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Accepted Manuscript

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Cite this:

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Polymeric Helical Structures *à la carte* by Rational Design of Monomers

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KEYWORDS: Helical Polymer, Poly(phenylacetylene), Circular Dichroism, Dynamic behavior, Cis-cisoidal

ABSTRACT: Preparation of helical structures *à la carte* by monomer design of dynamic helical polymers such as poly(phenylacetylene)s –PPAs – is a difficult task due to conformational freedom of the polyene backbone. Herein, we study the monomer/helical polymer scaffold relationship by preparation of two novel phenylacetylene monomer series substituted at the phenyl ring in *ortho*-, *meta*- or

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3 *para*-positions with the two enantiomers of either α -hydroxy- α -
4 phenylacetic acid (**1**) and α -chloro- α -phenylacetic acid (**S-2**)
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6 linked through an anilide bond. These monomers were further
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8 polymerized, and their secondary structure and dynamic behavior
9
10 analyzed. Compiling information from these studies, and the
11
12 structural data for other PPAs found in literature, we can state
13
14 that anilide linkages in *p*-substituted polymers tend to generate
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16 compressed *cis-cisoidal* polyene structures, which can be
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18 transformed into more elongated *cis-transoidal* ones by external
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20 stimuli, while benzamide linkages in *p*-substituted polymers form
21
22 mainly *cis-transoidal* scaffolds. The macromolecular structure of
23
24 PPAs is also largely affected by the aromatic substitution pattern,
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26 adopting more stretched scaffolds once the pendant group is placed
27
28 in meta or *ortho* positions, due to the steric hindrance generated
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30 by placing this group closer to the backbone.
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39 INTRODUCTION

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42 Helices are structural motifs found in biomolecules such as DNA,
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44 peptides or polysaccharides, being closely related to their
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46 biological function. Therefore, during the last decades, the
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48 scientific community developed tools and protocols to synthesize
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50 and analyze the structure of these biomacromolecules. Because of
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52 this, nowadays it is possible to have a good control of their
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3 folding and to play with the properties and functions associated
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5 to the helical scaffolds.¹⁻¹⁷
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9 In parallel to these studies in biomolecules, novel materials that
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11 adopt helical structures, such as foldamers or helical polymers,
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13 were explored. These systems allow implementing the use of these
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15 new materials in fields such as sensing,¹⁸⁻²⁷ chiral recognition,²⁸⁻³⁰
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17 chiral stationary phases,³¹⁻³² asymmetric catalysts,³³⁻³⁹ chiral
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19 templates,⁴⁰⁻⁴² building blocks in supramolecular chemistry,⁴³⁻⁴⁷
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21 optical switches⁴⁸⁻⁴⁹ and emitting devices among others.⁵⁰
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26 In the special case of helical polymers, the preparation of helical
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28 structures by rational design of the parent monomers is a difficult
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30 task. The main reason is the lack of structural information related
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32 to these polymers. In literature, just a few research articles
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34 dealt with their secondary structure, most likely due to the
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36 problems that arise when one tries to extract structural
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38 information from materials made by monomer repeating units by using
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40 classical structural techniques. A great contribution to this
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42 field was done when Yashima's group developed a protocol to prepare
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44 2D crystals from helical polymers.⁵¹⁻⁵⁸ From these crystals it is
45
46 possible to obtain high resolution AFM images and extract important
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48 helical parameters such as the helical pitch or the helical sense.
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51 However, the preparation of these self-assembled monolayers is not
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53 trivial, and therefore only a small number of helical polymers
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3 have been described using this approach.⁵⁹⁻⁶² For this reason, most
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5 of the research articles found in literature that deal with helical
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7 polymers are focused only on their dynamic behavior but not on
8
9 their secondary structure. The responsiveness ability of these
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11 compounds towards external stimuli such as chiral amplification,⁶³⁻
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13 ⁷² helix inversion,⁷³⁻⁷⁸ chiral communication,⁷⁹ chiral conflict⁸⁰⁻⁸¹
14
15 or helical sense enhancement⁸²⁻⁸³ can easily be studied by circular
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17 dichroism spectroscopy (CD). This technique has the advantages of
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19 requiring only a tiny amount of sample and of providing data very
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21 fast. Moreover, through CD it is also possible to study changes in
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23 the elongation of the polymer, which can also be studied by UV-
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25 vis spectroscopy using the same sample.
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31 In our group, we are interested not only in the dynamic response
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33 of these materials but also in their secondary structure. In
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35 helical polymers both parameters –dynamic behavior and structure–
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37 are directly related, and therefore from a correct monomer design
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39 it should be possible to generate a material with an optimal
40
41 structure for applications.
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46 Herein, we want to demonstrate that it is possible to prepare a
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48 poly(phenylacetylene) where its stimuli-response behavior and
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50 elongation can be selected *à la carte* from a proper monomer design.
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52 To do that, it is necessary to play with the functional group used
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54 as linking agent between the polyene and the pendant group
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(anilide/benzamide), but also with the aromatic substitution pattern of the PPA, the size and the conformation of the pendant group.

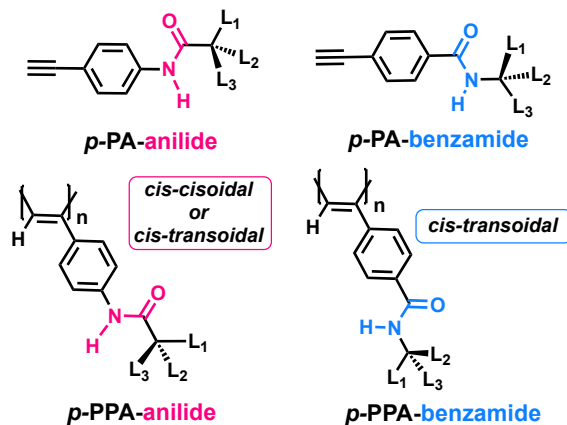
RESULTS AND DISCUSSION

Para-substituted PPAs. In a recent work we found that variations in the connection of an amide bond (benzamide or anilide) in *para*-substituted phenylacetylene monomers can lead to the formation of either compressed or stretched helical structures.⁸⁴ Thus, while *p*-PPAs bearing benzamides as pendant groups usually possess a *cis-transoidal* skeleton (Scheme 1), with a dihedral angle between conjugated double bonds larger than 140° (e.g., *p*-PPA bearing the benzamide of the phenylglycine methyl ester as pendant group –*p*-PPA-PGME–; $\omega_1 = 155^\circ$),^{74,75,84} *p*-PPAs bearing anilides as functional groups to link the pendants to the polyphenylacetylene main chain, produce a wide range of compressed (*cis-cisoidal*),^{63-65,79,82-83} and stretched (*cis-transoidal*)⁸⁴ helical structures. For instance, a *p*-PPA bearing the anilide of the α -methoxyphenylacetic acid–*p*-PPA-MPA; poly-**3**–adopts a *cis-cisoidal* polyene skeleton ($\omega_1 = 75^\circ$),⁶⁵ while a *p*-PPA bearing the anilide of the α -methoxy- α -phenyl- α -trifluoromethylacetic acid –*p*-PPA-MTPA– can adopt either a compressed *cis-cisoidal* helical structure in chloroform ($\omega_1 = 70^\circ$) or a more stretched *cis-transoidal* helix when it is dissolved in

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3 THF ($\omega_1 = 165^\circ$).⁸⁵⁻⁸⁶ All these polymers are dynamic, and their
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5 dynamic behavior is related to the conformational equilibrium of
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7 the pendant groups, which can be altered by the action of external
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9 stimuli.^{65,79,84}
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13 Thus, in order to increase the number of *cis-cisoidal* scaffolds
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15 and demonstrate that the anilide linkage promotes its formation,
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17 two new monomers were designed taking into account their two
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19 possible absolute configurations at the chiral centre: the 4-
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21 ethynylanilide of (*S*)- and (*R*)- α -hydroxy- α -phenylacetic acid [*p*-
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23 *m*-(*S*)-**1** and *p*-*m*-(*R*)-**1**] (Figure 1a), and the 4-ethynylanilide of
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25 (*S*)- and (*R*)- α -chloro- α -phenylacetic acid [*p*-*m*-(*S*)-**2** and *p*-*m*-(*R*)-
26
27 **2**] (Figure 1b). These monomers were constructed taking into account
28
29 the previously reported *p*-poly-(*S*)-**3** and *p*-poly-(*R*)-**3** that bears
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31 the anilide of the (*S*)- and (*R*)- α -methoxy- α -phenylacetic acid [*p*-
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33 *m*-(*S*)-**3**] (Figure 1c, f). Two replacements of the OMe group were
34
35 done in *p*-*m*-**3** to design the new *p*-*m*-**1** and *p*-*m*-**2** monomers: i) by a
36
37 hydroxy group, which increases the polarity of the pendant and can
38
39 be involved in the formation of hydrogen bond interactions [*p*-*m*-
40
41 **1**]; and ii) by a chlorine, which is a large electronegative atom
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43 [*p*-*m*-**2**]. During this monomer design we avoided bulky groups in
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45 order to minimize the induction of *cis-transoidal* structures in
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47 the corresponding PPAs. With the presence of large substituents
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49 the steric hindrance introduced into the *cis-cisoidal* helix would
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be released through elongation of the polyene backbone, generating, therefore, a more stretched scaffold.

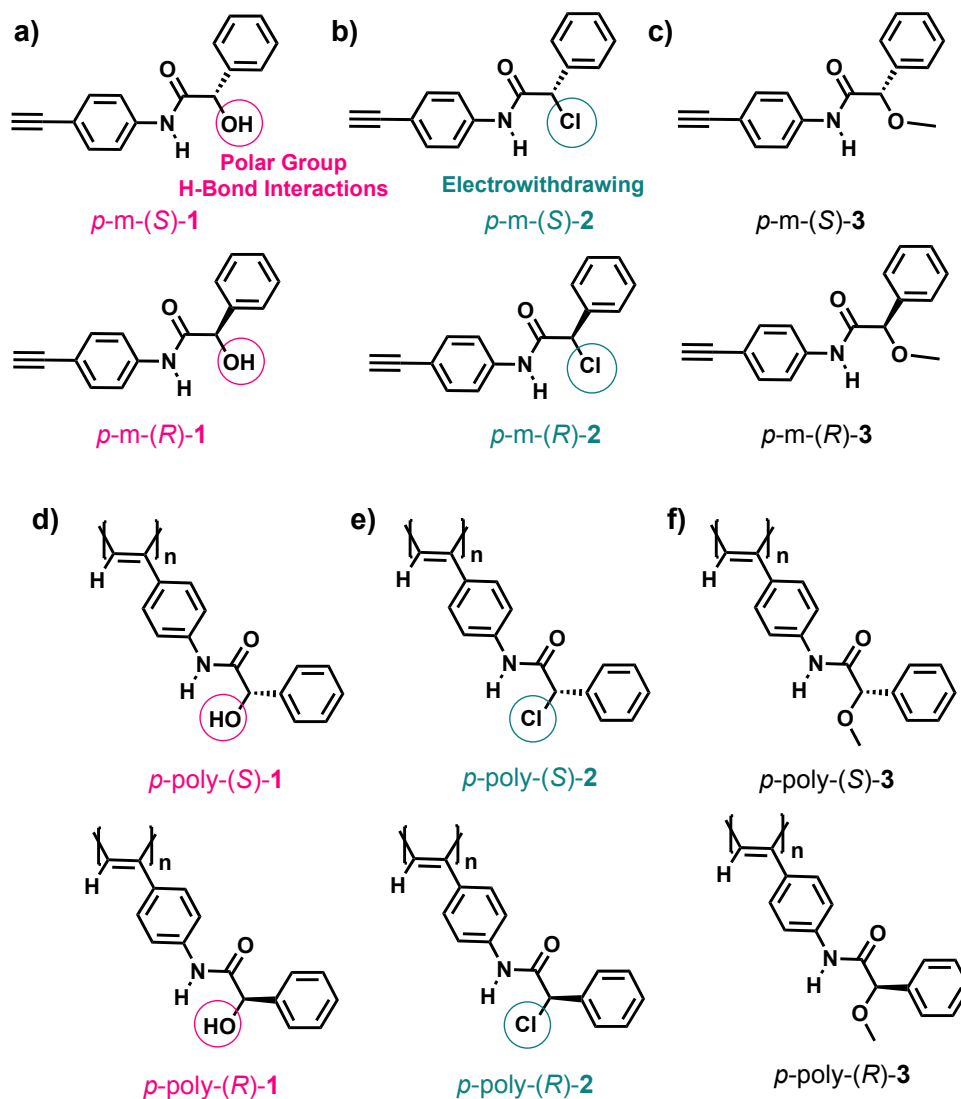


Scheme 1. PPAs with anilide and benzamide connectors.

Most of the *p*-PPAs studied in the literature resort to benzamide connectors –generating *cis-transoidal* polyene skeletons–.^{73,75,84,87-90} However, PPAs bearing linking moieties such as anilide⁹¹ or ester⁹²⁻¹⁰³ groups –connectors that can generate *cis-cisoidal* configurations– have not been deeply investigated from the structural point of view.

Thus, monomers *p*-*m*-(*S*)-**1**, *p*-*m*-(*R*)-**1**, *p*-*m*-(*S*)-**2** and *p*-*m*-(*S*)-**1** were prepared and submitted to polymerization with [$\{\text{Rh}(\text{nbd})\text{Cl}\}_2$] (nbd= 2,5-norbornadiene) as catalyst¹⁰⁴⁻¹¹⁰ affording poly(phenylacetylene)s *p*-poly-(*S*)-**1**, *p*-poly-(*R*)-**1**, *p*-poly-(*S*)-**2**, and *p*-poly-(*R*)-**2** (Figure 1d, e). The synthesized polymers showed ¹H-NMR (5.6–5.8 ppm) and Raman signals indicative of a *cis*-polyene backbone (see SI: S21). CD studies were carried out for *p*-poly-

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3 (*S*)-**1** and *p*-poly-(*S*)-**2** to determine their dynamic behavior. CD
4 spectra of *p*-poly-(*R*)-**1** and *p*-poly-(*R*)-**2** in different solvents
5 show identical CD traces to their corresponding counterparts *p*-
6 poly-(*S*)-**1** and *p*-poly-(*S*)-**2** but with opposite sign due to their
7 enantiomeric relationship (See SI).
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13



50 **Figure 1.** Structures of (a) *p*-m-(*S*)-**1** and *p*-m-(*R*)-**1**, (b) *p*-m-(*S*)-**2**
51 and *p*-m-(*R*)-**2** and (c) *p*-m-(*S*)-**3** and *p*-m-(*R*)-**3** and their
52 corresponding polymers (d) *p*-poly-(*S*)-**1** and *p*-poly-(*R*)-**1**, (e) *p*-
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3 poly-(*S*)-**2** and *p*-poly-(*R*)-**2**, and (f) *p*-poly-(*S*)-**3** and *p*-poly-(*R*)-
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5 **3**.

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8 Interestingly, comparison of the CD spectra obtained from *p*-poly-
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10 (*S*)-**1**, *p*-poly-(*S*)-**2** and *p*-poly-(*S*)-**3**, showed a different dynamic
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12 behavior due to the different conformational configurations at the
13
14 pendant groups (Figure 2). For instance, while *p*-poly-(*S*)-**3** is
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16 highly dynamic in low-polar and non-donor solvents such as
17
18 chloroform –showing an equilibrium between two almost equally
19
20 populated pendant conformations–, *p*-poly-(*S*)-**1** and *p*-poly-(*S*)-**2**
21
22 adopt an excess of a single-handed helix –the conformational
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24 equilibrium of the pendant group is shifted towards the most stable
25
26 pendant one– (Figure 2a).

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29 Thus, while *p*-poly-(*S*)-**1** is sensitive to polar changes –CD (+)
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31 at 380 nm in low polar solvents such as CHCl₃ or THF and (–) in
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33 polar solvents such as DMF– (Figure 2c), *p*-poly-(*S*)-**2** is sensitive
34
35 to the donor character of the solvent. *p*-poly-(*S*)-**2** shows CD (+)
36
37 at 340 nm in non-donor solvents (e. g., CHCl₃), while a CD (–)
38
39 accompanied with a red shift absorption of the polyene region (390
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41 nm) is observed in donor solvents also with independence of their
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43 polar character, e. g., THF (low polar), DMF (polar)–(Figure 2d).
44
45 These different responses to stimuli observed in *p*-poly-(*S*)-**1**, *p*-
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47 poly-(*S*)-**2** and *p*-poly-(*S*)-**3** are directly related to the different
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49 conformational compositions at the pendant groups, where different
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bonds are involved. Therefore, we analyzed the conformational structure at the pendants in *p*-poly-(*S*)-**1** and *p*-poly-(*S*)-**2**.

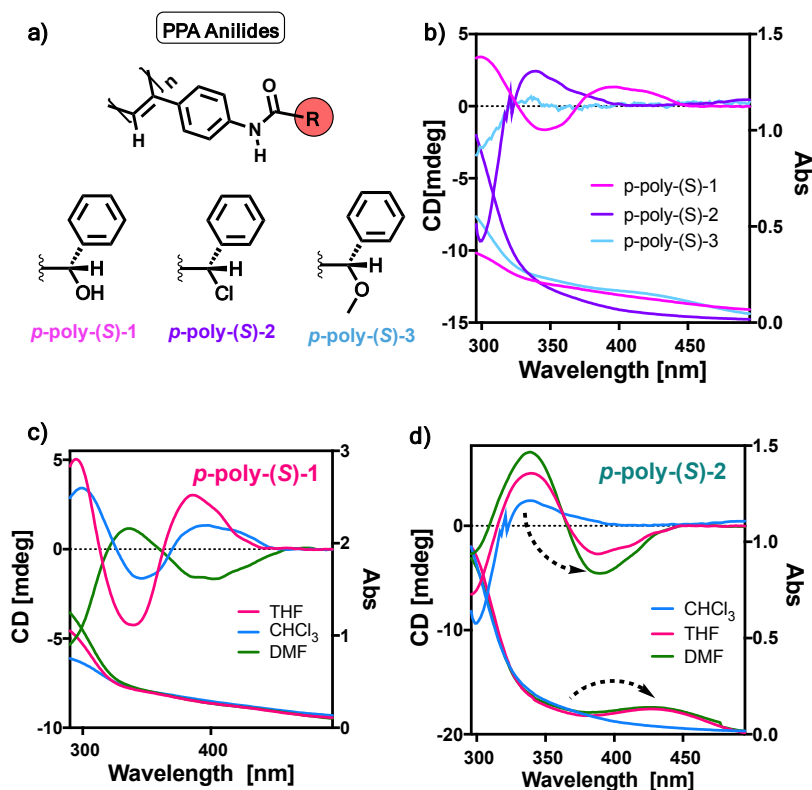


Figure 2. (a) Structures of *p*-poly-(*S*)-**1**, *p*-poly-(*S*)-**2** and *p*-poly-(*S*)-**3**. (b) Comparison of the CD/UV-vis spectra *p*-poly-(*S*)-**1**, *p*-poly-(*S*)-**2** and *p*-poly-(*S*)-**3** in CHCl₃. (c) CD/UV-vis spectra of *p*-poly-(*S*)-**1** in different solvents showing helical sense modulation by polar effects (d) CD/UV-vis spectra of *p*-poly-(*S*)-**2** in different solvents showing helical sense modulation and stretching by donor/acceptor effects.

From previous studies we know that in the case of *p*-poly-(*S*)-**3** dissolved in CHCl₃, the pendant group exists as a 1:1 equilibrium between two conformers (*sp*, synperiplanar oriented carbonyl and

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3 methoxy groups; and *ap*, antiperiplanar oriented carbonyl and
4 methoxy groups) that places the bulkiest group in orientations
5 that favor a specific helical sense for each conformer.^{46,66}
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10 X-ray studies of monomers *p-m-(R)-1* and *p-m-(R)-2* show an
11 antiperiplanar orientation of the carbonyl and hydroxy group in
12 the case of *p-m-(R)-1*, and of the carbonyl group and the chlorine
13 atom in *p-m-(R)-2* (Figure 3a,b).
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19 CD studies of *p-m-(S)-1* in different solvents show a (-) CD Cotton
20 effect at 270 nm, which is in agreement with an *ap* conformation
21 between the carbonyl and the hydroxyl group (Figure 3c). The
22 addition of different metal perchlorates such as $\text{Ba}(\text{ClO}_4)_2$ induces
23 a conformational change forcing the orientation between the
24 carbonyl and hydroxy group from an *ap* conformation towards a *sp*
25 one (Figure 3c).⁴⁶
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35 Similar studies were carried out for *p-m-(S)-2*. In this case, the
36 monomer responds only to the donor character of the solvent and
37 not to its polarity. CD spectra of *p-m-(S)-2* show a negative CD
38 Cotton effect at 275 nm in non-donor solvents, independently of
39 its polar character (Figure 3d). Moreover, this CD can be inverted
40 and red-shifted to 290 nm in donor solvents, due to the interaction
41 of the amide group with that kind of solvents (Figure 3d, S8).
42 This supramolecular interaction favors a conformational change of
43 the amide group from *trans* (non-donor) to *cis* (donor), while the
44 orientation between the carbonyl group and the chlorine atom
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3 remains unaltered in an *ap* conformation (Figure 3d, S8). A similar
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5 situation was previously observed by our group in a polymer that
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7 bears the anilide of α -methoxy- α -phenyl- α -trifluoromethylacetic
8
9 acid (MTPA) as pendant but in such case, the polymer responds not
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11 only to the donor character of the solvent but also to its
12
13 polarity.⁸⁵⁻⁸⁶ Therefore, by comparison of both PPAs, we can
14
15 anticipate that the presence of an electron withdrawing group such
16
17 as a chlorine atom or a CF₃ group in the pendant makes the anilide
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19 group very acidic. Now, the pendant can strongly interact with
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21 donor solvents affecting the *cis/trans* conformational composition
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23 of the amide group.¹⁸
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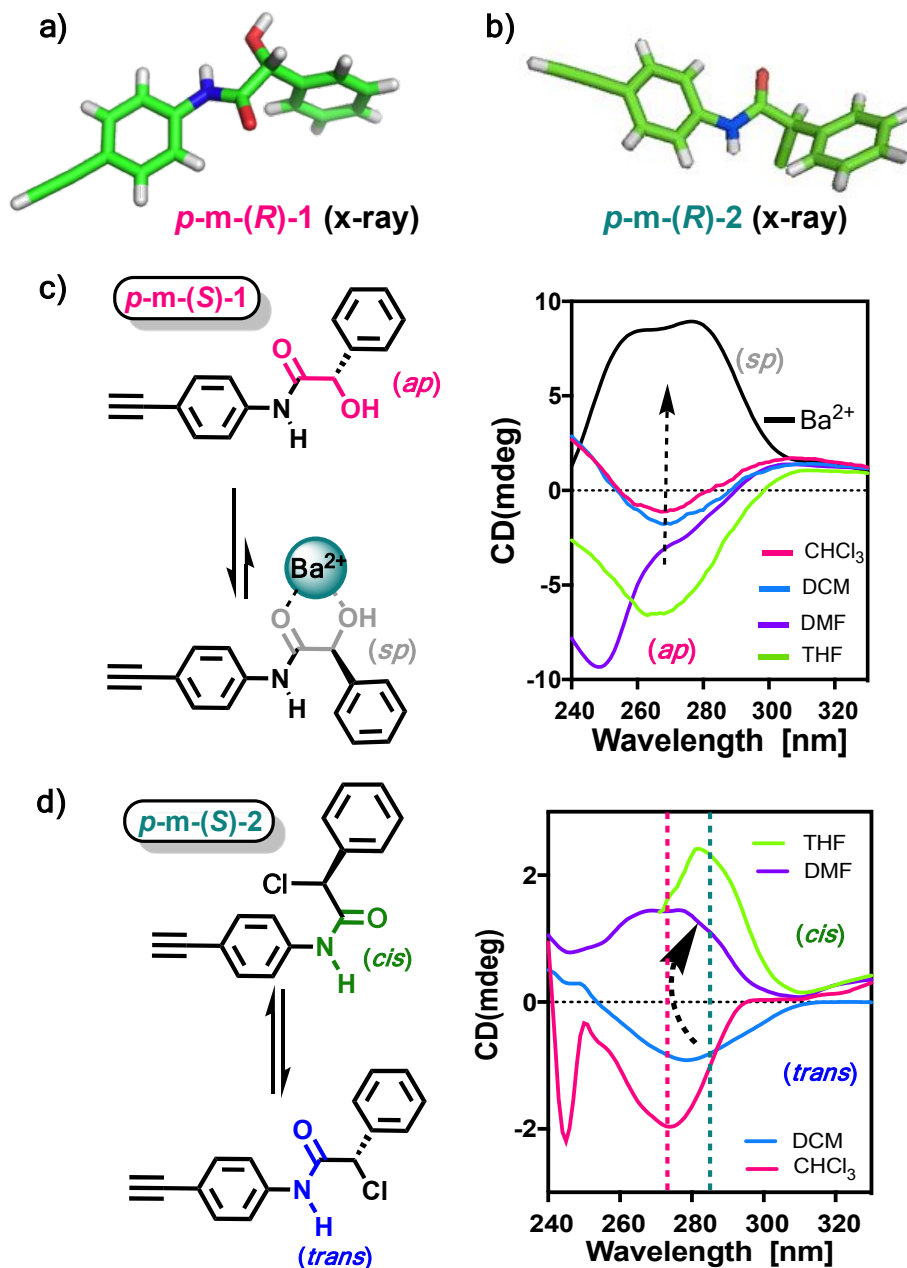


Figure 3. X-Ray structures of (a) *p-m-(R)-1* and (b) *p-m-(R)-2*. (c) Schematic illustration of the conformational switch of *p-m-(S)-1* between *ap* and *sp* conformers triggered by metal coordination and ECD spectra supporting this conformational switch. (d) Schematic illustration of the conformational switch of *p-m-(S)-2* between the

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3 *cis*- and *trans*- amide triggered by solvent donor effects and CD
4 studies showing this conformational switch.
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8 Conformational studies in the corresponding polymer *p*-poly-(*S*)-
9 **1** show a similar behavior, i.e., a conformational switch between
10 the *ap* and the *sp* conformers at the pendant group induced by
11 solvent polarity changes or by the addition of metal ions (Figure
12 4). Thus, on the one hand, *p*-poly-(*S*)-**1** adopts a major
13 antiperiplanar conformation for the carbonyl and hydroxy groups in
14 low-polar solvents, which commands a CD (+) at the vinylic region
15 (390 nm) (Figures 4a,d). On the other hand, a synperiplanar
16 orientation of these groups can be induced by increasing the
17 polarity of the solvent or by the addition of Ba(ClO₄)₂ (Figure 4a-
18 b,d). This conformational change is accompanied by an inversion of
19 the helical sense CD (-) at 390 nm (Figure 4a,b).
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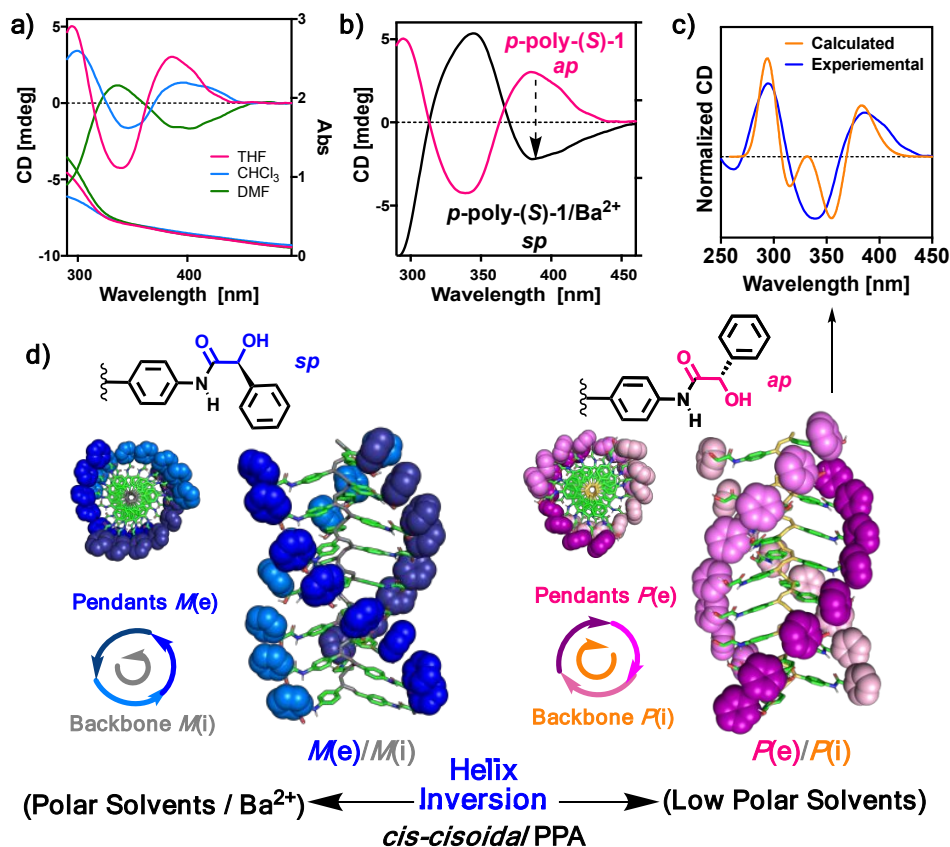


Figure 4. (a) CD/UV-Vis Spectra of *p*-poly-(*S*)-1 in solvents of different polarity displaying helix inversion by polar effects. (b) CD spectra of the helix inversion of *p*-poly-(*S*)-1 triggered in CHCl₃ by the addition of Ba²⁺. (c) Comparison of the calculated vs experimental ECD spectra of a *cis*-*cisoidal* structure for *p*-poly-(*S*)-1 describing a *P* helix. (d) Schematic illustration of the helix inversion process of *p*-poly-(*S*)-1.

In the case of *p*-poly-(*S*)-2, a helix inversion is produced by changes in the donor character of the solvent, while no structural effects are observed by changes in solvent polarity (Figure 5b). This response to the donor character is due to the selective

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3 manipulation of the *cis/trans* conformational composition of the
4 amide group (confirmed by IR and STD experiments, Figure S21).
5
6 Thus, while in non-donor solvents (CHCl₃) the amide adopts a
7
8 preferred *trans* conformation, in donor solvents (THF or DMF), the
9
10 amide adopts a major *cis* conformation which is accompanied with a
11
12 helix inversion (CD (+), $\lambda = 337$ nm and CD (-), $\lambda = 393$ nm) and
13
14 stretching of the polyene backbone (bathochromic shift of polyene
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16 band from $\lambda = 337$ to $\lambda = 393$ nm) (Figures 5b and S15).¹⁸
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22 Next, structural studies were carried out to determine the
23
24 secondary structure of *p*-poly-(*S*)-**1** and *p*-poly-(*S*)-**2**.
25

26 DSC studies on *p*-poly-(*S*)-**1** reveal the presence of a *cis-cisoidal*
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28 polyene skeleton,^{84,111} with an exothermic peak at 243.0 °C
29
30 corresponding to the thermal transition from *cis-cisoidal* to
31
32 *trans-transoidal* arrangements (See Figure S22).
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35 This structure is in agreement with the structure previously
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37 obtained for *p*-poly-(*S*)-**3**.⁶⁵ A computational study [DFT(rCAM-
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39 B3LYP)/3-21G]¹¹²⁻¹¹³ on a *P* helix of an *n*= 12 oligomer of *p*-poly-
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41 (*S*)-**1** possessing a *cis-cisoidal* polyene skeleton and an
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43 antiperiplanar orientation of the carbonyl and hydroxy groups at
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45 the pendants shows a CD trace in agreement with the experimental
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47 one (Figure 4c), corroborating the formation of a *cis-cisoidal*
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49 structure, where the internal and the external helices rotate in
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51 the same direction (figure 4d). The dihedral angles used as input
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3 to build an approximate structure for *p*-poly-(*S*)-**1** were extracted
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5 from different structural techniques (see SI).
6

7 DSC studies for *p*-poly-(*S*)-**2** show different thermograms depending
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9 on the degree of the donor solvent character. A typical *cis*-
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11 *cisoidal* thermogram was obtained for *p*-poly-(*S*)-**2** in non-donor
12
13 solvents, while a *cis-transoidal* one was obtained in donor solvents
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15 (Figure 5d,e).¹¹¹
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18 The presence of a *cis-cisoidal* polyene skeleton for *p*-poly-(*S*)-
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20 **2** in non-donor solvents was further confirmed by AFM studies
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22 (Figure 5a). In such a case, it was possible to generate a 2D
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24 crystal which allowed us to obtain high-resolution AFM images and
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26 therefore, extract important helical parameters such as the
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28 helical pitch (3.1 nm) and the orientation of the external part of
29
30 the helix, in this case a *P* helix. These helical parameters
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32 correspond to a *cis-cisoidal* backbone ($\omega_1 = 65^\circ$), with three
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34 residues per turn, and where the internal helix described by the
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36 polyene main chain, and the external helix described by the pendant
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38 group, rotate in the same direction (i.e., *P* sense, Figure 5a).
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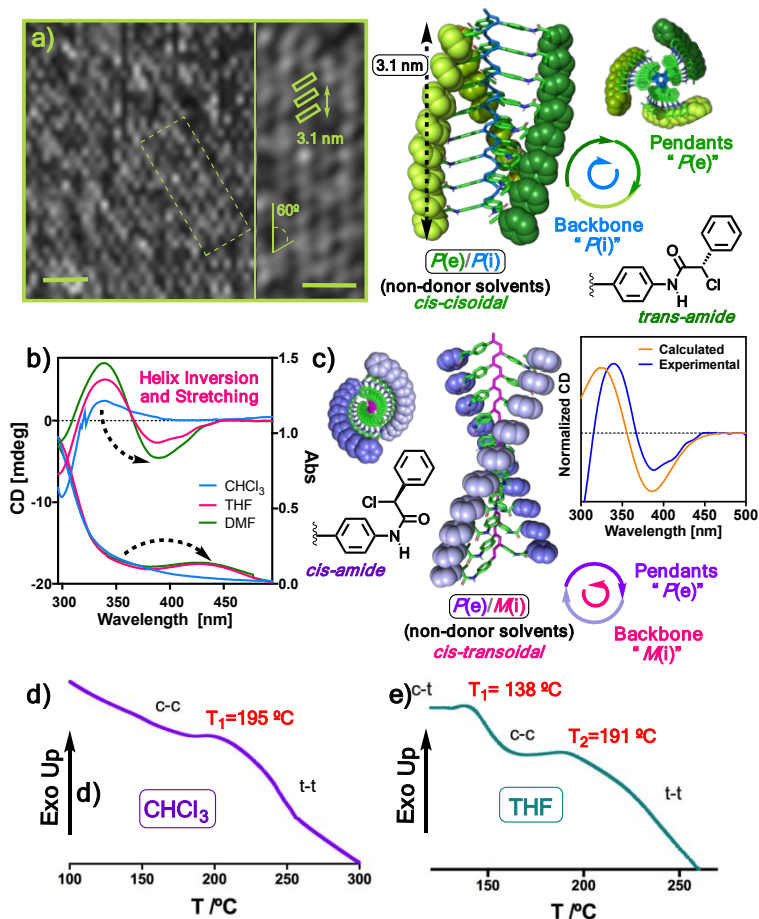


Figure 5. (a) AFM image and *cis-cisoidal* structure of *p*-poly-(*S*)-2 in non-donor solvents (i.e., CHCl_3) (scale bar = 10 nm). (b) CD/UV-Vis spectra of *p*-poly-(*S*)-2 in solvents with different donor properties displaying helix inversion and stretching due to *cis-cisoidal* to *cis-transoidal* isomerization process mediated by modulation of *cis-/trans-* amide conformation. (c) *Cis-transoidal* structure of *p*-poly-(*S*)-2 in donor solvents (e.g., THF or DMF) and comparison of the calculated vs experimental ECD spectra. (d) DSC thermogram indicating the *cis-cisoidal* structure of *p*-poly-(*S*)-2 in non-donor solvents (i.e., CHCl_3). (e) DSC thermogram indicating

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3 the *cis-transoidal* structure of *p*-poly-(*S*)-**2** in donor solvents
4
5 (i.e., THF).
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7

8 When talking about the architecture of these polymers, it is
9
10 necessary to point out that, in every poly(phenylacetylene), two
11
12 "coaxial helices" are present: external (defined by the pendants,
13
14 detected by AFM) and internal (defined by the polyene backbone,
15
16 detected by CD), and their respective helical senses can be
17
18 coincident or not.^{51,56}
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21
22 In donor solvents, a more stretched helix is generated
23
24 accompanied by a bathochromic effect in the CD and UV spectra
25
26 (Figure 5b). DSC studies show the presence of a *cis-transoidal*
27
28 skeleton in donor solvents (Figure 5e).
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31 A computational [DFT(rCAM-B3LYP)/3-21G]¹¹²⁻¹¹³ study on a *M* helix
32
33 of an *n* = 12 oligomer of *p*-poly-(*S*)-**2** possessing a *cis-transoidal*
34
35 polyene skeleton with an antiperiplanar orientation of the
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37 carbonyl group and the chlorine atom and an amide group in *cis*- at
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39 the pendant, shows a CD trace in agreement with the experimental
40
41 one (Figure 5c). The dihedral angles used as input to build an
42
43 approximate structure for *p*-poly-(*S*)-**2** were extracted from
44
45 different structural techniques (see SI).
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49 Theoretical CD studies corroborate therefore, the formation of a
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51 *cis-transoidal* structure, where the internal and the external
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53 helices rotate in opposite directions.
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3 From these studies we can conclude that in *p*-PPAs bearing anilide
4 groups to connect the backbone and the pendants, the polyene chain
5 can adopt a *cis-cisoidal* scaffold, which can be shifted towards a
6 *cis-transoidal* one by introducing a large steric hindrance as
7 consequence, for instance, of a *trans/cis* amide conformational
8 equilibrium, or the presence of bulky substituents at the pendant.
9
10 To sum up, the dynamic behavior of these *p*-PPAs is directly related
11 to the conformational flexibility of the pendant groups, where
12 selective manipulation of the conformational equilibria can lead
13 to a selective helical sense of the PPAs.
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26 **Meta-substituted PPAs.** Next, we studied the structure and dynamic
27 behavior of *m*-poly-(*S*)-**1** and *m*-poly-(*S*)-**2**, substituted in *meta*
28 position.
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32 X-ray structures of monomers *m*-*m*-(*S*)-**1** and *m*-*m*-(*S*)-**2** show the
33 presence of an antiperiplanar orientation of the carbonyl and
34 hydroxy group in *m*-*m*-(*S*)-**1**, and between the carbonyl and the
35 chlorine atom in the case of *m*-*m*-(*S*)-**2** (Figure 6a). Moreover, from
36 CD studies it was found that the same conformation was kept for
37 both monomers in different solvents independently of their donor
38 and polar character (See Figure S7). CD studies of the
39 corresponding polymers, *m*-poly-(*S*)-**1** and *m*-poly-(*S*)-**2** indicate, as
40 expected, the presence of an excess of a single-handed helix, where
41 its helical sense is not affected by the donor or polar character
42 of the solvent (Figures 6b, d). These results are in agreement
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3 with those obtained for *m*-poly-(*S*)-**3**,¹¹⁴ corroborating therefore
4 that *meta*-substituted polymers show a less dynamic behavior than
5 the *para*-substituted ones. Furthermore, the CD traces obtained for
6 *m*-poly-(*S*)-**1** and *m*-poly-(*S*)-**2** are very similar to that obtained
7 from *m*-poly-(*S*)-**3**, with a very strong positive band close to 240
8 nm (band in part associated to the conformation of the pendant,
9 3th Cotton effect) and two smaller bands centered at around 320 nm
10 (negative) and 380 nm (positive) in the polyene region (Figures
11 6b, d).

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24 VT-CD studies show that the intensities of the two polyene bands
25 evolve as expected for an equilibrium mixture between a more stable
26 compressed helix (i.e., *cis-cisoid*, 3/1), which increases both its
27 population and band intensities (1st and 2nd Cotton effects) at
28 lower temperatures; and a less stable stretched helix (i.e., *cis-*
29 *transoid*, 2/1), that increases its population at higher
30 temperatures accompanied by a decrease in the band intensities. In
31 accordance with this, the UV band of *m*-poly-(*S*)-**1** and *m*-poly-(*S*)-**2**
32 at 400 nm suffers a hypsochromic shift when the temperature
33 decreases (smaller polyene conjugation, *cis-cisoid*) and a
34 bathochromic shift at higher temperatures (larger polyene
35 conjugation, *cis-transoid*, Figures 6c, e-f).⁸²⁻⁸⁴

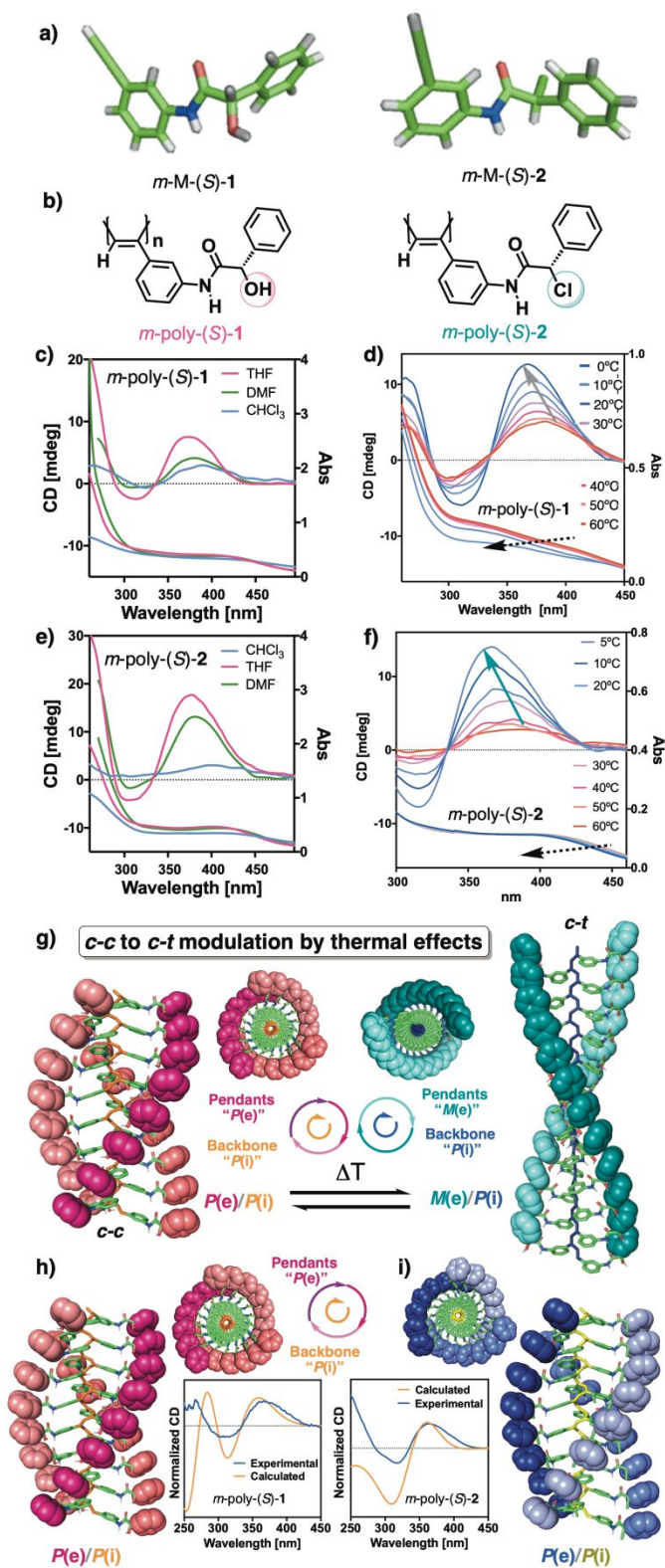


Figure 6. (a) X-Ray structure of *m*-m-(*S*)-1 and *m*-m-(*S*)-2. (b) Chemical structure of *m*-poly-(*S*)-1 and *m*-poly-(*S*)-2. (c) CD/UV-

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3 Vis spectra of *m*-poly-(*S*)-**1** in different solvents. (d) VT-CD/VT-
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5 UV-Vis spectra of *m*-poly-(*S*)-**1**. (e) CD/UV-Vis spectra of *m*-poly-
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7 (*S*)-**2**. (f) VT-CD/VT-UV-Vis spectra of *m*-poly-(*S*)-**2**. (g) Schematic
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9 illustration of the *c-t* to *c-c* contraction modulation by thermal
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11 effects. (h) Structure and calculated vs experimental ECD spectra
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13 of *m*-poly-(*S*)-**1**. (i) Structure and comparison of the calculated vs
14
15 experimental ECD spectra of *m*-poly-(*S*)-**2**.
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20 Computational studies [DFT(rCAM-B3LYP)/3-21G]¹¹²⁻¹¹³ on *P* helices
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22 of *n* = 12 oligomers, with *cis-cisoidal* polyene skeletons and an
23
24 antiperiplanar orientation of the carbonyl and the hydroxy group
25
26 for *m*-poly-(*S*)-**1** and an antiperiplanar orientation of the carbonyl
27
28 group and the chlorine atom for *m*-poly-(*S*)-**2**, show in both cases
29
30 CD traces in agreement with the experimental ones, corroborating
31
32 therefore the formation of a predominant *cis-cisoidal* structure,
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34 where the internal and the external helices rotate in the same
35
36 direction (Figure 6g-h).^{51,56} The dihedral angles used as input to
37
38 build an approximate structure for *m*-poly-(*S*)-**1** and *m*-poly-(*S*)-**2**
39
40 were extracted from different structural techniques (see SI).
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45 CD spectra of the enantiomeric counterparts *m*-poly-(*R*)-**1** and *m*-
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47 poly-(*R*)-**2** in different solvents are opposite to *p*-poly-(*S*)-**1** and
48
49 *p*-poly-(*S*)-**2** due to their mirror image relationship (See SI).
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51 **Ortho-substituted PPAs.** Finally, structural and dynamic studies
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53 in *ortho*-substituted PPAs were carried out. In the literature there
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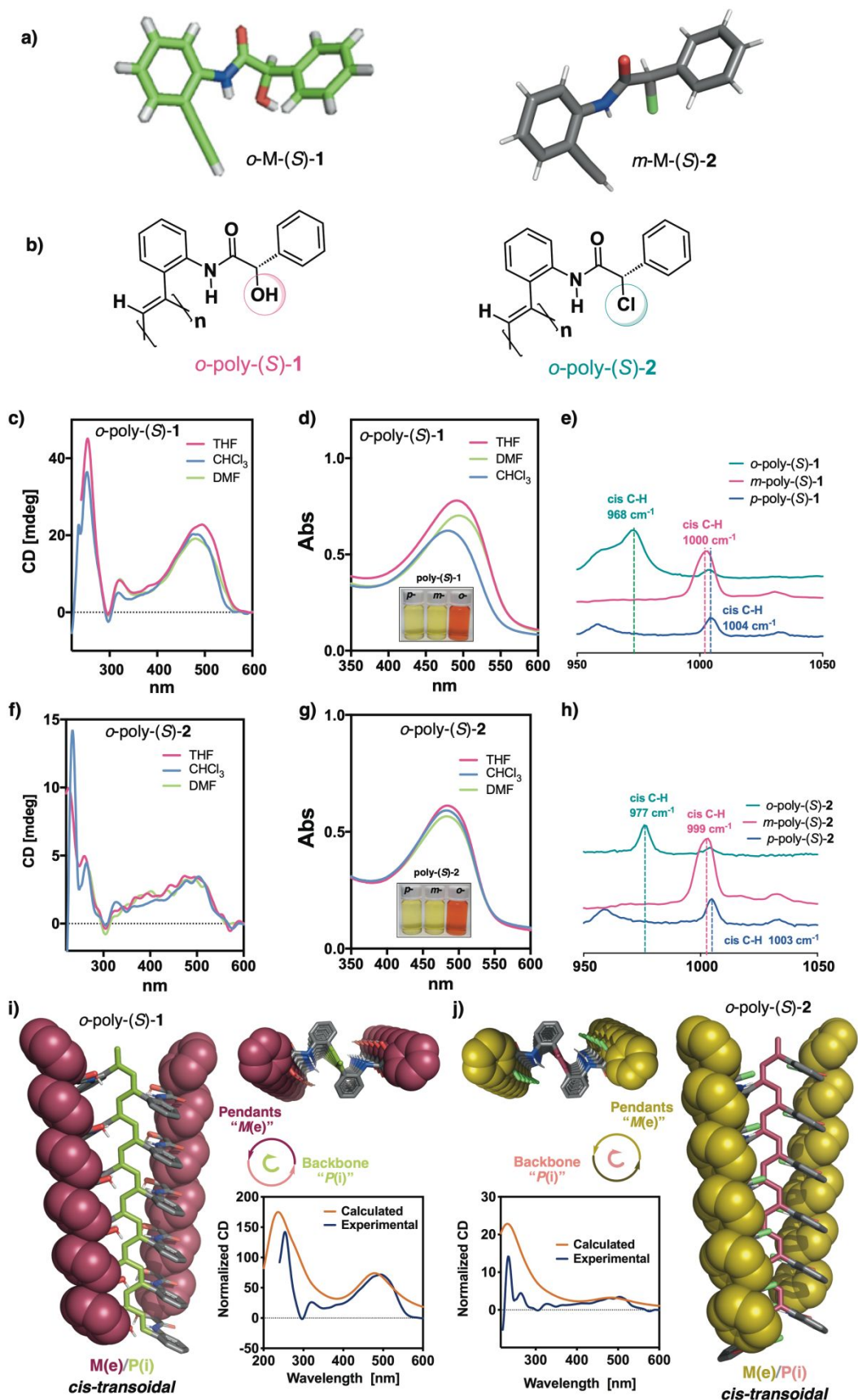
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3 are just a few examples of *o*-PPAs due to the difficulty to obtain
4 them by using the standard protocol [i.e., with Rh(I) catalyst].
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6 This synthetic problem is generated by the large steric hindrance
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8 found in these materials, due to the pendant group being close to
9
10 the polyene main chain. In a previous work,⁸⁴ we found that only a
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12 monomer which affords a *cis-cisoidal* PPA scaffold in the *para*-
13
14 substituted series can succeed in the preparation of the *ortho*-
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16 substituted one, while those that generate a *cis-transoidal*
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18 scaffold in the *para*-substituted series have no chance to
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20 polymerize when they are *ortho* substituted. We can explain this
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22 assumption based on the larger rotational freedom of a *cis-cisoidal*
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24 skeleton ($\omega_1 < 90^\circ$) until reaching a planar structure ($\omega_1 = 180^\circ$).⁴⁰⁻
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31 ^{42,84} On the contrary a *cis-transoidal* polymer ($\omega_1 > 90^\circ$), presents
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33 a turning range until reaching a planar structure with ω_1 lower
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35 than 90° . This rotational freedom is the one used by the polyene
36
37 backbone to accommodate the pendant in the *ortho*- position,
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39 presenting therefore more capacity for this a *cis-cisoidal* polymer
40
41 than a *cis-transoidal* one.
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45 Herein we have prepared four *para*-substituted polymers, *p*-poly-
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47 (*S*)-**1**, *p*-poly-(*R*)-**1**, *p*-poly-(*S*)-**2** and *p*-poly-(*R*)-**2**, that adopt a
48
49 *cis-cisoidal* polyene skeleton (see above). Hence, these polymers
50
51 are great candidates to obtain the corresponding *o*-poly-(*S*)-**1**, *o*-
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53 poly-(*R*)-**1**, *o*-poly-(*S*)-**2** and *o*-poly-(*R*)-**2**.
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3 *Ortho* substituted monomers *o-m-(S)-1*, *o-m-(R)-1*, *o-m-(S)-2* and
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5 *o-m-(R)-2* were synthesized and submitted to polymerization using
6
7 the standard conditions with a Rh(I) catalyst (See Table S1).
8
9 Interestingly in both cases the *ortho*-substituted PPAs were
10
11 obtained in good yields.
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15 X-ray structures of monomers *o-m-(S)-1* and *o-m-(R)-2* indicate the
16
17 presence of an antiperiplanar orientation of the carbonyl and
18
19 hydroxy group in *o-m-(S)-1*, and the carbonyl and the chlorine atom
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21 in the case of *o-m-(R)-2*. Moreover, from CD studies it was found
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23 that the same conformation was kept for both monomers in different
24
25 solvents independently of their donor and polar character (See
26
27 Figures S7e-f).
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31 UV studies of the corresponding polymers *-o-poly-(S)-1* and *o-*
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33 *poly-(S)-2-* in different solvents showed, as expected, the polyene
34
35 band close to 500 nm. Additionally, a bathochromic shift is
36
37 observed when the UV trace for the *ortho*-substituted PPAs are
38
39 compared to the *para*- and *meta*-substituted ones. This red shift is
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41 accompanied with a color change, from yellow to red that indicates
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43 a large stretching of the helical scaffold (Figures 7c and 7f).
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3 **Figure 7.** (a) X-Ray structure of *o*-*m*-(*R*)-**1** and *o*-*m*-(*R*)-**2**. (b)
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5 Chemical structure of *o*-poly-(*S*)-**1** and *o*-poly-(*S*)-**2**. (c) ECD
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7 spectra of *o*-poly-(*S*)-**1** in different solvents. (d) UV-vis of *o*-
8
9 poly-(*S*)-**1** in different solvents. (e) Raman spectra of the poly-**1**
10
11 family showing blue shift in the *cis*-C-H band as the PPA backbone
12
13 stretches. (f) ECD spectra of *o*-poly-(*S*)-**2** in different solvents.
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15 (g) UV-vis of *o*-poly-(*S*)-**2** in different solvents. (h) Raman spectra
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17 of the poly-**2** family showing blue shift in the *cis*-C-H band as the
18
19 PPA backbone stretches. (i) Structure and comparison of the
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21 calculated vs experimental ECD spectra of *o*-poly-(*S*)-**1**. (j)
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23 Structure and comparison of the calculated vs experimental ECD
24
25 spectra of *o*-poly-(*S*)-**2**.

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31 The results obtained for *o*-poly-(*S*)-**1** and *o*-poly-(*S*)-**2** are
32
33 coincident with the ones obtained previously for *o*-poly-(*S*)-**3**,
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35 indicating the presence of a *cis-transoidal* polyene skeleton with
36
37 $\omega_1 > 170^\circ$. CD studies on *o*-poly-(*S*)-**1** and *o*-poly-(*S*)-**2** indicate, as
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39 expected, the presence of an excess of a single-handed helix, where
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41 its helical sense it is not affected by the solvent (Figure 7b,e).
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45 Interestingly, we found that *o*-poly-(*S*)-**1** is sensitive to the
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47 donor character of the solvent presenting a red shift when is
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49 dissolved in THF or DMF compared to the CD/UV trace obtained in
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51 CHCl₃ (Figure 7b, c). In the case of *o*-poly-(*S*)-**2**, the CD and UV
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53 traces are almost identical in these solvents (Figure 7e, f). Raman
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3 spectra corroborated the presence of a very stretched *cis* polyene
4 skeleton with a C-H band at 968 cm^{-1} for *o*-poly-(*S*)-**1** and 977 cm^{-1}
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6 for *o*-poly-(*S*)-**2**. In fact, this frequency is much lower than in
7
8 the *meta* and *para* counterparts [1000 and 1004 cm^{-1} for *m*- and *p*-
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10 poly-(*S*)-**1** and 999 and 1003 cm^{-1} for *m*- and *p*-poly-(*S*)-**2**], clearly
11
12 indicating that the helical backbones of *o*-poly-(*S*)-**1** and *o*-poly-
13
14 (S)-**2** are much more stretched (Figures 7d and 7g).⁸²⁻⁸³

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19 Computational studies [DFT(rCAM-B3LYP)/3-21G]¹¹²⁻¹¹³ on a *P* helix
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21 of a $n=12$ oligomer, possessing a *cis-transoidal* polyene skeleton
22
23 ($\omega_1=175^\circ$) with an antiperiplanar orientation of the carbonyl and
24
25 hydroxy group for *o*-poly-(*S*)-**1**, and an antiperiplanar orientation
26
27 of the carbonyl group and the chlorine atom for *o*-poly-(*S*)-**2** show,
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29 in both cases, CD traces coincident with the experimental ones,
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31 corroborating therefore the formation of highly stretched *cis*-
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33 *transoidal* structures, where the internal and the external helices
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35 rotate in opposite directions (Figures 7h-i).

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39 So, we can state that it is possible to obtain an *ortho*-
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41 substituted polymer from a monomer which affords a *cis-cisoidal*
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43 PPA scaffold in the *para*-substituted series. Moreover, the
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45 location of the pendant group very close to the polyene main chain
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47 results in the adoption of a highly stretched helical structure
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49 that shows a very poor dynamic behavior: only variations on the
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51 elongation of the helix were obtained for *o*-poly-(*S*)-**1** (Figures
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60 7b, c).

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3 CD spectra of *o*-poly-(*R*)-**1** and *o*-poly-(*R*)-**2** in different solvents
4
5 show identical CD traces to their corresponding counterparts *o*-
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7 poly-(*S*)-**1** and *o*-poly-(*S*)-**2** but with opposite sign due to their
8
9 enantiomeric relationship (See SI).
10

11 CONCLUSIONS

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14 In conclusion, we have demonstrated that it is possible to predict
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16 the dynamic and structural behavior of a PPA by a proper monomer
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18 design. Thus, we found that, in case of PPAs that bear an anilide
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20 linkage between the polyene and the pendant group, it is possible
21
22 to generate the complete series of PPAs resulting from different
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24 aromatic substitution patterns. On the contrary, when the amide
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26 group used as linking agent is connected in the opposite way –
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28 benzamide–, only the *para*- and *meta*- substituted polymers can be
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30 obtained, being it not possible to access the *ortho*-substituted
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32 polymers. These different synthetic properties of the PPA series
33
34 bearing anilide or benzamide connections are related to the
35
36 different helical scaffolds adopted by the corresponding *para*-
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38 substituted polymers. Thus, while *p*-PPAs bearing an anilide
39
40 connections can adopt *cis-cisoidal* polyene scaffolds, in the case
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42 of *p*-PPAs bearing a benzamide connection, the polyenes are always
43
44 *cis-transoidal*. This difference is crucial for the possibility of
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46 preparing the *ortho*-substituted polymers. Thus, while *cis-cisoidal*
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48 PPAs ($\omega_1 < 90^\circ$), can vary the dihedral angle between conjugated
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50 double bonds by more than 90° before reaching a planar structure,
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3 in the *cis-transoidal* ones ($\omega_1 > 90^\circ$), the turning range is smaller
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5 than 90° . This higher rotational freedom found in *cis-cisoidal*
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7 polymers is the main reason why the *o*-, *m*- and *p*-PPA series of
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9 poly-(*S*)-**1**, poly-(*S*)-**2** and poly-(*S*)-**3** can be prepared, while we
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11 did not succeed in the preparation of *o*-PPAs bearing benzamides as
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13 connecting groups. In addition, from these studies we can also
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15 state that *m*-PPAs always show an equilibrium between two helical
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17 scaffolds, one very similar to the *para*-substituted one
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19 (compressed, *c-c*) and one more stretched (*c-t*), while *ortho*-
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21 substituted polymers always adopt a highly stretched -almost
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23 planar- *c-t* helix.
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28 Finally, from these studies we found that the dynamic behavior
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30 is directly related to the aromatic substitution pattern of the
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32 PPA. Thus, while in *para* substituted PPAs it is possible to
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34 modulate the helical sense or elongation of the polymer by
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36 conformational changes at the pendants, in *meta*-substituted
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38 polymers these changes are more restricted. The *ortho*-substituted
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40 PPAs are the less dynamic of the series due to the location of the
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42 pendant groups closer to the internal parts of the helices. As a
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44 result, their conformational flexibility is restricted by steric
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46 hindrance.
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51 These findings will allow the scientific community to design
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53 materials with structure and dynamic behavior closely related to
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55 a specific function. Therefore, scientists can create monomers
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3 that provide polymers with optimal structure/function
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5 relationships in fields such as chiral recognition, asymmetric
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7 synthesis, chiral stationary phases or sensing.
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10 ASSOCIATED CONTENT

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12
13 **Supporting Information.** Materials and Methods; Synthesis of the
14
15 Monomers; CD Studies of the Monomers; Synthesis of the Polymers;
16
17 GPC Studies; Circular Dichroism and Ultraviolet Studies; Thermal
18
19 Studies; AFM Studies; Response of the Polymers to External Stimuli.
20
21 The following files are available free of charge.
22
23
24

25
26 AUTHOR INFORMATION

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34
35 ACKNOWLEDGMENT

36
37 Financial support from MINECO (CTQ2015-70519-P), Xunta de Galicia
38
39 (ED431C 2018/30; Centro singular de investigación de Galicia
40
41 accreditation 2016-2019, ED431G/09 and the European Regional
42
43 Development Fund (ERDF) is gratefully acknowledged. We also thank
44
45 Servicio de Nanotecnología y Análisis de Superficies (CACTI,
46
47 UVIGO).
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51
52 ABBREVIATIONS

53
54 AFM, Atomic Force Microscopy; ap, Antiperiplanar; CD, Circular
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56 Dichroism; DCM, Dichloromethane, DMF, Dimethylformamide; DMSO,
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3 Dimethyl Sulfoxide; DSC, Differential Scanning Calorimetry; HOPG,
4 Highly Oriented Pyrolytic Graphite; IR, Infrared; M, Minus; MPA,
5 α -Methoxy- α -Phenylacetic Acid; NMR, Nuclear Magnetic Resonance; P,
6 Plus; sp, Synperiplanar; PGME, Phenylglycine Methyl Ester; PPA,
7 poly(phenylacetylene); STD, saturation-Transfer Difference; TGA,
8 thermogravimetric analysis; THF, tetrahydrofuran; VT-CD, Variable
9 Temperature-Circular Dichroism.
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