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Veterinary Parasitology, 2011, 175(3-4), 220-229

<https://doi.org/10.1016/J.VETPAR.2010.10.028>

1 **Influence of adjuvant and antigen dose on protection induced by an inactivated**
2 **whole vaccine against *Neospora caninum* infection in mice.**

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1 **ABSTRACT**

2 In this study, the protection afforded by a *Neospora caninum* inactivated vaccine
3 formulated with three different adjuvants (water-in-oil emulsion, aluminum hydroxide
4 with CpG oligodeoxynucleotides and aluminum hydroxide with ginseng extract) and
5 three different parasite doses (10^5 , 5×10^5 or 10^6 inactivated whole tachyzoites) was
6 evaluated using a mouse model. Mice were immunized subcutaneously twice at three-
7 week intervals with inactivated Nc-Spain 1H tachyzoites and challenged by
8 intraperitoneal inoculation with 10^6 live Nc-1 tachyzoites. The efficacy of the
9 immunization was evaluated in non-pregnant BALB/c mice on days 1 and 5 (acute
10 infection phase) and days 14 and 30 (chronic infection phase) post-challenge. The
11 results showed the ability of water-in-oil emulsion combined with inactivated 5×10^5
12 tachyzoites to induce protection against neosporosis during the chronic stage, limiting
13 parasite multiplication in the brain. Aluminum hydroxide-ginseng extract and
14 inactivated tachyzoites reduced the number of parasites circulating in the blood during
15 acute phase but failed to limit the establishment of chronic infection. On the other hand,
16 a dose-effect was observed in groups vaccinated with aluminum hydroxide-ginseng
17 extract in which the lesion severity increased as the inactivated tachyzoite dose. This
18 study demonstrates that efficacy can significantly vary depending on the adjuvant, the
19 dose of antigen and the phase of *N. caninum* infection in which the vaccine is tested.

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21 **Key words:** *Neospora caninum* / inactivated vaccine/ mice /adjuvant

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1 **1. Introduction**

2 *Neospora caninum* is cyst-forming coccidian parasite that is recognized worldwide as a
3 cause of reproductive failure in cattle (Dubey et al., 2007). Neosporosis is generally
4 latent and asymptomatic in non-pregnant cattle, although the consequences of infection
5 in a pregnant cow can be abortion, birth of a weak calf or birth of a clinically healthy
6 but persistently infected calf (Innes et al., 2002). The development of an effective and
7 safe vaccine against bovine neosporosis is of great importance today due to the
8 significance of the economic losses in the dairy and beef industries (Dubey et al.,
9 2007).

10 Immunization with live tachyzoites has been reported to confer excellent protection in
11 mice (Miller et al., 2005) and cattle (Williams et al., 2007). However, live parasite
12 vaccines can have problems with safety and short shelf-life; thus the development of an
13 inactivated vaccine could be a practical option for the control of bovine neosporosis. In
14 the last few years, antigen extracts of *N. caninum* tachyzoites and recombinant antigens
15 have been tested in mice, displaying some success at inducing a protective immune
16 response (Innes and Vermeulen, 2006).

17 The use of potent adjuvants that can boost antigen immunogenicity and induce an
18 appropriate immune response is a critical factor in designing inactivated formulations.
19 In particular, it is one of the main goals in devising vaccines against intracellular
20 protozoan infections such as neosporosis, protection from which cell-mediated
21 immunity (CMI) plays a significant role (Innes et al., 2002). Traditional adjuvants such
22 as emulsions and aluminum hydroxide have been widely tested in vaccine trials against
23 several protozoa such as *Plasmodium falciparum* (Coler et al., 2009), *Toxoplasma*
24 *gondii* (Petersen et al., 1998; Martin et al., 2004) and *Leishmania major* (Tonui et al.,
25 2004). It has been suggested that the mechanism of action of these adjuvants is

1 primarily the slow release of antigen into draining lymph (Brewer and Alexander,
2 1997). Both types of adjuvant have also been proposed to enhance antigen uptake,
3 activate innate immune pathways and induce local recruitment of immune cells, thereby
4 generating an immunocompetent environment at the injection site (Tritto et al., 2009).
5 Administration of water-in-oil (W/O) emulsions stimulates antibody producing plasma
6 cells and also enhances cellular immune responses (Aucouturier et al., 2001; Aguilar
7 and Rodriguez, 2007). On the other hand, aluminum hydroxide has been associated with
8 the induction of high levels of antibodies and Th2-type responses when used alone
9 (Lindblad, 2004). However, when co-administered with other adjuvants such as
10 oligodeoxynucleotides containing unmethylated CpG motifs (CpG-ODN) or saponins
11 from ginseng, aluminum hydroxide may synergistically enhance the immune response,
12 inducing both Th1- and Th2-type immune responses (Mutwiri et al., 2004; Sun et al.,
13 2008).

14 Antigen dose has been shown to influence both the type of immune response and the
15 production of cytokines (Hosken et al., 1995), which may influence the efficacy of a
16 vaccine. In neosporosis, no studies focused on antigen dose influence has been carried
17 out, but this factor seems to be important since high parasite doses has been suggested
18 to exacerbate cerebral infections (Baszler et al., 2000).

19 Because the adjuvant and dose of antigen may influence the development of a protective
20 immune response, the present study was carried out to evaluate different combinations
21 of adjuvants (water-in-oil emulsion and aluminum hydroxide plus CpG-ODN or
22 ginseng extract) and doses of antigen (low, medium, high) for the development of
23 protective efficacy using an inactivated vaccine against *N. caninum* in a BALB/c mouse
24 model.

25

1 **2. Material and methods**

2 *2.1. Mice*

3 Eight-week old female BALB/c mice were obtained from a commercial supplier
4 (Harlan Interfauna Ibérica, Spain). They were free of common viral, parasite and
5 bacterial pathogens according to the results of routine screening procedures performed
6 by the manufacturer. Mice were fed *ad libitum*, in a controlled environment with a 12-
7 hour light and 12-hour dark cycle. All mouse handling procedures complied with EU
8 legislation.

9 *2.2. Parasites and antigen*

10 Two different *N. caninum* isolates were used: Nc-Spain 1H, originally obtained from
11 the brain of a naturally infected asymptomatic calf (Rojo-Montejo et al., 2009b) was
12 used for immunization, and Nc-1 (Dubey et al., 1988) was used for the challenge dose.
13 Both isolates were maintained under the same conditions in a continuous passage of
14 Marc-145 cells as described previously (Perez-Zaballos et al., 2005). Nc-Spain 1H
15 tachyzoites were washed three times in sterile phosphate-buffered saline (PBS, pH 7.4),
16 separated from host cell debris by passing the mixture through a 25-gauge needle,
17 followed by passage through a PD-10 column (Amersham Biosciences, Sweden). The
18 average number of tachyzoites was determined by counting five aliquots using a
19 Neubauer chamber (standard error of the mean 5%). Then, Nc-Spain 1H purified
20 tachyzoites were inactivated with 0.01 M (final concentration) binary ethylenimine
21 (BEI) for a period of 96 h at 4°C, followed by neutralization with sodium thiosulfate
22 (Andrianarivo et al., 2000).
23 For challenge, Nc-1 tachyzoites were harvested, and viability was determined by
24 Trypan blue exclusion followed by counting in a Neubauer chamber. The organisms

1 were adjusted to a concentration of 10^6 tachyzoites in a final volume of 200 μ l/mouse
2 and used immediately to infect the mice.

3 Nc-1 tachyzoites for PCR controls were purified as described above, pelleted by
4 centrifugation ($600 \times g$, 10 min) and frozen at -80°C until use. To obtain soluble *N.*
5 *caninum* protein antigen, purified Nc-1 tachyzoites were suspended in 1 ml of 10 mM
6 Tris-HCl containing 2 mM phenylmethylsulfonyl fluoride (Sigma, USA) and disrupted
7 by ultrasound treatment (Sonifier 450, Branson Ultrasonic, USA) in an ice-bath. Cell
8 debris and unlysed cells were removed by centrifugation ($10,000 \times g$, 20 min, 4°C).
9 Supernatant protein was quantified using the Micro BCA protein assay (Pierce, USA),
10 and then the supernatant was aliquoted and frozen at -80°C until use.

11 *2.3. Adjuvants*

12 Inactivated whole Nc-Spain1H tachyzoites were incorporated into a water-in-oil
13 emulsion (adjuvant A), aluminum hydroxide with CpG oligodeoxynucleotides (adjuvant
14 B) or aluminum hydroxide with ginseng extract (adjuvant C). In the adjuvant A, the
15 emulsion was used at a concentration of 104 mg per dose. In adjuvants B and C,
16 aluminum hydroxide was used at a concentration of 1.53 mg per dose, combined with
17 0.01 mg of CpG oligodeoxynucleotides or 0.4 mg of ginseng extract, respectively. All
18 the adjuvant preparations were developed by HIPRA (Girona, Spain). The immunizing
19 doses were prepared in a final volume of 200 μ l per mouse.

20 *2.4. Experimental design and sampling*

21 BALB/c mice were divided into 17 groups, each consisting of 20 animals. Each of the
22 mice was subcutaneously injected with either 10^5 , 5×10^5 or 10^6 inactivated whole Nc-
23 Spain1H tachyzoites incorporated into adjuvant A, B, C or phosphate-buffered saline
24 (PBS). Other groups received adjuvant A, B, C or PBS alone (Table 1). The mice were
25 immunized subcutaneously twice at three-week intervals and sublethally challenged

1 intraperitoneally (i.p.) three weeks after the last immunization with 10^6 live Nc-1
2 tachyzoites. The animals were monitored daily for the presence of clinical signs of
3 neosporosis. Evaluation of clinical signs was based on previous *N. caninum* infection
4 studies (Atkinson et al., 1999; Eperon et al., 1999; Collantes-Fernandez et al., 2006) .
5 Five randomly selected animals from each group were sacrificed on days 1 and 5 (acute
6 infection stage) and days 14 and 30 (chronic infection stage) post-challenge. Blood
7 samples were collected by cardiac puncture in EDTA tubes, centrifuged and plasma was
8 recovered and cryopreserved at -80°C for antibody analysis. Pelleted EDTA-blood cells
9 were stored at 4°C until DNA extraction. Brain and lung samples were aseptically
10 recovered and frozen at -80°C until they were analyzed by PCR. On days 14 and 30
11 post-challenge, one brain hemisphere was fixed in 10% neutral buffered formalin
12 solution for processing by routine histological methods.

13 2.5. DNA extraction and ITS1 nested-PCR

14 A Real Pure Extraction genomic DNA kit (Durviz, Spain) was employed to extract
15 DNA from tachyzoites and 10-20 mg of host tissues, and the Real Pure DNA Extraction
16 SSS (Durviz, Spain) to extract DNA from blood samples according to the
17 manufacturers' protocols. The quantity of DNA was measured spectrophotometrically,
18 and samples were diluted to a final concentration of $40\text{ ng}/\mu\text{l}$ for DNA detection by
19 nested-PCR and quantification by real time-PCR. For detection of parasite DNA, a
20 nested-PCR on the internal transcribed spacer (ITS1) region of *N. caninum* was carried
21 out with four oligonucleotides as described by Buxton et al. (Buxton et al., 1998).
22 Secondary amplification products were visualized by 1.8% agarose gel electrophoresis
23 and ethidium bromide staining. To avoid false positive reactions, DNA extraction, PCR
24 sample preparation and electrophoresis were performed in separate rooms employing
25 different sets of instruments, aerosol barrier tips and disposable gloves. Moreover,

1 negative control samples were included in each set of DNA extractions and PCR
2 reactions.

3 *2.6. Evaluation of parasite burden by real-time PCR*

4 *N. caninum* DNA in positive nested-PCR samples was quantified by real-time PCR as
5 described previously (Collantes-Fernandez et al., 2002) using an ABI 7300 Prism
6 Sequence Detector Machine (Applied Biosystems, USA) and the commercial kit
7 Platinum SYBR Green qPCR Supermix-UDG (Invitrogen, U.K.). Oligonucleotide
8 primers pairs from the *N. caninum* Nc5 sequence that amplify a 76-bp DNA fragment
9 were used to quantify parasite load, and for the quantification of host DNA, specific
10 fragment of 71 bp was amplified from the 28S rRNA gene (Collantes-Fernandez et al.,
11 2002). Samples were run in duplicate in separate tubes. *N. caninum* organisms were
12 quantified by interpolation of Ct values (cycle threshold: the fractional cycle number
13 reflecting a positive PCR result) on a standard curve from DNA equivalent to 10^{-1} - 10^4
14 tachyzoites. The amount of DNA per sample was normalized by quantification of the
15 28S rRNA gene, and a standard curve was generated with five-fold serial dilutions of
16 murine DNA quantified by UV spectrophotometry. The data were analyzed with
17 Sequence Detection System Software v.1.6 (Applied Biosystems, USA). Parasite load
18 was expressed as parasite number/ μ g host DNA.

19 *2.7. Histopathological analysis*

20 Multiple sections of different regions of the brain were examined by routine histological
21 methods. Tissues fixed in 10% neutral formalin and dehydrated through graded alcohols
22 were paraffin embedded, sectioned, and stained with hematoxylin and eosin. Analysis
23 was based on the observation of lesions characteristic of or consistent with *N. caninum*
24 infection in the brain (Lindsay et al., 1995; Collantes-Fernandez et al., 2004). Lesions in
25 the brain were assessed according to the severity of inflammation and the extension of

1 affected tissue in each section, and lesion scores were assigned on a three-point scale
2 according to the following scheme: no lesion (= 0); mild meningitis, perivascular
3 cuffing and gliosis (= 1); moderate meningitis, perivascular cuffing, gliosis, mild glial
4 nodules and focal granulomas (= 2); or severe lesions including meningitis, perivascular
5 cuffing, gliosis, glial nodules and multifocal granulomas (= 3) (Pereira Garcia-Melo et
6 al., 2010). The mean of these values was determined for each animal and a median
7 lesion score corresponding to each group was represented.

8 *2.8. Humoral immune responses*

9 *N. caninum*-specific serum isotypes IgG2a and IgG1 were determined by ELISA.
10 Briefly, 96-well plates were coated with soluble *N. caninum* tachyzoite antigen (0.5 µg
11 in 100 µl/well), and diluted murine serum samples (1:100) and anti-mouse IgG2a or
12 IgG1 antibody (1:5,000; Southern Biotechnology, USA) were used as described
13 previously (Collantes-Fernandez et al., 2006).

14 *2.9. Statistical analysis*

15 No significant differences were found in frequency of parasite detection between the
16 two time points per infection phase. Consequently, to make the statistical analysis more
17 consistent by increasing the number of animals per group, data collected within a phase
18 were pooled (on days 1 and 5 p.i. for acute phase and on days 14 and 30 for chronic
19 phase). All immunized groups were compared to the non-immunized/challenged group
20 to evaluate the protective efficacy of the vaccine. The influence of the adjuvant type and
21 killed *N. caninum* tachyzoites dose was evaluated by comparing the different adjuvants
22 at the same antigen dose and the different antigen doses with the same adjuvant group,
23 respectively.

24 Frequencies of parasite detection were compared by Fisher's exact test. The parasite
25 load and lesion scores were analyzed using the Kruskal-Wallis test followed by a non-

1 parametric multiple-comparison test. When a statistically significant difference was
2 obtained using the Kruskal-Wallis test but the multiple comparison test failed to reveal
3 it, the results obtained by the Kruskal-Wallis test were preferred as indicated by
4 Morrison et al. (2002). Serological data were compared using one-way ANOVA
5 followed by Duncan's Multiple Range test. All statistical analyses were performed
6 using STATGRAPHICS Plus 4.1 (StatPoint, USA).

7

8 **3. Results**

9 *3.1. Clinical signs*

10 Clinical signs compatible with *N. caninum* infection (inactivity, rough coat and pelvic
11 limb weakness) were observed in the fourth week post-challenge (chronic stage) in very
12 few animals. Specifically, one mouse each from groups 10 ($C/5 \times 10^5$) and 12 (C/PBS)
13 displayed clinical signs.

14 *3.2. Parasite detection in the blood, lungs and brain*

15 The presence of *N. caninum* DNA in blood and lung was more often detected during the
16 acute infection phase (days 1-5 post-challenge), whereas in brain was mainly observed
17 in the chronic infection phase (days 14-30 post-challenge), (Table 2).

18 Significant differences during acute phase were only observed in parasitaemia. In
19 particular, the immunization with $C/5 \times 10^5$ (group 10) reduced significantly the
20 parasitaemia compared to non-immunized/challenged animals (group 16) ($P < 0.05$).

21 When the adjuvants were compared, the number of animals with parasitaemia was
22 lower in group vaccinated with $C/5 \times 10^5$ (group 10) than in those inoculated with
23 $A/5 \times 10^5$ (group 2), $B/5 \times 10^5$ (group 6) and $PBS/5 \times 10^5$ (group 14) ($P < 0.05$). The effect of
24 antigen dose was observed in groups given adjuvant C. Particularly, parasite presence in

1 blood was lower in animals immunized with $C/5 \times 10^5$ (group 10) than in those
2 inoculated with C/PBS (group 12) ($P < 0.05$).

3 During chronic stage (days 14-30 post-challenge), parasitaemia was transient in all
4 groups and no significant differences were found. The parasite presence in the lungs
5 was low in most groups. However, when the influence of adjuvant was evaluated,
6 significant increased parasite presence was detected in lungs from the group given $C/10^6$
7 (group 11) compared with group vaccinated with $A/10^6$ (group 3) ($P < 0.01$). In addition,
8 parasite DNA was more often detected in groups immunized with inactivated
9 tachyzoites plus PBS than in animals vaccinated with adjuvant A either with 5×10^5 or
10 10^6 inactivated tachyzoites (groups 14, 15 versus 2, 3; $P < 0.05$). In the brain, no positive
11 animals were found in group 2 ($A/5 \times 10^5$) in which the parasite presence was
12 significantly lower than in non-immunized/challenged animals (group 16) ($P < 0.01$). An
13 adjuvant-dependent effect was detected in groups immunized with adjuvant A or B.
14 Particularly, parasite DNA was demonstrated in a lower number of animals given $A/10^5$
15 and $A/5 \times 10^5$ than in groups immunized with $C/10^5$, $C/5 \times 10^5$, $PBS/5 \times 10^5$ (groups 1 vs.
16 9; 2 vs. 10, 14; $P < 0.05$). Immunization of mice with adjuvant B combined with 10^6
17 inactivated tachyzoites also significantly reduced the parasite presence compared with
18 group vaccinated with $C/10^6$ (group 11) ($P < 0.05$). The effect of antigen dose was
19 observed in groups given $A/5 \times 10^5$ and $B/10^6$ in which parasite presence was
20 significantly reduced compared with groups vaccinated with the adjuvant-control
21 groups (A/PBS and B/PBS) ($P < 0.05$).

22 These results indicate that immunization with $C/5 \times 10^5$ lead to the reduction of
23 parasitaemia in the acute infection phase whereas immunization with $A/5 \times 10^5$
24 efficiently limited the establishment of infection in brain.

1 3.3. Evaluation of parasite burden

2 On days 1-5 post-challenge, significant differences were observed in blood and groups
3 vaccinated with adjuvant C which showed a lower parasitaemia level ($P<0.05$) (Figure
4 1A). The immunization with $C/5\times 10^5$ (group 10) reduced significantly the parasitaemia
5 compared to non-immunized/challenged animals (group 16) ($P<0.05$). When we
6 determined the adjuvant effect, animals vaccinated with $C/5\times 10^5$ (group 10) reduced
7 parasitaemia level compared with $B/5\times 10^5$ (group 6) and $PBS/5\times 10^5$ (group 14)
8 ($P<0.01$) (Figure 1).

9 On days 14-30 post-challenge, animals immunized with adjuvant C and 10^6 inactivated
10 tachyzoites had greater parasite loads in the lungs (group 11 vs. group 3; $P<0.05$)
11 (Figure 1B). In the brain, mice vaccinated with $C/10^6$ had an even higher parasitic load
12 than the non-immunized/challenged animals ($P<0.05$). The effect of adjuvant on the
13 reduction of brain parasite loads was observed in groups vaccinated with adjuvant A or
14 B ($P<0.01$) (Figure 1C). Specifically, groups vaccinated with $A/5\times 10^5$ or $B/10^6$ showed
15 significantly lower parasite burdens than those immunized with adjuvant $C/5\times 10^5$,
16 $C/10^6$ and $PBS/5\times 10^5$ (2 vs. 10, 14; 7 vs. 11; $P<0.01$). A dose-dependent effect was
17 observed in groups immunized with adjuvant C in which an increase in the parasite load
18 was associated with a higher dose of inactivated tachyzoites (11 vs. 12, $P<0.01$).

19 Altogether, these data show the ability of adjuvant C and inactivated tachyzoites to
20 reduce the number of parasites circulating in the blood during acute phase but not to
21 limit the establishment of chronic infection in brain. On the other hand, immunization
22 with adjuvant A or B and inactivated tachyzoites displayed the lowest brain parasite
23 burden in the chronic infection phase.

24 3.4. Histopathology

1 In groups vaccinated with inactivated tachyzoites and adjuvant C and PBS,
2 histopathological examination of brain tissue from mice sacrificed on days 14-30 post-
3 challenge revealed the presence of large severe necrotic foci with mononuclear
4 infiltrates. A few animals, specifically from the PBS/ 5×10^5 and C/ 10^6 groups, showed
5 foci of dystrophic calcification in the necrotic areas. Animals vaccinated with adjuvants
6 A or B and non-immunized/challenged animals had mild to moderate microgliosis,
7 perivascular cuffs or no pathology. The statistical analysis showed that mice vaccinated
8 with adjuvant C or PBS combined with higher tachyzoite doses had higher lesion
9 severity scores than the non-immunized/challenged animals (group 11 and group 14
10 versus group 16; $P < 0.01$). Regarding adjuvant influence, immunization with adjuvants
11 A or B combined with higher inactivated parasite doses reduced the severity of lesions
12 compared with animals immunized with PBS (groups 2, 6 versus 14) or adjuvant C
13 (groups 3, 7 versus 11) ($P < 0.01$) (Figure 2). In addition, a dose-effect was observed in
14 groups vaccinated with adjuvant C in which the lesion severity increased as the
15 inactivated tachyzoite dose increased (group 9, 12 versus 11; $P < 0.01$).

16 Taken together these results show that adjuvant C or PBS plus high doses of inactivated
17 tachyzoites not only do not confer protection but also exacerbate the cerebral
18 neosporosis.

19 *3.5. Humoral immune response*

20 Production of IgG1 was predominant during the experiment in the vaccinated groups.
21 On days 1-5 post-challenge (Figure 3A), adjuvant A with 5×10^5 or 10^6 inactivated
22 tachyzoites induced higher levels of IgG1 and IgG2a isotypes than mice vaccinated with
23 adjuvants B, C or PBS plus inactivated tachyzoites and than non-immunized/challenged
24 animals ($P < 0.05$). An increase in antibody concentration was also observed in animals
25 vaccinated with PBS and inactivated tachyzoites (groups 14 and 15) compared with

1 adjuvants B and C ($P < 0.0001$). In addition, a parasite dose effect was observed since the
2 higher inactivated tachyzoites doses stimulated higher IgG1 and IgG2a values
3 ($P < 0.0001$).

4 On days 14-30 post-challenge (Figure 3B), mice vaccinated with inactivated tachyzoites
5 with adjuvant A, B, C and PBS developed higher IgG1 levels than non-
6 immunized/challenged animals during chronic infection ($P < 0.05$). Additionally, mice
7 given adjuvants A, B and C displayed higher IgG1 values than groups inoculated with
8 PBS as well as either 5×10^5 or 10^6 inactivated tachyzoites and control adjuvant groups
9 ($P < 0.01$). However, IgG2a levels were only significantly higher in groups vaccinated
10 with A/ 10^6 compared with A/ 10^5 or the adjuvant control group (A/PBS) ($P < 0.05$).

11 In summary, all challenged groups had higher concentration of IgG1 than IgG2a.
12 Furthermore, immunization with adjuvant A induced the highest antibody levels.

13 **4. Discussion**

14 In designing an inactivated vaccine formulation, the choice of appropriate adjuvants and
15 antigen doses is crucial for a successful vaccination program, and is essentially empiric.
16 Therefore, trial-and-error studies are a useful approach to select vaccine candidates with
17 acceptable safety and proven efficacy. Here, we performed a concurrent study of several
18 adjuvants formulated with the same antigen at different doses, evidencing the role of
19 these factors on protection against *N. caninum* infection.

20 In addition, the use of a laboratory model for testing vaccine formulations is necessary
21 for the selection of effective protocols prior to their use in cattle. We used a BALB/c
22 mouse model previously developed in our laboratory, in which experimental infections
23 with Nc-1 were characterized by an early phase, during which parasitaemia and parasite
24 DNA was detected mainly in lungs, and a chronic stage with parasite presence in the
25 brain (Collantes-Fernandez et al., 2006). Nc-1 isolate has been widely used as the

1 challenge isolate in numerous vaccine studies (Lindsay et al., 1999; Lunden et al., 2002;
2 Cannas et al., 2003; Debache et al., 2008; Ribeiro et al., 2009). Inoculation of high
3 doses of Nc-1 tachyzoites in mice has been observed to be lethal, inducing high
4 morbidity and mortality and severe lesions consistent with acute neosporosis (Alaeddine
5 et al., 2005; Ramamoorthy et al., 2006). In order to establish a latent stage of the
6 infection characterized by low mortality, the presence of the parasite in the brain and
7 encephalitis as the main lesion (Dubey and Lindsay, 1996; Collantes-Fernandez et al.,
8 2004), a sublethal challenge dose was utilised. On the other hand, in an attempt to avoid
9 the use of isolates maintained *in vitro* for several years, we used the new isolate Nc-
10 Spain 1H (Rojo-Montejo et al., 2009a; Rojo-Montejo et al., 2009b) with low passage
11 number, because culturing could select for variant parasite populations that do not
12 include antigens or present them incorrectly (Miller et al., 2005). Inactivated whole Nc-
13 Spain 1H tachyzoites were employed to deliver to the immune system with either *N.*
14 *caninum* organelles or membrane antigens accessible during intracellular or
15 extracellular phases of the infection. Furthermore, inactivated tachyzoites might be a
16 good candidate to use as antigen in vaccine trials since intact inactivated tachyzoites
17 have been shown to induce a greater increase in the number of IFN- γ producing NK
18 cells compared with sonicated soluble antigens (Klevar et al., 2007). Nc-Spain 1H
19 tachyzoites were inactivated with BEI, a chemical method shown to cause fewer epitope
20 changes to the antigen than other treatments (Blackburn and Besselaar, 1991) and that
21 have previously been used in a *N. caninum* inactivated vaccine formulation
22 (Andrianarivo et al., 2000).

23 Concerning adjuvants, various strategies were chosen. The first approach was to test a
24 standard water-in-oil emulsion (adjuvant A) widely used in ruminants, which primarily
25 acts as a depot. On the other hand, we employed the co-administration of aluminum

1 hydroxide with novelty adjuvants such as CpG-ODN (adjuvant B) or ginseng extract
2 (adjuvant C), which are able to modify the cytokine network. CpG-ODN is a potent
3 activator of the innate immune response that subsequently amplifies the antigen-specific
4 immune response. The saponins from ginseng extract have been reported to have
5 adjuvant properties and appear to exert a number of effects on the immune system,
6 including enhancing lymphocyte proliferation and stimulating the secretion of a broad
7 range of cytokines (Rivera et al., 2005; Sun et al., 2007; Song and Hu, 2009). The
8 combination of aluminum hydroxide with immunostimulatory substances may
9 synergistically enhance the immune response boosting both cellular (Th1) as well as
10 humoral (Th2) immune responses (Davis et al., 1998; Rivera et al., 2003; Sun et al.,
11 2008).

12 The results of this study demonstrated that the protective efficacy varied depending on
13 the adjuvant and the stage of *N. caninum* infection in which the vaccine was tested.
14 Thus, water-in-oil emulsion (adjuvant A) combined with whole inactivated tachyzoites
15 induced protection against neosporosis during the chronic stage. Specifically, in mice
16 vaccinated with adjuvant A and 5×10^5 inactivated tachyzoites, no detectable parasite
17 DNA and a reduction in the severity of lesions in the brain were found. It is unclear
18 what immunological mechanisms have been developed by this adjuvant in the
19 protection against cerebral neosporosis. The depot effect proposed as a mechanism of
20 action of W/O emulsions probably induced the significant increase of antibody
21 production observed in mice immunized with adjuvant A. W/O-mediated protection was
22 associated with predominant IgG1 but also high IgG2a levels, suggesting that a
23 Th1/Th2 balance could confer protection against cerebral disease. Debache et al. (2008)
24 also observed reduced cerebral infection in mice after immunization with other W/O
25 emulsions such as Freund's incomplete adjuvant mixed with recNcROP protein but in

1 contrast to the present results, the protection was associated with predominant IgG2a
2 levels.

3 Regarding adjuvant B, the properties of CpG plus aluminum hydroxide combination
4 have been previously demonstrated in vaccine trials against other protozoa (Near et al.,
5 2002; Su et al., 2003). However, no studies have yet been conducted to evaluate the
6 potential of this combination in neosporosis and only the immunostimulatory effect of
7 CpG-ODN has been recently tested against *Neospora*-infection (Ribeiro et al., 2009). In
8 this previous study, the protection induced by CpG-adjuvanted preparations against
9 cerebral infection was primarily influenced by the antigen choice (lysate antigen and
10 excreted-secreted antigens). In the present study, immunization with adjuvant B and 10^6
11 inactivated tachyzoites reduced parasite presence and burden in brain but significant
12 protection was not observed against non-immunized/challenged group. The partial
13 control of parasite infection induced by adjuvant B constitutes a promising result and is
14 motivation for future studies.

15 On the other hand, immunization of BALB/c mice with whole inactivated tachyzoites
16 and aluminum hydroxide-ginseng extract (adjuvant C) significantly reduced acute
17 parasitaemia but failed to protect during the chronic stage. Since the degree of
18 parasitaemia is likely to be an important factor in the outcome of *N. caninum* infection
19 in pregnant cattle (Innes et al., 2002; Staska et al., 2003), vaccination with the adjuvant
20 C could control the vertical transmission of the parasite during pregnancy, as it would
21 reduce the parasitaemia and a lower level of parasites would invade the placenta and
22 foetus. However, mice immunized with adjuvant C showed higher brain parasite burden
23 and lesion severity than non-immunized/challenged animals. In contrast, mice
24 immunized with adjuvant C alone had similar parasite levels to non-
25 immunized/challenged animals, showing that the adverse results seen in mice

1 vaccinated with adjuvant C combined with inactivated tachyzoites could be mainly due
2 to the parasite antigen inoculated. Conversely, our results disagree with a previous study
3 in which the level of parasitaemia appeared to correlate well with disease severity and
4 cerebral parasite loads (Pinitkiatisakul et al., 2008). Immunization with NcSRS2-iscoms
5 decreased the level of parasitaemia, which probably reduced the number of parasites
6 reaching the brain, and this could be the reason for the reduction in brain parasite load
7 and clinical symptoms. The reason why adjuvant C combined with parasite antigen
8 reduced the levels of parasitaemia but which was unsuccessful in protecting during the
9 chronic stage of *N. caninum* infection is unknown. Probably, the immune response
10 induced against rapidly replicating tachyzoites during acute infection could have
11 precipitated parasite survival strategies to evade the immune response. Ginseng extracts
12 have a broad range of immunological activities, including the improvement of
13 phagocytic activity of immune cells (Song and Hu, 2009). Co-administration of ginseng
14 extract and aluminum hydroxide with inactivated tachyzoites may have facilitated the
15 internalization of parasites by migratory cells of the immune system without their
16 neutralization, favouring their rapid dissemination to multiple organs including
17 immunoprivileged tissues such as the brain. Leukocyte trafficking to disseminate
18 intracellular parasites via a Trojan horse-type mechanism has been postulated for other
19 apicomplexan parasites such as *T. gondii* (Lambert et al., 2006).
20 Unexpectedly, immunization with parasite antigen plus adjuvant B or C induced a low
21 antibody response with a dominance of the IgG1 subclass. The precise immune
22 modulation induced by the adjuvants employed here could not be determined by means
23 of the analysis of *N. caninum*-specific IgG1 and IgG2a production due to a lack of
24 correlation between the protection level and the humoral immune response detected.

1 Therefore, more research is needed into the mechanisms by which protective immunity
2 can be induced by these formulations.

3 Understanding how antigen dose influences vaccine efficacy is also important for
4 designing vaccines. To date, several immunization schedules have been tested in *N.*
5 *caninum* inactivated vaccine trials (Baszler et al., 2000; Lunden et al., 2002; Cannas et
6 al., 2003; Ribeiro et al., 2009) although dose-related effects in inactivated formulations
7 have not been assessed. In the present study, three antigen doses (10^5 , 5×10^5 and 10^6
8 inactivated tachyzoites) were tested. The serological response demonstrated a dose-
9 related immunogenicity in all of the immunized groups. However, with respect to
10 protection, we only observed dose-dependent effects in animals immunized with
11 adjuvant C in the chronic stage, in which parasite loads and lesion severity increased in
12 the target organs as did the antigen dose. Similar findings were found after the use of 50
13 μg of soluble *N. caninum* tachyzoite antigen that resulted in an exacerbation of the
14 infection in mice (Baszler et al., 2000), whereas immunization of mice with low doses
15 of crude lysate such as the equivalent of 10^5 tachyzoites (approximately 5 μg) or 15 μg
16 reduced congenital transmission and protected completely against cerebral infection,
17 respectively (Liddell et al., 1999; Cannas et al., 2003). Moreover, immunization with
18 inactivated tachyzoites and PBS also enhanced susceptibility in the chronic phase, even
19 though we did not find a significant dose-related effect. Previously, it was reported that
20 mice immunized with parasite lysate alone or excreted-secreted antigens were
21 unprotected and appeared even more susceptible than the control mice, independently of
22 the inoculated parasite dose (Lindsay et al., 1999; Lunden et al., 2002; Ribeiro et al.,
23 2009).

24 In summary, data from this study demonstrated that the choice of adjuvant is crucial to
25 the efficacy of the inactivated vaccine, but the efficacy can also vary depending on

1 antigen dose and the stage of *N. caninum* infection in which the vaccine is tested.
2 Aluminum hydroxide-ginseng extract with 5×10^5 inactivated tachyzoites significantly
3 reduced acute parasitaemia, whereas the highest protection efficacy during the chronic
4 stage was observed with the oil-water emulsion with 5×10^5 inactivated tachyzoites,
5 which limited parasite multiplication in brain. This study primarily focused on the
6 efficacy of different vaccine formulations in a laboratory model. We consider this trial-
7 and-error approach a valuable tool for the preliminary selection of promising vaccine
8 protocols against *N. caninum* infection. Further studies are necessary to test the efficacy
9 against congenital neosporosis and for comprehensively evaluating the precise immune
10 modulation induced by these formulations.

11 **Acknowledgements**

12 We would like to thank Vanesa Navarro for her excellent technical assistance and
13 Ricardo García Mata for statistical advice. We are grateful to the SALUVET group for
14 their collaboration in this study. This work was supported by a PETRI 95-0777.OP
15 project from the Ministry of Education and Science of Spain and HIPRA. The Nc-
16 Spain1H isolate of *N. caninum* has been patented by the SALUVET group and HIPRA.

17

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- 10

1 **Tables**2 **Table 1.** Summary of group characteristics.

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Groups	Adjuvant	Killed tachyzoites dose	Challenge dose (Nc-1)
1	A	10^5	10^6
2	A	5×10^5	10^6
3	A	10^6	10^6
4	A	PBS	10^6
5	B	10^5	10^6
6	B	5×10^5	10^6
7	B	10^6	10^6
8	B	PBS	10^6
9	C	10^5	10^6
10	C	5×10^5	10^6
11	C	10^6	10^6
12	C	PBS	10^6
13	PBS	10^5	10^6
14	PBS	5×10^5	10^6
15	PBS	10^6	10^6
16	PBS	PBS	10^6
17	PBS	PBS	PBS

1 **Table 2.** Detection of *N. caninum* DNA by nested-PCR in blood, lungs and brain on
 2 days 1-5 (a) and days 14-30 post-challenge (b).

Groups	Blood		Lung		Brain	
	a	b	a	b	a	b
1 (A/10 ⁵)	9/10 ^a	1/10	5/10	0/10	1/10	2/10
2 (A/5 × 10 ⁵)	7/10	0/10	5/10	0/10	1/10	0/10
3 (A/10 ⁶)	8/10	0/10	6/10	0/10	1/10	3/10
4 (A/PBS)	8/10	0/10	5/10	1/10	0/10	5/10
5 (B/10 ⁵)	8/10	1/10	5/10	2/10	0/10	4/10
6 (B/5 × 10 ⁵)	8/10	0/10	3/10	2/10	0/10	3/10
7 (B/10 ⁶)	7/10	0/10	4/10	2/10	1/10	1/10
8 (B/PBS)	8/10	0/10	4/10	0/10	0/10	6/10
9 (C/10 ⁵)	5/10	1/10	4/10	3/10	0/10	7/10
10 (C/5 × 10 ⁵)	2/10	1/10	6/10	3/10	1/10	7/10
11 (C/10 ⁶)	4/10	1/10	2/10	5/10	0/10	8/10
12 (C/PBS)	8/10	0/10	4/10	0/10	0/10	4/10
13 (PBS/10 ⁵)	10/10	1/10	7/10	2/10	2/10	6/10
14 (PBS/5 × 10 ⁵)	9/10	0/10	5/10	4/10	0/10	8/10
15 (PBS/10 ⁶)	7/10	0/10	3/10	4/10	1/10	5/10
16 (non-immunized/ challenged control)	8/10	0/10	5/10	1/10	1/10	5/10
17 (non-immunized/ non-challenged control)	0/10	0/10	0/10	0/10	0/10	0/10

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^aFractions represent number of mice positive by nested-PCR/

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number of mice tested.

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1 **Figure legends**

2 **Figure 1.** Box-plot and whiskers graph represents the lower, upper quartiles, median and
3 minimum-maximum of the parasite burden (number of parasites per μg of host DNA) in
4 (A) blood on days 1-5 post-challenge, and (B) lungs and (C) the brain on days 14-30 post-
5 challenge. Mice were s.c. immunized with 10^5 (groups 1, 5, 9 and 13), 5×10^5 (groups 2, 6,
6 10 and 14) or 10^6 (groups 3, 7, 11 and 15) inactivated whole tachyzoites or no antigen
7 (groups 4, 8 and 12) incorporated into adjuvant A (water-in-oil emulsion), B (aluminum
8 hydroxide-CpG), C (aluminum hydroxide-ginseng extract) or PBS. Taking into account
9 that the *N. caninum* detection limit by real-time PCR is 10^{-1} parasites (Collantes-
10 Fernández et al., 2002), all positive samples had ≥ 0.1 parasites. Negative samples (0
11 parasites) were represented in log scale as < 0.1 parasites.

12 **Figure 2.** Box-plot and whiskers graph represents the lower, upper quartiles, median
13 and minimum-maximum of the lesion severity score (nil = 0, mild = 1, moderate=2, and
14 severe = 3) in the brain on days 14-30 post-challenge. Mice were s.c. immunized with
15 10^5 (groups 1, 5, 9 and 13), 5×10^5 (groups 2, 6, 10 and 14) or 10^6 (groups 3, 7, 11 and
16 15) inactivated whole tachyzoites or no antigen (groups 4, 8 and 12) incorporated into
17 adjuvant A (water-in-oil emulsion), B (aluminum hydroxide-CpG), C (aluminum
18 hydroxide-ginseng extract) or PBS.

19 **Figure 3.** Levels of anti-*N. caninum*-specific IgG2a and IgG1 in sera of BALB/c mice
20 immunized subcutaneously twice at three-week intervals. Blood samples were collected
21 from the mice 1-5 days (A) and 14-30 days after the challenge (B). The specific IgG2a
22 and IgG1 levels were assessed using ELISA. Bars represents the average absorbance
23 value at 405 nm and standard error bars represent the standard deviation.

Figure 1A
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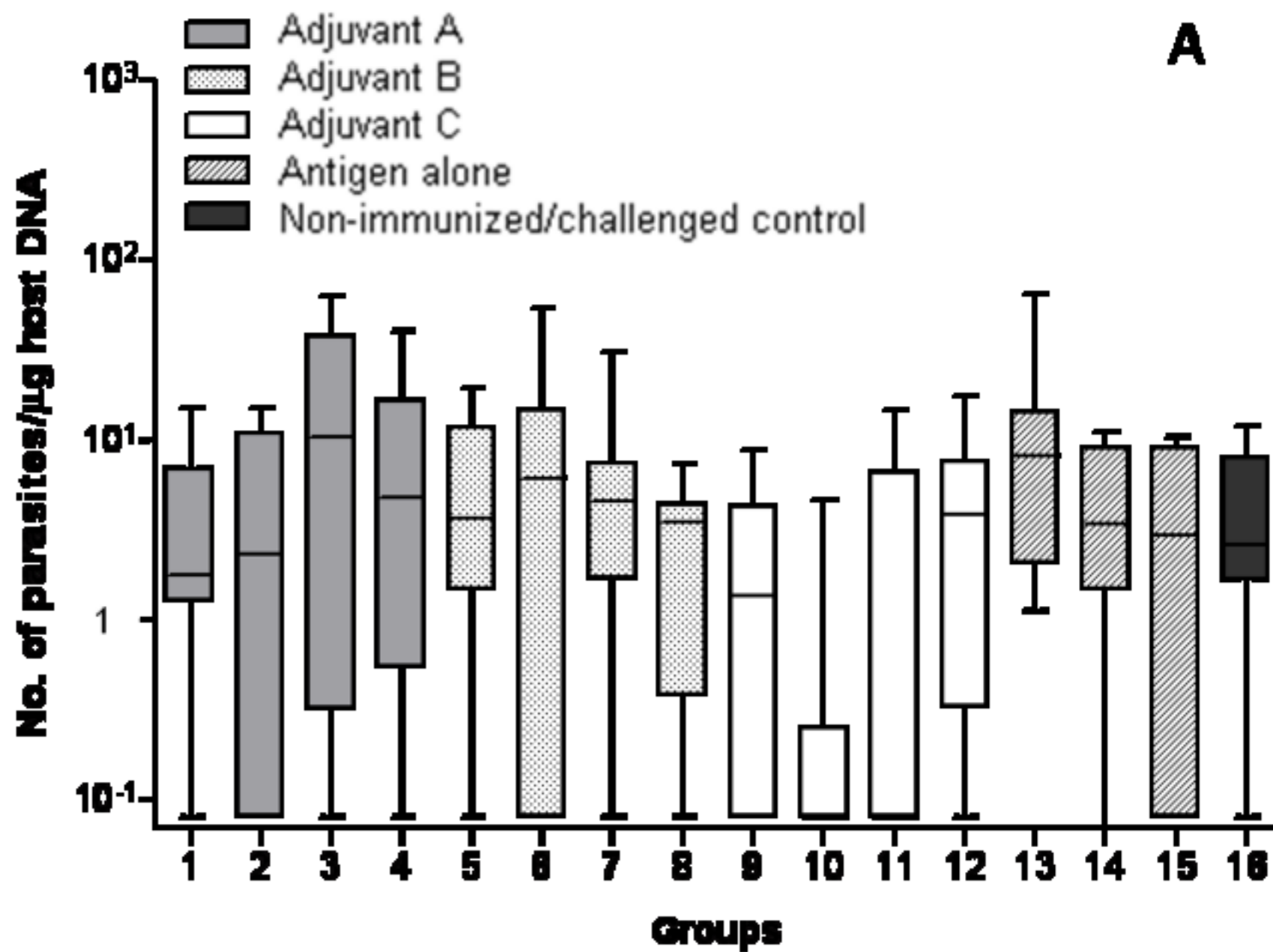


Figure 1B
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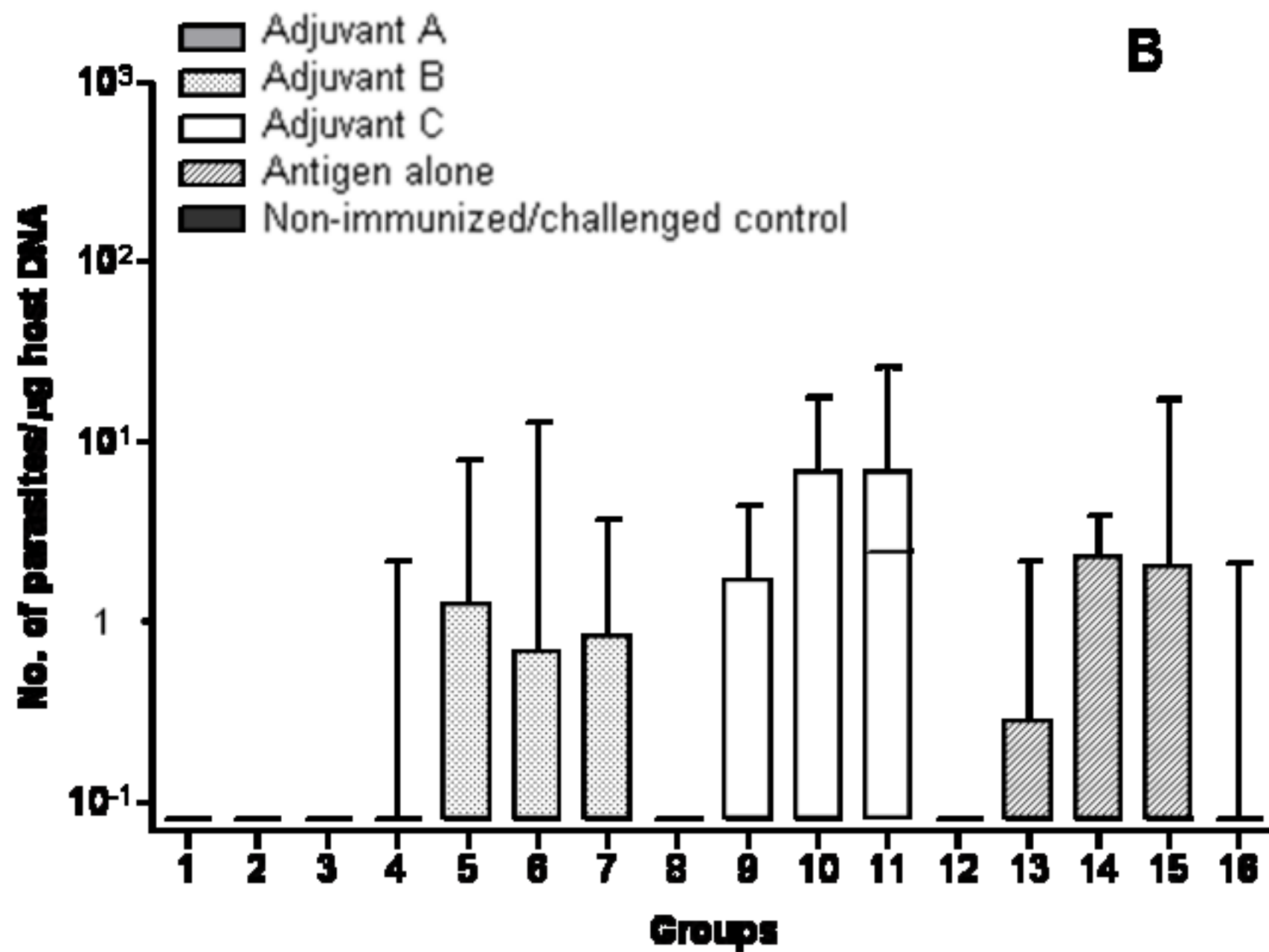


Figure 1C
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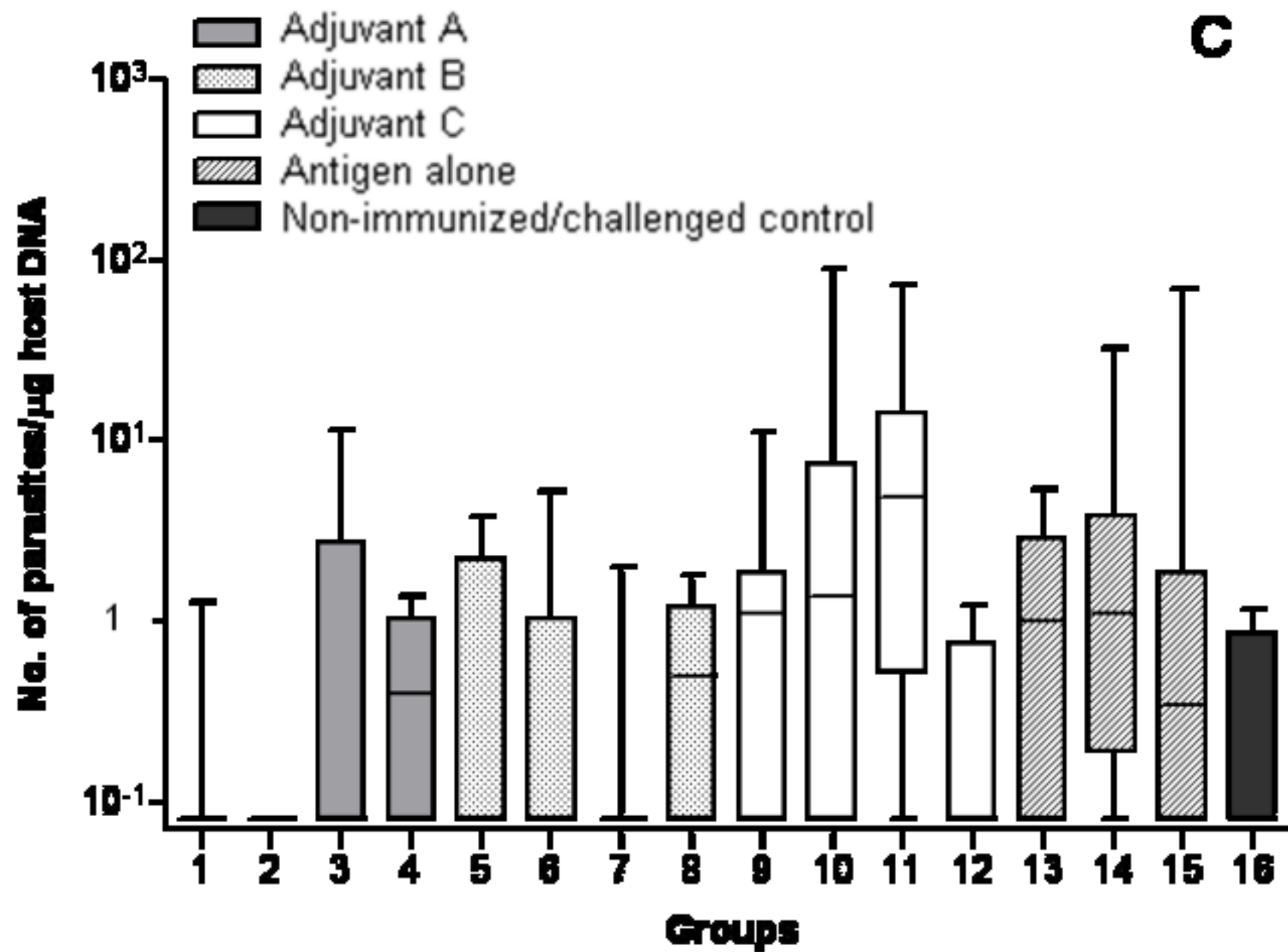


Figure 2
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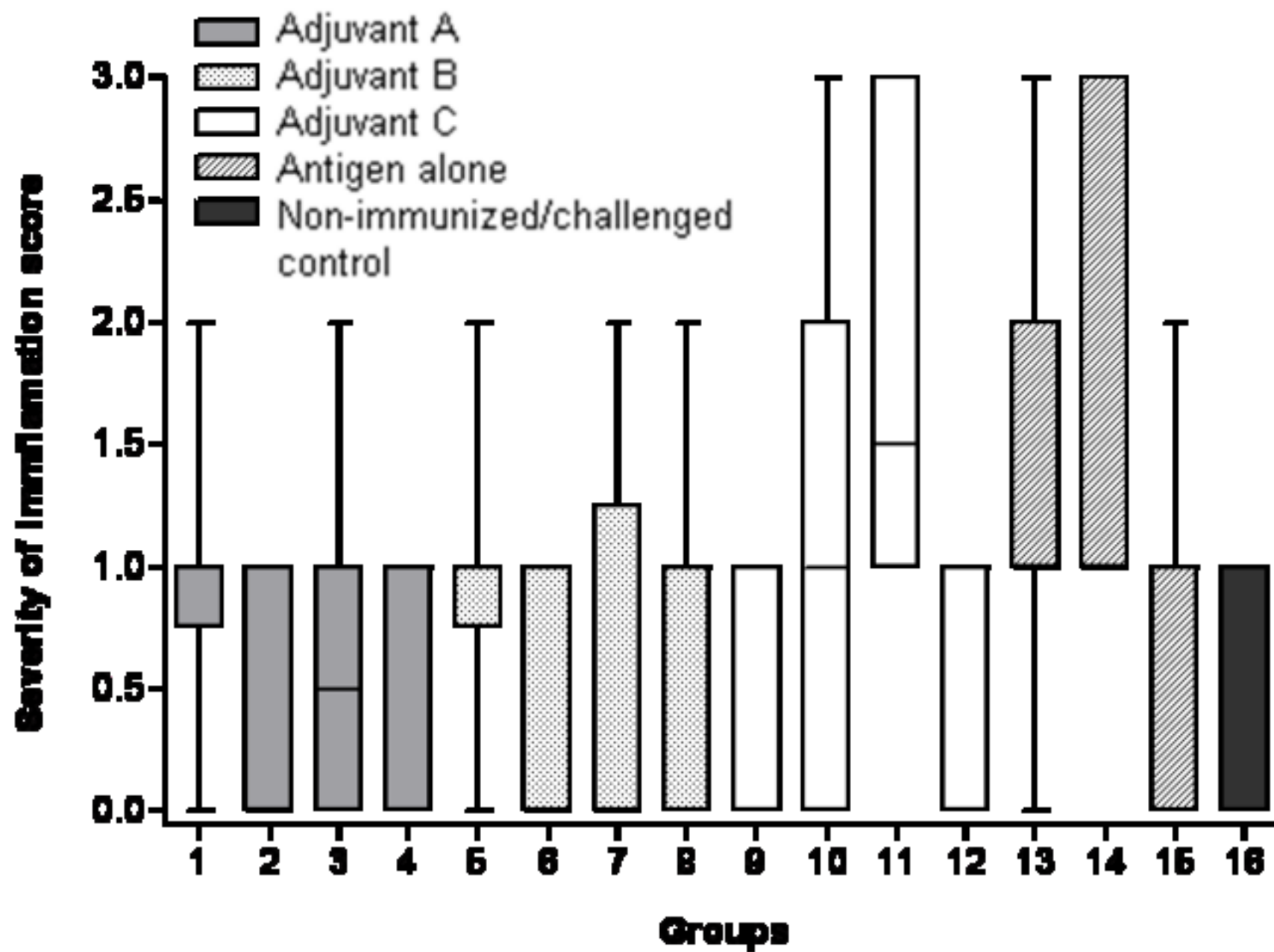


Figure 3A
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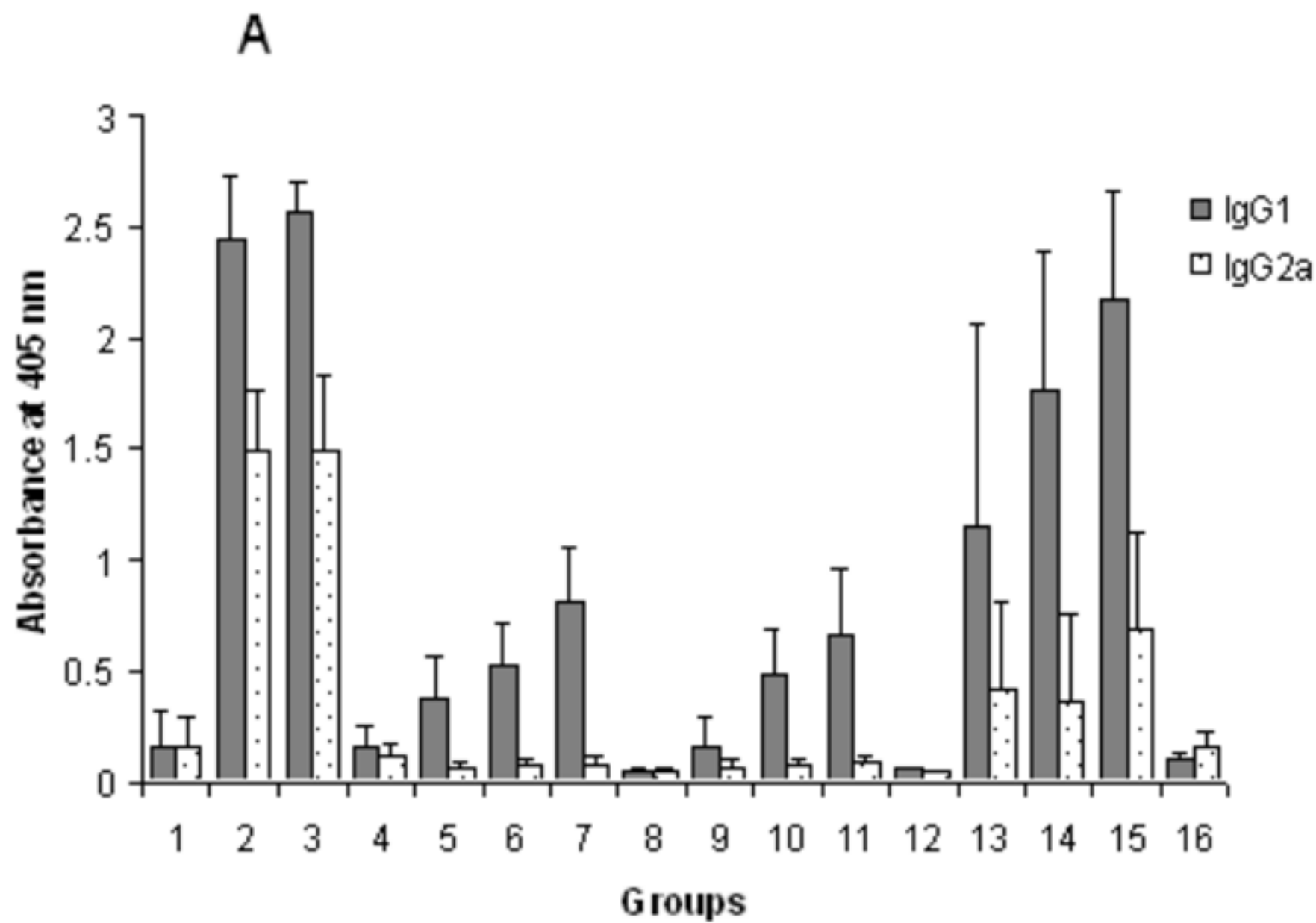


Figure 3B
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B

