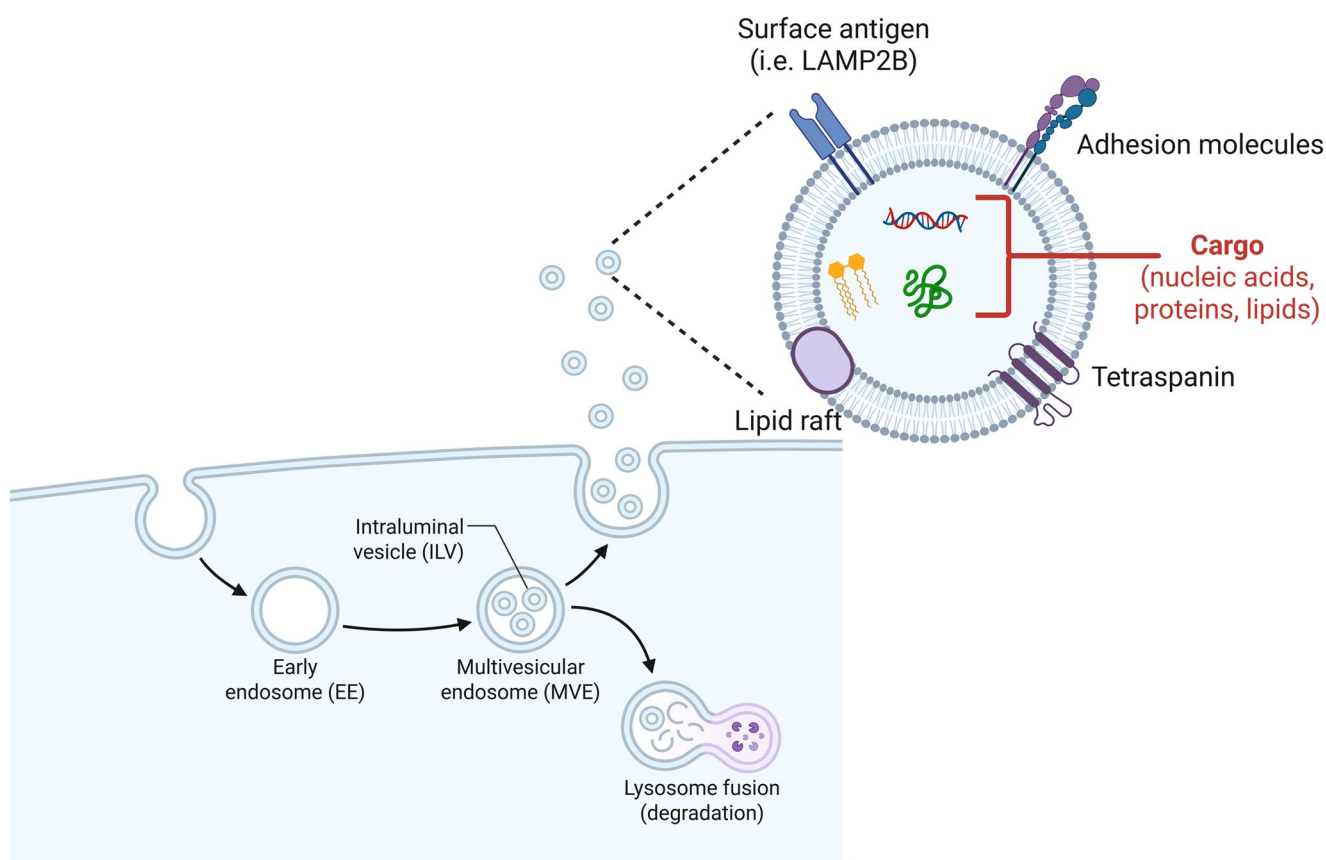
Another target of interest within the hypothalamus is carnitine palmitoyl-transferase 1 A (CPT1A), an enzyme that regulates the entry of long chain fatty acid into the mitochondria to undergo  $\beta$ -oxidation [186, 187]. By inhibiting CPT1A, an increase in the local concentration of fatty acyl CoA occurs within the hypothalamus, that has been reported to alter its activity leading to increased satiety and reduced food intake in mice [186–188]. This has been taken to advantage by utilizing a fatty acid synthase (FAS) inhibitor, namely C75 (4-methylidene-2-octyl-5-oxooxolane-3-carboxylic acid) [189–192], which is converted to its CoA derivative, C75-CoA in the hypothalamus, where it acts as a potent CPT1 inhibitor and reduces food intake and body weight [193]. Based on that, C75 encapsulated within a polymeric nano-micelle system, and injected intracerebroventricularly, lead to weight loss in mice as compared to free C75 [194]. This provides proof of concept of the importance of CPT1A inhibition in the hypothalamus and its effect on regulating energy metabolism. It would be interesting to carry out further studies to explore the regulation of CPT1A activity through intravenous/intranasal administration of nanoparticles. Alternatively, the targeting of other CPT1 isoforms, such as CPT1C, which is also involved in the

hypothalamic regulation of energy balance [187, 195–198], could open new therapeutic avenues for obesity treatment.

## 6 Targeted extracellular vesicles for hypothalamic treatment

Due to the central role of the hypothalamus in regulating energy metabolism, it would be of great advantage to design strategies that will enable the modulation of this regulation, specifically for the treatment of different metabolic disorders [20, 36]. Very few reports have explored this possibility with the use of nanoparticles that can target specific regions within the hypothalamus and have shown encouraging results in metabolic regulation that warrant more research [20, 36].

Within this context, exosomes/small extracellular vesicles (sEVs) (Fig. 3) have gained wide-spread attention as promising biomimetic nano-drug-delivery vehicles owing to their inherent biological function in facilitating intra/inter-cellular communication within the body [20, 36, 199–201]. sEVs are small (30–200 nm in diameter) lipid bilayer-bound vesicles released from living cells into the extracellular environment that lack a functional nuclei and cannot replicate. sEVs originate from the endosomal system, where cargo is first sorted into early endosomes (EE) and then into intraluminal vesicles within multivesicular endosomes (MVE). These MVE can either fuse with lysosomes for degradation or with the plasma membrane, releasing intraluminal vesicles as exosomes into the extracellular space [20, 36, 199–201] (Fig. 3). They play important roles by transporting bioactive cargo such as nucleic acids, peptides, proteins, chemokines and cytokines and therefore have been linked towards various important functions in the body including maintaining homeostasis [202], regulating disease progression [203, 204], mounting an immunological response [205, 206], etc. Additionally, since sEVs also inherently contain receptor specific ligands on their surface, they have the ability to target and deliver cargo contents to specific cells within the body [207] (Fig. 3). Owing to their biomimetic characteristics and small size, they have been investigated for drug delivery. For brain specific delivery, sEVs have been derived from different sources depending upon their targetability and BBB penetrability for the intended application. For the treatment of glioblastoma, for example, neutrophil derived sEVs have been investigated [55], since in an inflammatory microenvironment condition brought about by the presence of a tumor, neutrophils possess the intrinsic ability to penetrate an intact BBB [208]. Upon intravenous injection of doxorubicin loaded sEVs in C6 glioma bearing mice, substantial brain penetration was



**Fig. 3** Biogenesis and molecular composition of exosomes/sEVs. Schematic representation of exosome biogenesis through the endosomal pathway. Early endosomes (EE) invaginate their limiting membrane to generate intraluminal vesicles (ILVs) within multivesicular endosomes (MVEs); these MVEs can either fuse with lysosomes for cargo degradation or with the plasma membrane to release ILVs as extracellular vesicles into the extracellular space. The magnified view illustrates the typical molecular composition of an exosome, including surface antigens such as lysosomal-associated membrane protein 2B (LAMP2B), adhesion molecules, lipid rafts and tetraspanins, as well as a complex cargo of nucleic acids, proteins and lipids packaged in the vesicle lumen. Tetraspanins are a family of small four-pass trans-

membrane proteins (for example CD9, CD63 and CD81) that organize membrane microdomains, scaffold interaction partners and serve as canonical exosome markers due to their enrichment on the vesicle surface. LAMP2B, a single-pass lysosome-associated membrane glycoprotein, can be fused to targeting peptides to display specific antigens on the exosomal surface and thereby direct vesicles to selected cell types or brain regions; a prototypical example is the fusion of LAMP2B to the rabies virus glycoprotein (RVG) peptide, which confers high affinity for nicotinic acetylcholine receptors on brain endothelial cells and neurons and enables systemic delivery of exosomes that preferentially home to the central nervous system

observed as compared to free dye controls, which indicated that the sEVs actively drove drug entry into the brain [55]. Importantly, when fluorescence imaging of brain sections was undertaken, significant specific sEV localization was observed at the tumor site as compared to the non-tumor sites which highlighted its ability to target the inflammatory micro-environment within the brain [55].

Moreover, since sEVs possess a structure like that of liposomes, reports have highlighted the ability to modify them with additional ligands to enhance brain-specific targeting. This was demonstrated for mesenchymal stem cell derived sEVs for the treatment of ischemic stroke. In order to target the  $\alpha_v\beta_3$  integrins expressed in endothelial cells after ischemia, the mesenchymal cell derived sEVs were further chemically modified to covalently conjugate

cyclo(Arg-Gly-Asp-D-Tyr-Lys) peptide [c(RGDyK)] [56]. These reports show how sEVs can be utilized and functionalized if needed for better targeting ability. However, sEV entry into the brain can also be facilitated without the need to target specific cues/diseased condition in the brain. This was demonstrated for blood serum derived sEVs since they inherently contain transferrin receptors on their surface that can bind to free transferrin in blood which itself can bind to transferrin receptors within the BBB epithelium [57]. The versatility of sEVs for drug-delivery to the brain has also been demonstrated using intranasal delivery for the treatment of diseases including ischemia [209], Alzheimer's disease [210] and Parkinson's disease [211, 212]. These promising reports warrant their further use for specific targeting to the hypothalamus [44].

Within the hypothalamus, a key region that regulates energy metabolism is the VMH which contains steroidogenic factor 1 (SF1) neurons [13, 16–22]. Downregulation of AMP-activated protein kinase alpha 1 (AMPK $\alpha$ 1) subunit activity in these neurons leads to decreased hypothalamic ceramide synthesis and endoplasmic reticulum (ER) stress, increased tone of the sympathetic nervous system (SNS), eliciting brown adipose tissue (BAT)-mediated thermogenesis as well as the browning of white adipose tissue (WAT) [19, 20, 91, 213, 214]. This is a canonical mechanism of hypothalamic regulation of energy balance, integrating central level metabolic and endocrine signals, such as thyroid hormones [87, 88, 215–219], estradiol [93, 220–222], bone morphogenetic protein 8B (BMP8B) [99, 223, 224], leptin [103, 225, 226], and GLP-1 [227], as well as nicotine [228–231]. Of note, specific mediated ablation of AMPK $\alpha$ 1 (but not other subunits, such as AMPK $\gamma$ 2) in SF1 neurons protects against HFD-induced obesity and improves metabolism in mice [88, 232, 233]. To inhibit AMPK $\alpha$ 1 activity, small extracellular vesicles (sEVs) carrying plasmids encoding a dominant negative AMPK $\alpha$ 1 mutant (AMPK $\alpha$ 1-DN) for brain targeting was explored [36, 43, 44]. Here, to impart specificity, the plasmid payload also encoded an SF1 promoter sequence which will enable its translation specifically within SF1 neurons [36, 43, 44]. Furthermore, the sEV was also modified to exhibit a rabies virus glycoprotein (RVG) bound to lysosome-associated membrane protein 2b (LAMP2B, a protein highly expressed in sEVs membranes) that would enable nicotinic acetylcholine receptor-mediated trans-cellular entry through the BBB and neuronal targeting [36, 43, 44, 234] (Fig. 3). Upon intravenous administration of these sEVs in mice, a significantly high accumulation in the brain was observed for RVG-labelled sEVs as against controls, which underlines the importance of incorporating a targeting agent to cross the BBB [44]. Importantly, in HFD-induced obese mice, the administration of these sEVs leads to substantial weight loss that was found to be induced through thermogenesis of BAT, as well as a tendency for browning of WAT, and was independent of food intake [44]. A similar result was also obtained when these sEVs were used for the treatment of leptin receptor deficiency induced obesity in db/db mice [43]. This highlights the efficacy of this approach wherein specific hypothalamic targeting and regulation is achieved using sEVs. Notably, this strategy has also been explored for the treatment of ischemic stroke in mice. Specifically, mice subjected to transient middle cerebral artery occlusion (tMCAO) when treated with sEVs harboring a dominant negative mutant of the AMPK $\alpha$ 2 subunit (AMPK $\alpha$ 2-DN) showed not only a reduction of the ischemic area but also cognitive improvement [45]. These findings also demonstrate that the regulation of brain AMPK provides an adequate neuroprotective target for cerebral

ischemia, and that the sEV-mediated regulation of this kinase could be a possible clinical strategy against ischemic stroke [45].

Even though sEVs show great versatility for drug delivery, their major drawbacks are related to synthesis and isolation [36, 235, 236], as follows. **(i)** Conventional manufacturing methods for sEVs, such as batch cell culture and ultracentrifugation, are characterized by low yields and substantial variability between production lots, which hampers both fundamental research and practical applications [36, 237–241]. These processes are labor-intensive, time-consuming, and often result in preparations contaminated with other types of extracellular vesicles or cellular debris, compromising the purity and therapeutic efficacy of the final product [36, 237–240]. **(ii)** One of the most pressing issues is the heterogeneity of sEV preparations. Even when sEVs are derived from the same donor cell type, there can be significant variability in size, composition, and biological activity between batches [36, 237–240, 242, 243]. This inconsistency arises from differences in cell culture conditions, passage number, and the inherent variability of biological systems. As a result, ensuring consistent therapeutic potency and safety across production lots remains a critical challenge. **(iii)** The source of sEVs also plays a crucial role in their quality and function. For example, mesenchymal stromal cells (MSCs) are a popular source, but they have limited expansion capacity, necessitating frequent derivation of new cell batches and extensive validation, which is both costly and time-consuming [36, 237–240]. **(iv)** Large-scale production requires expanding anchorage-dependent cells, which is technically demanding and often requires advanced bioreactor systems to maximize yield without altering cell phenotype or sEV functionality [36, 237–240]. Recent advances such as perfusion and batch-refeed culture systems have shown promise in increasing the productivity and quality of sEVs, enabling more consistent production of specific sEV subpopulations over extended periods. These methods can potentially triple the yield of target sEVs and facilitate the maintenance of constant quality attributes, thereby improving reproducibility and scalability [36, 237–240]. However, transitioning from static, planar cultures to dynamic, three-dimensional systems can introduce additional variability, further complicating the maintenance of batch homogeneity. **(v)** Another challenge is quality control and assessment of batch-to-batch consistency. Multiplex bead-based flow cytometry assays have been validated as robust methods for assessing the reproducibility of sEV products, but even with these tools, achieving the required level of consistency for clinical-grade products remains difficult [36, 237–240, 244]. Additionally, sEV stability during storage and transport is a concern. Some studies report that sEVs are stable at  $-80^{\circ}\text{C}$ , which is impractical for pharmaceutical logistics, and

there is limited data on their shelf-life and in vivo stability. Presently researchers are investigating the use of sEVs derived from abundant sources other than mammalian cell-culture including milk [245, 246], yeast [247–249], plant [250–252] etc. that could be produced in greater amounts at lower costs, and could therefore have greater potential for easier clinical translation.

## 7 Future of metabolic regulation-promising nanomedicine approaches

Since nanoparticle-mediated brain targeting has been demonstrated successfully with a few studies exploring specific hypothalamic targeting with the possibility of regulating metabolism [20, 36, 43, 44], it would be great interest to further explore this field for the development of new treatment modalities for metabolic disorders. Within the context of clinical translation from bench to bedside for nanomedicines, it is important to not only consider nanoparticle physicochemical properties when designing new drug delivery systems, but also its biological properties. Here, biocompatibility, toxicity, immunogenicity, and biochemical activity of the developed nano-drug delivery system are vital parameters to consider since these would influence its biodistribution, clearance rate, pharmacokinetics and pharmacodynamic properties once administered inside the body. Among all the nanoparticle systems explored so far, lipid-based nanoparticles have been the most successful in terms of clinical translation due to their excellent biocompatibility, easy chemical tunability, and ability to incorporate various hydrophobic and hydrophilic cargo components. In the present nanomedicine landscape, apart from sEVs, 2 other specific types of lipid-based nanoparticles stand out that show good promise for brain targeting in the future: (i) cell-membrane nanovesicles and (ii) lipid nanoparticles (LPNs) (Figs. 4 and 5).

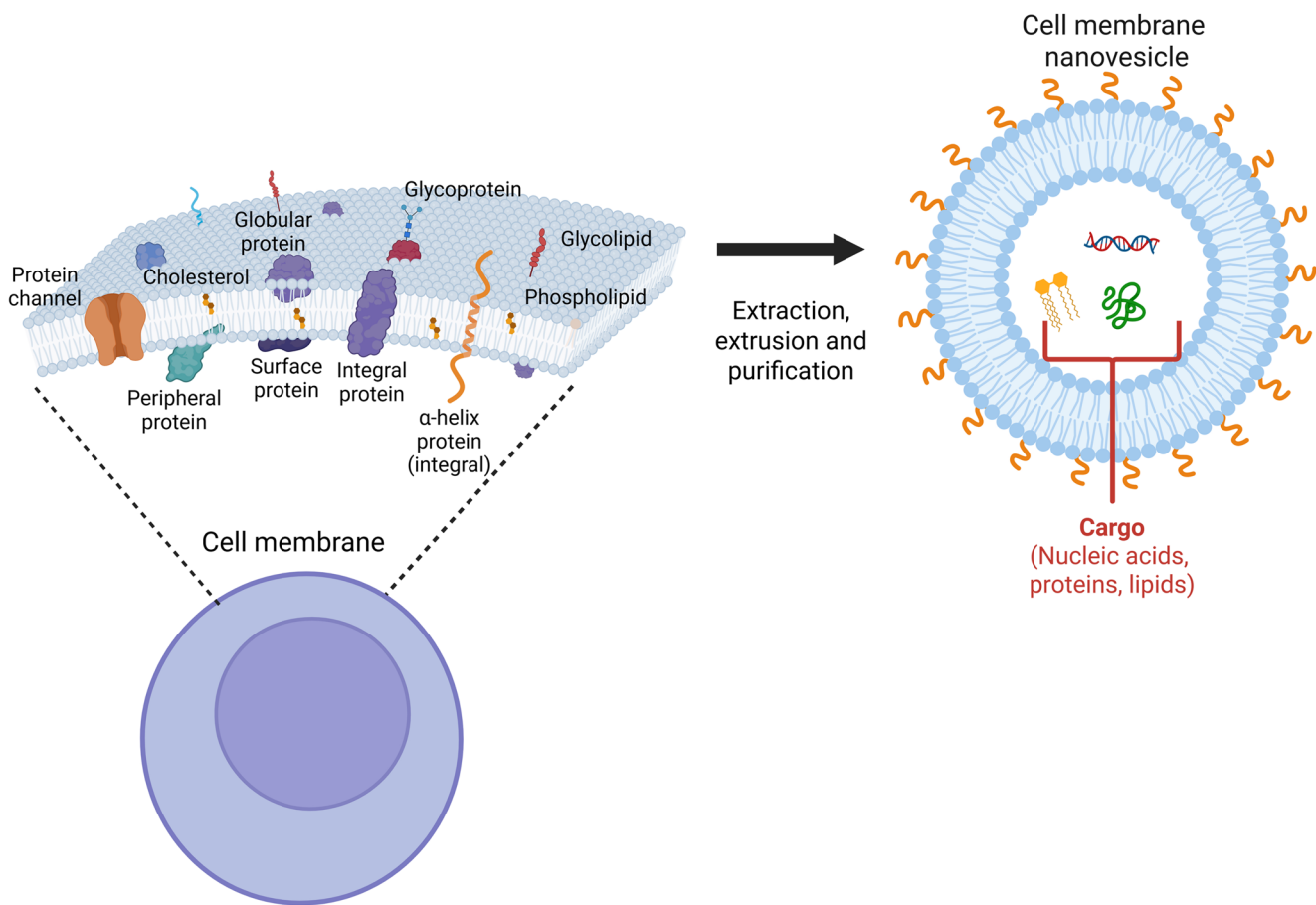
### 7.1 Cell-membrane nanovesicles

With the clear advantages demonstrated by using of sEVs for drug delivery and the challenges that limit their large-scale use and translation, researchers have explored the possibility of utilizing alternate nanovesicle systems that could closely mimic the physicochemical and biological properties of sEVs [42]. Here, cell-membrane nanovesicles, which are synthesized from cell-membranes extracted from a parent cell-source, and re-structured to the nanoscale (~ 100–200 nm), have shown great capacity as sEVs mimics [253–257] (Fig. 4). Similar to sEVs, these structures exhibit properties close to their parent cell as they display similar protein and carbohydrate ligands that determine their biological activity

[258–260]. Importantly, unlike sEVs, the synthesis of cell-membrane nanovesicles do not require energy intensive processes and can be synthesized at a larger scale thereby reducing its production cost and improving clinical translation capability [261]. A typical method for the synthesis of cell-membrane nanovesicles consists of (i) cell harvesting, (ii) hypo-osmotic treatment to induce cell-swelling (under protease inhibiting conditions), (iii) freeze-thaw treatments or homogenization to facilitate cell-disruption, (iv) cell-membrane isolation through centrifugation and (v) their extrusion through porous membranes to force the formation of nano-sized vesicles, with some variations in these steps reported in literature [262–264] (Fig. 4). Here, the limiting step to scale-up synthesis of cell-membrane nanovesicles includes the maintenance of large-scale cell-cultures which could be accomplished through established commercial routes and large-scale cell-extrusion which could be achieved through industrial grade extruders, or through the use of reported modified devices and techniques [265].

To utilize cell-membrane nanovesicles for brain/hypothalamic delivery, the parent cell source from which the cell-membrane is isolated is important as it will play a key role in modulating its activity, pharmacokinetics, and cellular interaction. One strategy is to use cells from the CNS itself. In one example, for developing a treatment strategy for multiple sclerosis, nanovesicles made from extracted myelinated nerve fibers were utilized [266]. Here, the choice of the myelinated nerve fiber membranes was to extract and administer autoantigens in the form of a nanodelivery vehicle to produce an immunological energy to ameliorate immune dysfunction mediated myelin destruction that occurs in multiple sclerosis [266]. Upon intranasal administration in healthy rats, significant brain penetration was observed for the myelin nanovesicles in 2 h with its presence detected particularly in the olfactory bulbs and cerebellum [266]. A similar targeting strategy was reported for the treatment of ischemic encephalopathy wherein astrocyte cell membrane nanovesicles were used [267]. In these cases, the ability of the cell-membrane nanovesicles to recognize and “home” to its target site is taken to advantage.

Apart from utilizing cells that comprise the brain tissue for the generation of brain targeted nanovesicles, immune cells from the periphery have also been used for targeting the CNS. This was specifically demonstrated for the condition of multiple sclerosis wherein cell membrane nanovesicles from neutrophils were utilized for the treatment of experimental auto-immune encephalomyelitis (EAE) in mice [268]. Upon intravenous administration of these nanovesicles, EAE amelioration was observed which was brought about by improving the phagocytic capacity of microglia leading to better myelin debris clearance [268]. Here, even though a direct evaluation of the penetration



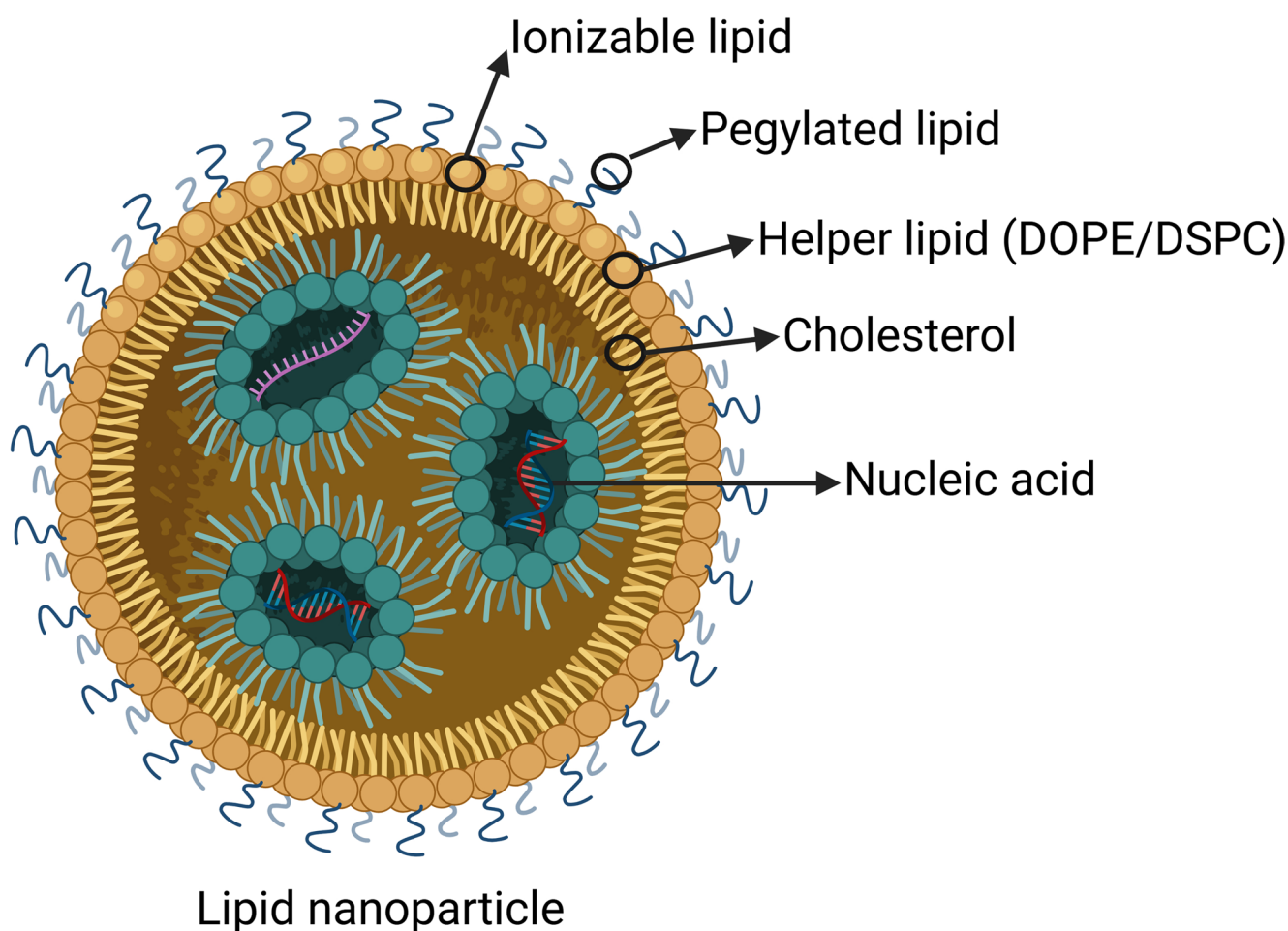
**Fig. 4** Generation and structure of cell membrane nanovesicles. Schematic representation of cell membrane-derived nanovesicles. Plasma membranes, which contain a complex mixture of phospholipids, cholesterol, glycolipids and diverse membrane proteins (including channels, peripheral and integral proteins, and glycoproteins), are isolated from source cells and subjected to extraction, extrusion and purification steps to form cell membrane nanovesicles. These biomimetic

vesicles preserve the native lipid composition and many of the surface proteins of the parental cell, while their lumen can be loaded with therapeutic cargo such as nucleic acids, proteins and lipids. Compared with naturally secreted sEVs, cell membrane nanovesicles can be generated in higher yields by scalable mechanical processes, offering improved batch-to-batch reproducibility and more straightforward manufacturing for therapeutic applications

capacity of the nanovesicles into the brain was not undertaken, owing to its small size and immune escape capability, its capacity to penetrate deep into the brain should be high. Similar to this, platelet derived cell-membrane nanovesicles have also been demonstrated for the treatment of Alzheimer disease as an example [269]. It is important to note that in such diseased conditions, such as in multiple sclerosis or ischemia, the BBB is considered to be compromised [270, 271], which may enhance the penetration of nanoparticles to the brain. On the other hand, under conditions of metabolic dysfunction the BBB remains intact, and it would be beneficial to include ligands for receptor mediated endocytosis for enhanced brain penetration. Furthermore, the application of external stimuli such as ultra-sound could also be utilized for increasing brain penetration in an otherwise intact BBB [272, 273].

Although endogenous cell-membrane components would be a rational choice for the development of biomimetic

nanocarriers, some reports have recently demonstrated the use of exogenous cell-membrane nanovesicles for drug-delivery. Among these, outer membrane vesicles (OMVs) derived from bacteria, similar to sEVs, have shown good potential for the delivery of different types of cargo including nucleic acids, enzymes, proteins, and small molecules [274], and have also shown good promise as possible vaccines owing to the presence of immune activating components such as lipopolysaccharide, lipoteichoic acid, and other bacterial proteins [275]. In one such report, OMVs derived from genetically engineered *Escherichia coli* BL21 bacteria carrying an under-acylated lipopolysaccharide, which induces lower immune response, was used for drug delivery to the brain [276]. Here, the penetration into the BBB is thought to occur through its interaction with a chaperone glycoprotein 96 expressed on the BBBs endothelial cell surface [277] or through entry after neutrophil uptake [278].



**Fig. 5** Structural organization and key components of lipid nanoparticles. Schematic representation of a lipid nanoparticle (LNP) formulated for nucleic acid delivery. The particle core contains nucleic acid strands complexed with ionizable lipids, which are positively charged at acidic pH during formulation to efficiently condense and protect the cargo, but become largely neutral at physiological pH to reduce nonspecific interactions and systemic toxicity. The surrounding lipid matrix includes helper lipids such as DOPE or DSPC that stabilize the bilayer and facilitate endosomal escape, cholesterol to modulate mem-

brane fluidity and mechanical rigidity, and an outer shell of PEGylated lipids that improves colloidal stability, prolongs circulation time and reduces opsonization by serum proteins. Together, this modular composition enables high encapsulation efficiency, protection of labile nucleic acids, tuneable pharmacokinetics and scalable manufacturing, making LNPs a particularly attractive platform for mRNA and other nucleic acid therapeutics with potential adaptation for targeted delivery to defined brain and hypothalamic regions

## 7.2 Lipid nanoparticles

Among all the lipid-based nanoparticle systems till date, the current generation of lipid nanoparticles (LNPs) are the most advanced and clinically successful non-viral nucleic acid delivery system (Fig. 5), owing to their success in tackling the COVID-19 pandemic [279]. Their simple synthesis chemistries, easy tunability and scalability and wide nucleic-acid payload capacity [280] have made them one of the most widely researched nanoparticle delivery systems today. Fundamentally, LNPs consist of 4 lipid components: one ionizable cationic lipid, two helper lipids (a phospholipid and cholesterol) and a PEGylated lipid [280, 281] (Fig. 2). Here, the ionizable cationic lipid, which contains one or more tertiary amine functional groups, play the

most important role in electrostatically interacting with the nucleic acid payload, while the helper lipids and PEGylated lipids primarily provide structural integrity and stability respectively [282]. All 4 lipid components together play an important role in modulating their size, polydispersity, stability, encapsulating efficiency and transfection efficiency among other important parameters [282]. LNPs are synthesized by the simple mixing of the lipid components in ethanol (at fixed molar ratios) with the nucleic acid cargo in an acidic buffer (such as citrate or acetate), which leads to the self-assembly of the lipid components such that the nucleic acid cargo is protected from the outside environment [282]. The low buffer pH leads to the protonation of the ionizable lipid which drives its interaction with the nucleic acid cargo leading to its encapsulation within LNPs. This ionizable

cationic lipid also fundamentally enables endosomal escape once they are taken up by cells *in vitro* or *in vivo* [282]. Currently researchers are working on developing different types of ionizable cationic lipids that are biodegradable and enable good encapsulation and transfection efficiencies *in vivo*. One of the critical factors for the success of LNPs worldwide that is often overlooked is their simple synthesis process that can be scaled up easily and quickly with commercially available microfluidic mixers or jet mixers that can enable synthesis from a scale of milliliters to hundreds of liters. All these factors along with the flexibility provided by the nucleic acid cargo for the treatment of different types of diseases have made LNPs one of the most highly efficient and sought out nanomaterial for nucleic acid therapeutics. Apart from nucleic acid cargo, LNPs have also been demonstrated for the delivery of small molecules and protein cargo [283].

Even though LNPs have been highly successful in delivering nucleic acid therapeutics both *in vitro* and *in vivo*, this technology still faces the challenge of achieving specific targeting to the desired site of action once administered systemically. At present, intensive efforts are being directed towards the development of organ-specific and disease-specific LNP formulations, and several studies have already demonstrated their potential for brain targeting. For example, LNPs conjugated with an antibody against vascular cell adhesion molecule-1 (VCAM-1) have been synthesized to target ischemic regions in the brain, taking advantage of the overexpression of VCAM-1 on endothelial cells under these conditions [48]. In this study, targeted LNPs showed greater brain accumulation than untargeted controls [48], and, importantly, delivery of an IL-10-encoding mRNA using these LNPs produced a stronger therapeutic effect than the administration of the anti-inflammatory drug dexamethasone in targeted liposomes [48, 284]. This superiority was attributed to the “delayed protection” provided by nucleic acid therapeutics, which can sustain protein expression for several days, as opposed to the more transient “early protection” afforded by small-molecule drugs [48, 284].

As mentioned previously, achieving targeting to specific sites within the brain requires a dual strategy that addresses both the BBB endothelium and the brain region where cargo release is desired. This concept was illustrated in a study on glioblastoma therapy, in which a five-component LNP formulation was developed; the additional fifth lipid, NT1-O14B, is an ionizable cationic lipid conjugated to the neurotransmitter tryptamine and was shown to markedly enhance brain delivery [283]. Additionally, a PEGylated lipid functionalized with the Angiopep-2 peptide, which has high affinity for low-density lipoprotein receptor-related protein 1 (LRP1) overexpressed at the BBB and in glioblastoma cells, was used together with a non-functionalized

PEGylated lipid at different ratios [285]. Along with enhanced brain targeting and reduced liver accumulation, maximal brain uptake was achieved when 20–30% of the PEGylated lipid was substituted with Angiopep-2-functionalized PEGylated lipid, whereas lower (10%) or higher (40%) substitution levels resulted in reduced targeting efficiency [285]. Similar observations were made for RVG29 functionalized LNPs wherein optimum transfection efficiency was observed only at 10% substitution of PEGylated lipid with peptide [286]. Overall, the addition of the Angiopep-2 targeting agent led to a brain accumulation of 2.23% injected dose which was 1.35 times higher than its non-targeted control [286]. A similar approach was utilized in another report, where an ionizable lipid OS4T was synthesized that was chemically bound to the ligand of serotonin receptor (5-hydroxytryptamine type 3), that had the ability to cross the BBB. The synthesized LNPs showed optimum transfection efficiency only when a particular molar ratio of 40:40:60:0.75 (Ionizable lipid/DOPE/Chol/DMG-PEG2k) was used. Furthermore, when different cell penetrating peptides including vascular endothelial cadherin derived peptide (pVEC), RVG, and transactivator of transcription peptide (Tat) were conjugated onto the LNPs, only the Tat CPP showed maximum brain penetration with nucleic acid delivery observed in neurons, astrocytes, microglia, and brain capillary endothelial cells [287]. This study as well as others [287, 288] show how careful optimization of LNP synthesis and ligand selection play a key role in achieving organ specific targeting.

One exciting upcoming application of LNPs is its ability to deliver genome editing machinery *in vivo* [289–292]. LNPs were demonstrated for the efficient association of Cre-recombinase enzyme as well as the Cas9-sgRNA ribonucleoprotein machinery [134]. Here, while the Cas9-sgRNA was inherently anionic (which enabled its electrostatic interaction with the ionizable cationic lipid), the Cre-recombinase cargo was fused with a modified negatively supercharged green fluorescent protein (GFP), that enabled its association to LNPs. By utilizing an ionizable cationic lipid with different amine head groups and hydrophobic chain lengths, and by modulating the anionic charge of the GFP protein to alter encapsulation efficiency, an optimized formulation was determined which showed maximum protein delivery and gene recombination efficiency in *in vitro* conditions [134]. Upon intra-theal administration of the optimized formulation in specific regions of the brain in Rosa<sup>26tdTomato</sup> mouse, strong TdTomato signal could be observed at the injected sites 6 days post administration highlighting its ability to successfully undertake gene editing *in vivo* [134]. Importantly, it was shown that gene editing was possible in extremely small regions of the brain, which opens the possibilities of treating various neurological diseases [134]. This,

and other studies utilizing LNPs showcase the tremendous opportunities that could be taken to advantage through gene editing [289–292] and nucleic-acid delivery [48, 285, 286] and could perform very well in targeting the hypothalamus to enable regulation of energy homeostasis and treatment of various metabolic disorders.

## 8 Conclusions

The hypothalamus sits at the core of whole-body energy homeostasis, integrating peripheral hormonal, nutrient, and neural cues to orchestrate feeding behavior, energy expenditure, and neuroendocrine responses [13, 16–21]. Its dysfunction contributes to obesity, insulin resistance, type 2 diabetes, and other metabolic disorders [13, 16–21], yet direct therapeutic modulation of hypothalamic circuits remains technically challenging [20, 36]. In parallel, nanomedicine has matured to the point where clinically approved nanocarriers and increasingly sophisticated targeting strategies can be rationally engineered for brain delivery [20, 23–25, 29, 36]. Bringing these two fields together opens a largely unexplored but highly promising avenue for metabolic disease intervention.

Current nanoparticle platforms already enable efficient crossing of the BBB through receptor-mediated transcytosis, disease-associated BBB remodeling, or nose-to-brain routes, and can be further refined to reach specific hypothalamic nuclei or cell types [20, 23–25, 29, 36]. By combining tailored materials, surface ligands, and controlled release properties, nanocarriers can deliver small molecules, peptides, or nucleic acids to modulate key hypothalamic pathways such as AMPK [20, 36, 43–45], CPT1A activity [194], inflammatory cascades, or neuropeptide networks. Adapting brain-penetrant cell-membrane nanovesicles and LNPs to selectively modulate defined hypothalamic targets such as AMPK and CPT1A, or specific neuronal populations including POMC and agouti-related peptide (AgRP) neurons and pro-inflammatory microglia, represents a key unmet goal. Achieving this level of precision would enable neurometabolic interventions that go beyond current systemic therapies and could transform the treatment of obesity and related metabolic disorders.

However, despite this potential, several hurdles must be overcome before hypothalamus-focused nanotherapies can reach the clinic. These include **(i)** improving selectivity for defined neuronal and glial populations, **(ii)** minimizing off-target accumulation and long-term toxicity, **(iii)** standardizing large-scale and reproducible manufacturing, and **(iv)** developing robust imaging and biomarker strategies to monitor target engagement in humans. Integration of human genetics, advanced neuroimaging, and circuit-level

physiology with rational nanocarrier design will be essential to identify the most relevant targets and delivery routes for specific metabolic indications. Overall, the convergence of hypothalamic biology and nanodelivery technologies will offer a unique opportunity to move beyond systemic pharmacology towards precision neurometabolic interventions, and merits concerted multidisciplinary efforts in the coming years.

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**Data availability** No datasets were generated or analysed during the current study.

## Declarations

**Clinical trial number** Not applicable.

**Competing interests** ML serves as Scientific Director of Gazella Biotech, a company developing nanotechnology-based treatments for obesity, and of Lyrea Biotech, a company developing nanotechnology-based treatments for ischemic stroke.

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