

1 **A management tool for estimating bioenergy production and**
2 **carbon sequestration in *Eucalyptus globulus* and *Eucalyptus nitens***
3 **grown as short rotation woody crops in north-west Spain**

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12 **Abstract:**

13 This study proposes stand level models for estimating biomass yield, total energy and
14 carbon sequestration in *Eucalyptus globulus* and *Eucalyptus nitens* plantations, on the basis of
15 measurements made in 131 plots established at the usual range of initial forest densities for
16 southwestern Europe. The timber volume, total aboveground biomass, logging residue
17 biomass, crown biomass, carbon in aboveground biomass and soil organic layer, energy in
18 aboveground biomass, energy in logging residue biomass and usable cellulose yield were
19 represented in the form of isolines (taking mortality into account) and plotted against
20 dominant height. These variables were calculated and compared with previously published
21 data on two silvicultural options for short rotation forestry, one destined for bioenergy
22 production and the other consisting of the standard silviculture regime applied to both species
23 in southern Europe, considering the average site index for each species. Yield levels were
24 higher in *Eucalyptus nitens* than in *Eucalyptus globulus* for all variables because of faster
25 diameter increment at similar densities. The total yield in terms of biomass was 13.9-14.6 Mg

1 ha⁻¹ y⁻¹ for *Eucalyptus globulus* and 20.4-21.5 Mg ha⁻¹ y⁻¹ for *Eucalyptus nitens*. Energy in
2 aboveground biomass ranged between 233-245 GJ ha⁻¹ y⁻¹ for *Eucalyptus globulus* and 345-
3 364 GJ ha⁻¹ y⁻¹ for *Eucalyptus nitens*, carbon accumulation rate in aboveground biomass and
4 soil organic layer was 6.9-7.2 Mg ha⁻¹ y⁻¹ for *Eucalyptus globulus* and 12.7-13.5 Mg ha⁻¹ y⁻¹
5 for *Eucalyptus nitens*, and usable cellulose was 5.7-5.9 Mg ha⁻¹ y⁻¹ for *Eucalyptus globulus*
6 and 9.0-10.1 Mg ha⁻¹ y⁻¹ for *Eucalyptus nitens*. It was found that 50% increments in the initial
7 density result in only marginal increments in biomass and usable cellulose yields.

8 **Keywords:** short rotation forestry, eucalypts, woody crops, density management
9 diagrams, bioenergy production, carbon sequestration.

10 **1. Introduction**

11 Short rotation woody crops (SRWC) (grown in short rotation forestry: SRF) are an
12 important potential source of cellulosic biomass, which can be used as solid fuel in the form
13 of wood chips, pellets or charcoal, transformed into ethanol via a cellulosic platform and/or
14 used in pyrolysis to generate syngas and other products [1]. The establishment of single stem
15 stands and subsequent replanting or coppicing is the most common management regime in
16 SRF, and decisions about replanting and coppicing should consider: i) the yields from both
17 options ii) establishment costs, and iii) desired dimensions of the final product [2].

18 The genus *Eucalyptus* has been used in forestation in Europe since the early 19th
19 century because of its high productivity and plasticity. The total area currently occupied by
20 *Eucalyptus* plantations in southern Europe is approximately 14000 km², with *Eucalyptus*
21 *globulus* being the most common species but with an increasing proportion of *Eucalyptus*
22 *nitens*, which is grown successfully as a frost-tolerant species. Both species belong to the
23 subgenus *Symphyomyrtus*, known to produce larger average tree sizes and to be more
24 productive than species of the subgenus *Monocalyptus* [3-5]. The management objective of
25 these plantations in southern Europe is currently the production of wood pulp or fibreboard,

1 although logging residues and the bark derived from the harvesting operations are
2 increasingly used as biofuel to produce thermal energy and electricity.

3 The ideal characteristics of an energy crop are: i) high yield, ii) low energy input for
4 production, iii) low cost, iv) minimal contents of contaminants and v) low nutrient
5 requirements [6]. In this sense, *Eucalyptus* species adapt well to energy production, because
6 of the higher yield and lower water and nutrient requirements than for poplars and willows
7 [11]; *Eucalyptus* species accounted for 38% of total SRF plantations throughout the world in
8 2003 [7]. Before establishment of a plantation it is important to consider the desired
9 combination of stem density and rotation. For species that must be established from seedlings,
10 the application of narrow inter- and intra- row spacing would lead to very high costs and low
11 ratios of wood/other biomass components, wood/bark or percentage of cellulose. Moreover,
12 the average size of tree decreases as N increases [8] and larger logs are more dense. Nutrient
13 depletion is also less likely for longer rotations, which also provide product flexibility [9,10].
14 Eucalypts in southern Europe established at initial densities of 1000 to 2400 stems per ha can
15 therefore supply the bioenergy industry as the main plantation objective or through the use of
16 logging residues for energetic purposes.

17 Forest plantations develop from a collection of individual, freely growing trees, through
18 the onset of competition, to full site occupancy and self-thinning. Stand development is
19 commonly displayed as a trajectory of increasing mean tree size with decreasing stand density
20 [11]. Dynamic stand density management diagrams (SDMD) illustrate the relationships
21 among yield, density and density-dependent mortality at all stages of stand development.
22 Their use has been proven to be an effective method for the design, display and evaluation of
23 alternative density management regimes in the field of even-aged forestry [12]. The
24 adaptation of such management tools to the field of short rotation forestry for bioenergy
25 production may assist in the assessment of energy yield potential, optimum stand

1 management in terms of density and rotation in comparison with other potentially useful
2 woody species.

3 Yield level indicators are considered the most important factors regarding the suitability
4 of a tree species growing in SRF [13]. Statistic growth models based on a wide range of
5 empirical data are increasingly used in research on SRF yields, rather than growth trials [14],
6 because results from small plots may not be representative [14-16]. SDMD are based on
7 relatively large plots established in commercial plantations and therefore provide more
8 realistic yield estimations.

9 The objectives of the present study were to provide a management tool for estimating
10 biomass and bioenergy production and carbon sequestration in *Eucalyptus globulus* and
11 *Eucalyptus nitens* planted at the observed range of initial stockings in southern Europe, and to
12 propose and compare two standard crop management regimes for both species.

13 **2. Materials and methods**

14 *2.1. Source of data*

15 For development of SDMD, a network of 131 unthinned plots was established in north-
16 west Spain, 55 plots of *Eucalyptus globulus* and 76 of *Eucalyptus nitens*. The plots are located
17 in a temperate Atlantic zone, with an average annual precipitation of 1100-1500 mm for both
18 species and an average annual temperature of 13.9°C and 13.2°C, respectively. Average
19 winter temperature is 10°C for *Eucalyptus globulus* and 8.2°C for *Eucalyptus nitens*. The
20 coordinates of the plots were 43°41'80''- 42°52'00'' N and 8°24'11''- 7°03'56'' W
21 (Figure 1).

22 The plots were measured once (in winter) between 2006 and 2010, thus covering a
23 chronosequence in the range 0 to 25 years. Two types of plots were considered: circular plots
24 of radius 10 m and square plots of 20x20 m, a size well above the threshold of 100 to 150 m²
25 established for accurate biomass estimation [17]. The diameter at breast height (*d*, cm) and

1 total height (h , m) were measured in all trees in each plot. Diameters were measured twice
2 (measurements at right angles to each other) to the nearest 0.1 cm, with calipers, and the
3 arithmetic mean value was calculated. Height was measured with Vertex IV to the nearest 10
4 cm. The stand variables calculated directly from these measurements were: quadratic mean
5 diameter (d_g , cm), average stand diameter (\bar{d} , cm), average stand height (\bar{h} , m) and tree
6 density (N , stems ha⁻¹).

7 Dominant height (H_0 , m) was determined per plot by use of a local height-diameter
8 relationship and considering the 100 thickest stems per hectare [18]. Site index (SI , m) was
9 calculated from local models [19,20], known to be valid for a single stem rotation in northern
10 Spain. As the reference ages in these models are not the same (10 and 6 years for *Eucalyptus*
11 *globulus* and *Eucalyptus nitens* respectively), the SI values cannot be directly compared. The
12 volume of each tree was determined from taper functions [20,21]. The values were added to
13 determine the stand volume (V , m³ ha⁻¹).

14 2.2. Biomass and energy calculations

15 The total aerial biomass, logging residue biomass (branches and stem bark) and crown
16 biomass (leaves and branches), were determined from single tree biomass equations
17 developed in this study for *Eucalyptus nitens*, and fitted with data for *Eucalyptus globulus*
18 [22], which are shown in Table 1. Both systems of equations were fitted simultaneously for
19 samples of 36 *Eucalyptus globulus* trees and 40 *Eucalyptus nitens* trees respectively, by the
20 seemingly unrelated regression method (SUR) and the MODEL procedure of SAS/ETS[®] [23].

21 The following biomass stand variables were calculated: total aerial biomass (W , Mg ha⁻¹)
22 ¹), logging residue biomass (W_w , Mg ha⁻¹), considered as all the compartments except for stem
23 and leaves and crown biomass (W_c , Mg ha⁻¹), in this case for all the compartments except
24 stem, bark and dead branches under the crown. These values were calculated by summing the
25 individual tree values corresponding to the compartments of interest.

1 The energy in aboveground biomass (E TJ ha⁻¹) and energy in logging residues (E_w TJ
2 ha⁻¹) were determined by the low heating value (LHV , kJ kg⁻¹), calculated from expression (1)
3 from the high heating value (HHV , kJ kg⁻¹) and the proportion of hydrogen in the dry matter
4 (H_d , %) reported for both species [24-25]. The energy values were first determined at tree
5 level as for the other variables.

$$LHV = HHV - \left(\frac{24429H_d}{100} \right) \quad (1)$$

6 The usable cellulose (UC , Mg ha⁻¹) was estimated from the volume under bark data for
7 each plot and the average specific consumption of wood for pulp production, which is usually
8 evaluated as inside bark wood volume (m³) required to produce a dry weight of pulp,
9 considering an average value of 3.2 m³ Mg⁻¹ for *Eucalyptus globulus*, and 3.8 m³ Mg⁻¹ for
10 *Eucalyptus nitens* [26].

11 2.3. Carbon estimation

12 The concentrations of carbon in each biomass component were determined for the
13 sample of trees used to derive biomass equations, by ignition in a LECO CNS-ICP 2000
14 element analyzer. Carbon in aboveground biomass was calculated from biomass equations
15 and the carbon concentration at tree level (Table 1) was calculated by adding the values at
16 plot level and expressing the values per hectare.

17 The carbon accumulated in soil organic layer was evaluated in only 40 plots per species
18 by collecting 5 random samples per plot in square containers (30x30 cm) and determining the
19 oven dry weight per unit surface area. The concentrations of carbon in litter were determined
20 after ignition in a LECO CNS-ICP 2000 element analyzer, and average values of 46.94% and
21 47.68% were obtained for *Eucalyptus globulus* and *Eucalyptus nitens* respectively.

22 Total aboveground carbon (C Mg ha⁻¹) was then calculated for each plot as the sum of
23 the total carbon in aboveground biomass and the carbon in the soil organic layer. Summary

1 statistics, including the mean, maximum, minimum and standard deviation for each of the
 2 main stand variables calculated are shown in Table 2.

3 *2.4. Development and construction of Stand Density Management Diagrams*

4 The model developed in this paper for constructing SDMD includes a system of 9
 5 equations, a density index and the mortality model as basic components. In the system of
 6 equations 2-10, N and H_0 are exogenous variables (defined independently of the system), V ,
 7 W_w , W_c , C , E , E_w and UC are the endogenous variables (variables that the model is intended to
 8 explain or predict), and d_g is the endogenous instrumental variable [27]. The first equation (2)
 9 relates quadratic mean diameter to the number of **stems** per hectare and the dominant height.
 10 This equation is based on the relationship among average tree size, density and an indicator of
 11 productivity (i.e. [28,29]). The remaining equations (3-10) relate the stand yield (expressed in
 12 several ways), to quadratic mean diameter, number of **stems** per hectare and dominant height.

$$dg = b_0 \cdot N^{b_1} \cdot H_0^{b_2} \quad (2)$$

$$V = b_3 \cdot dg^{b_4} \cdot H_0^{b_5} \cdot N^{b_6} \quad (3)$$

$$W = b_7 \cdot dg^{b_8} \cdot H_0^{b_9} \cdot N^{b_{10}} \quad (4)$$

$$W_w = b_{11} \cdot dg^{b_{12}} \cdot H_0^{b_{13}} \cdot N^{b_{14}} \quad (5)$$

$$W_c = b_{15} \cdot dg^{b_{16}} \cdot H_0^{b_{17}} \cdot N^{b_{18}} \quad (6)$$

$$C = b_{19} \cdot dg^{b_{20}} \cdot H_0^{b_{21}} \cdot N^{b_{22}} \quad (7)$$

$$E = b_{23} \cdot dg^{b_{24}} \cdot H_0^{b_{25}} \cdot N^{b_{26}} \quad (8)$$

$$E_w = b_{27} \cdot dg^{b_{28}} \cdot H_0^{b_{29}} \cdot N^{b_{30}} \quad (9)$$

$$UC = b_{31} \cdot dg^{b_{32}} \cdot H_0^{b_{33}} \cdot N^{b_{34}} \quad (10)$$

1 Because of the existence of correlation between error components of the independent
 2 variables and dependent variables, the full information maximum likelihood method (*FIML*)
 3 was applied in the fitting process. The system of equations was fitted simultaneously by use
 4 of the MODEL procedure of the SAS/ETS[®] system [23]. In the absence of convergence,
 5 equations were fitted by subgroups. The adjusted coefficient of determination and the root
 6 mean square error were used to check the accuracy of the models.

7 Mortality models were also considered for evaluating the non density dependent
 8 mortality. The models used for *Eucalyptus globulus* [30] and *Eucalyptus nitens* [31] are
 9 shown as equations (11 and 12 respectively).

$$N_1 = N_0 \cdot e^{-0,0281(t_1-t_0)} \quad (11)$$

$$N_1 = N_0 - N_0 \cdot \left(1 - e^{(-e^A)}\right), \text{ with } A = -8.6 + 0.1124 \cdot S + 0.1487 \cdot \left(\frac{t_0 + t_1}{2}\right) - 0.0067 \cdot \left(\frac{t_0 + t_1}{2}\right)^2 \quad (12)$$

10 where N_0 and N_1 are the number of stems per hectare at the age of t_0 and t_1 years respectively,
 11 and S (site index, m) is the dominant height at the age 15 years, estimated by the Candy
 12 model.

13 The SDMD were constructed by representing dominant height on the X-axis and the
 14 number of stems per hectare in logarithmic scale on the Y-axis. The quadratic mean diameter
 15 isolines were represented in the diagrams taking constant values for dg and solving for N the
 16 equation (2). The isolines for the equations (3-10) were represented in the diagrams solving
 17 dg in each equation with (2), assuming constant values for each variable and solving for N .
 18 Mortality isolines were also represented for each species. Since conversion between t and H_0
 19 depends on site quality conditions, average observed SI values for each species were
 20 considered (Table 2).

1 Two SDMD were represented per species, one for carbon sequestration estimation
2 (including additional isolines for quadratic mean diameter, carbon in aboveground biomass
3 and organic soil, Hart-Becking index, mortality and sample plots for each species), and
4 another for bioenergetic yield estimation (including additional isolines for usable cellulose,
5 aboveground biomass energy, harvest limits and mortality).

6 2.5. Assessment of density limits and harvest limits

7 The relative spacing index (HI , %) was used to characterize the competence level at
8 each development stage [32], this was calculated as the ratio between the average distance
9 among trees and the dominant height expressed as a percentage, with expression (13) and
10 considering square spacing [33-35].

$$RS = \frac{10000}{H \sqrt{N}} \quad (13)$$

11 In order to determine the threshold density due to the self-thinning effect or full density
12 curve FDC [36], the $-3/2$ power law was used [37], considering the average crown biomass
13 \bar{W}_c [38] as an indicator of crowding. This is because the stem occupies a negligible space
14 with respect to the crown and roots, and therefore crowding is more closely related to crown
15 biomass than to total aerial biomass.

$$\bar{W}_c \cdot N^{3/2} = K \quad (14)$$

16 As a convention, \bar{W}_c at 1000 stems ha^{-1} (W_{c1000}) has been considered for defining the
17 FDC [39,40], thus enabling determination of the value of the constant K that defines the
18 curve. In this study, the average crown biomass (kg tree^{-1}) was plotted against stand density to
19 determine the maximum average crown biomass at 1000 stems ha^{-1} (W_{c1000} , kg tree^{-1}). The
20 FDC equation was then derived from (14) by substituting K for $W_{c1000} 1000^{3/2}$.

1 Although the -3/2 power law of self-thinning. [37] is assumed to be valid for all species
2 and locations, some factors such as severe nutrient deficiencies [41,42], climatic conditions
3 and artificial shading [43] may affect the trajectory of FDC. This implies that it is more
4 appropriate to define a full density zone (FDZ), in which the probability of mortality due to
5 the self-thinning effect is very high [36]. The lowest boundary limit of FDZ was determined
6 as 70% of W_{c1000} [44]. Both limits of FDZ were represented in the diagrams by solving
7 equation (14) for N .

8 Mechanized harvesting implies a size limitation due to the machinery used. Isolines of
9 10 and 20 cm of average stump diameter (\bar{d}_{st} , cm) were therefore represented in the
10 diagrams. For this, a linear relationship between dg and \bar{d} was fitted for both species. \bar{d}_{st} can
11 be estimated accurately from the average diameter at breast height by use of a linear model
12 [45]. An already published regional model was used to estimate *Eucalyptus globulus* \bar{d}_{st} [21],
13 and a similar model, developed with data collected in the plots established in the present
14 study, was used to estimate *Eucalyptus nitens* \bar{d}_{st} .

15 3. Results

16 3.1. Model parameters and additional relations

17 Results of the non-linear fit of equations 2-10, the coefficient estimates and the
18 regression statistic values are shown in Table 3. All coefficients were significant at $P < 0.05$,
19 and the models accounted for more than 84% of the total variability in the quadratic mean
20 diameter, and more than 94% of the total variability in productivity equations (3-10).

21 As expected, the least accurate models were those predicting dg , since the approach
22 used in developing this type of diagram is to predict yield rather than increment, which makes
23 them of little use, relative to dynamic growth models, for simulating a broad range of
24 silvicultural regimes [46]. With regard to the productivity equations, the C model was the
25 least accurate, because the carbon in the soil organic layer is barely related to the independent

1 variables considered, and is more closely related to time since last perturbation. The changes
2 in total carbon in the aboveground biomass and in the soil organic layer over time are shown
3 in Figure 2.

4 The dynamics of accumulation were quite different in both compartments (Fig.2), while
5 there was a high rate of accumulation of aboveground biomass carbon in both species
6 (although dependent of site quality, Carbon at 8.3 Mg ha⁻¹ y⁻¹ on average), the carbon in the
7 litter tended to stabilize at 5-7 years in both species, and reached values of Carbon at 20 and
8 30 Mg ha⁻¹ at this age in *Eucalyptus globulus* and *Eucalyptus nitens* plantations.

9 The relation between the average crown biomass (kg tree⁻¹) and the number of stems per
10 hectare for each plot and species, including several isolines of W_{c1000} , are shown in Figure 3.
11 If we consider only the plots with low IH values and that probably undergo self-thinning [47],
12 the most reasonable values for the W_{c1000} are 60 kg tree⁻¹ for *Eucalyptus globulus* and 50 kg
13 tree⁻¹ for *Eucalyptus nitens*. This indicates that *Eucalyptus nitens* tolerates lower densities
14 than *Eucalyptus globulus*, which is consistent with previous findings for this species [5,13].
15 These values are equivalent to aboveground biomass of 360 kg tree⁻¹ for *Eucalyptus globulus*
16 and 285 kg tree⁻¹ for *Eucalyptus nitens*, values similar to the self-thinning threshold defined to
17 parameterize the 3PG model for *Eucalyptus globulus* in Australia (300 kg tree⁻¹, [39]).

18 The parameter values for the linear models of \bar{d} estimation from dg for each species are
19 shown in Table 4. The model accuracy was very high for both species, allowing the
20 incorporation of the harvesting limits for \bar{d}_{st} of 10 and 20 cm.

21 3.2. Stand density management diagrams

22 The SDMD obtained for *Eucalyptus globulus* and *Eucalyptus nitens* are shown in
23 Figures 4-7. The isolines for quadratic mean diameter, carbon in biomass and organic soil,
24 Hart-Becking index and mortality, as well as the positions of the sample plots are shown in
25 Figures 4 and 6. The isolines for usable cellulose, aboveground biomass energy, harvest limits

1 and mortality are shown in Figures 5 and 7. Although SDMD provide useful graphic
2 information about stand development stages, for more accurate estimation, equations 2-10 and
3 the parameters shown in Table 3 must be used.

4 As can be seen in the SDMD, dg decreases for a given H_0 as N increases because of
5 increased competition for resources, which results in a smaller average tree size [18,48-50].
6 The pattern of dg isolines is parallel to that observed for the harvest limits, and thus a
7 threshold established for basal diameter would mean **that** a combination of initial planting
8 density and dominant height at harvest **would have** to be considered.

9 For comparable stages of stand development (in terms of H_0 and N), *Eucalyptus nitens*
10 accumulates more carbon in biomass and soil organic layer, and this effect is partly
11 attributable to the higher rate of accumulation of C in soil organic layer (Figures 4 and 6).

12 The shape of biomass-related isolines (W , W_w , W_c , E and E_w) are more vertical than the
13 volume-related isolines (V and UC) (Figures 5 and 7). This indicates that biomass-related
14 isolines are less sensitive to changes in N than volume-related isolines. Moreover, for
15 comparable stages of stand development, *Eucalyptus nitens* has more energy in aboveground
16 biomass and usable cellulose than *Eucalyptus globulus*, because of faster diameter growth at
17 comparable levels of N and H_0 . These results are consistent with those of other studies for
18 single stem crops of *Eucalyptus nitens* at 2200 **stems** ha^{-1} [5].

19 The changes in live-tree densities are shown in all the diagrams and allow estimation of
20 expected natural mortality after planting in a scenario of no thinning, which is generally
21 applied to woody crops destined for energy or fibre production. It must be considered that
22 these trends were calculated by considering the average site index for both species and thus a
23 site specific calculation using equations (11) and (12) is recommended if greater accuracy is
24 sought. A high plantation density, together with longer rotations, results in high self-thinning
25 mortality and unstable stems, as already corroborated [13]. As the initial density increases, the

1 boundary of the FDZ is closer in terms of H_0 and therefore the management window is
2 smaller. Although the relationship between the number of stems per hectare and the average
3 tree size is a good indicator of density and therefore of competence, there are other factors
4 that affect the self-thinning process, such as tree distribution and accumulation of gaps [51],
5 which is not important when a regular square distribution is considered, but could be in the
6 case of paired row layouts.

7 In the SDMD proposed here, several harvesting limits were represented. The \bar{d}_{st} 10 cm
8 limit defines the area in which a chip harvester may be more profitable. The \bar{d}_{st} 10 and 20 cm
9 limits define the area where a multi-cutter harvester may be more cost effective, whereas
10 average basal diameters above \bar{d}_{st} 20 cm would limit the choice to traditional single-stem
11 harvesters.

12 Since all models depend on N , the production costs can be easily determined, and
13 together with a correct estimate of site quality, will determine the time needed to obtain the
14 desired products and therefore the economic profitability of the plantation.

15 3.3. Practical example of SDMD for determining energy production

16 SDMD can be used to estimate production from a given stand development stage (N and
17 H_0), or to estimate the minimum density that can provide a certain output for an average tree
18 size. As a practical example, two silvicultural alternatives were simulated for each species,
19 with initial densities of 2400 and 1600 stems ha^{-1} , representative of an energy option and a
20 standard southern European pulp production target, respectively. The rotation time (T) was
21 defined as the time that allows efficient harvesting of the crop by a multi-cutter harvester (\bar{d}_{st}
22 20 cm) in the case of the energy target for an average site index. For the standard pulp
23 silviculture, stand growth was simulated until one of the two species reached the FDZ area,
24 which for *Eucalyptus nitens* occurred at a dominant height of 29 m. The stand development
25 stages at the time of harvest and rotation time for the average site index are shown for both

1 alternatives and species (Table 5). The predicted dg at the end of rotation and yield values for
2 $V, W, W_w, W_c, C, E, E_w$ and UC for the two silvicultural alternatives are also shown.

3 The total aboveground biomass yield for all fractions ranged between 13.9-14.6 Mg ha⁻¹
4 y⁻¹ for *Eucalyptus globulus* and between 20.4-21.5 Mg ha⁻¹ y⁻¹ for *Eucalyptus nitens* (Table
5 5). The mean annual increment in terms of biomass was only marginally higher for the high
6 density silviculture, although the rotation age was also shorter in this case. The values for the
7 expected yield in terms of energy or usable cellulose were higher for *Eucalyptus nitens*,
8 although direct comparison is difficult, as the species grow in different areas.

9 **4. Discussion**

10 *4.1. Model performance and limitations*

11 The model presented here represents a system of related equations that enable accurate
12 estimation of crop yield in terms of oven dry biomass, total energy, usable cellulose and other
13 variables, and thus provides a powerful tool for decision making as regards woody crops of
14 the species studied. The diagrams show the crop development as dominant height increases,
15 and are therefore independent of age and valid for applying to different breeding materials
16 and sites for each species, provided the change in dominant height with age is known in each
17 case. These empirical statistically-based tools can also be combined with process-based
18 models if the detailed information required in this case is available.

19 Woody biomass can be converted via combustion, gasification, pyrolysis and
20 fermentation, and the energy recovered depends on the conversion technology [6]. Although
21 the energy obtained from LHV is a theoretical value that can only be achieved at 0% moisture,
22 the information obtained from the diagrams, particularly the share of logging residues, and the
23 proportion of cellulose or stem volume, is useful for determining the suitability of the biomass
24 produced for subsequent processing.

1 The models provided here are only valid for single stem rotations, which is an important
2 constraint to be considered. However, this is not a key limitation in the case of *Eucalyptus*
3 *nitens*, because some studies have shown the poor coppicing ability of *Eucalyptus nitens*
4 [13,52], at least for the breeding materials currently in use. Changes in growth patterns and
5 relationships among stand variables after coppicing have been shown, particularly the change
6 in basal area derived from the sprout number per stool [16]. It is therefore necessary to obtain
7 a similar model for *Eucalyptus globulus* coppice stands in order to assess the long term
8 productivity.

9 One of the main advantages of the models provided in this paper is the possibility of
10 assessing the economic profitability of SRC with eucalypts, because many variables are
11 needed to calculate the cash flow throughout the rotation. Most production costs, from
12 establishment to delivery, can be calculated as they are density-dependent, as is the case of
13 plantation, localized fertilization and weed control. Plant material may account for up to 65%
14 of establishment costs and any advantage gained by high planting rates may be outweighed by
15 increasing costs [15]. Stand harvesting costs constitute a major portion of total production
16 costs, and may have effects as important as those of stand establishment costs [53]. Harvest
17 operations represent up to 70% of the cost in the overall supply chain, and therefore less
18 frequent harvesting reduces the cost of biomass production per unit [15]. Moreover, average
19 tree size is the most important factor in harvesting costs [53]. The information provided in the
20 diagrams, particularly the average basal diameter, is also essential for deciding what type of
21 harvesting to carry out, i.e. whole stem harvesting or chip harvesting.

22 4.2. Carbon sequestration

23 The diagrams obtained in this paper and the fitted equations constitute a powerful tool
24 for assessing carbon sequestration in eucalypts grown in SRF. The isolines plotted in the
25 diagrams range between 20 and 200 Mg ha⁻¹ for carbon in aboveground biomass and litter.

1 Since no information was obtained for root biomass it was not possible to assess sequestration
2 in this compartment, which is an important consideration because root biomass is usually left
3 in situ after the crop is harvested.

4 The values for carbon in litter show greater accumulation of C in the litter resulting from
5 *Eucalyptus nitens* than in *Eucalyptus globulus* crops. Net production in a forest should be
6 assessed as the sum of biomass accumulation and annual litter production, which in
7 *Eucalyptus globulus* plantations of density 4167 stems ha⁻¹ may reach 13.4 Mg ha⁻¹ y⁻¹, and as
8 much as 10-20% of the total biomass production at age 3 years [54]. Although this litter can
9 hardly be used for energy production, estimation of the quantity is important for estimating
10 carbon accumulation and nutrient cycle return. Although the soil mineral layer was not
11 considered here, carbon accumulation in this pool is very important because the lifespan of
12 the carbon is longer than in biomass and in the soil organic layer [55].

13 4.3. Comparison of data from other studies

14 The biomass yields (Table 5) are higher than the 1-9 Mg ha⁻¹ y⁻¹ reported for 4-year-old
15 *Eucalyptus globulus* planted at a density of 2196 stems ha⁻¹ [56], but lower than the 24 Mg ha⁻¹
16 y⁻¹ observed in 3-year-old plantations of the same species in New Zealand, established at a
17 density of 4167 stems ha⁻¹ and irrigated with effluent. Non irrigated stands yielded as much as
18 19.3 Mg ha⁻¹ y⁻¹ [10]. *Eucalyptus globulus* planted at densities of 20,000, 30,000 and 40,000
19 stems ha⁻¹ in Portugal [57] yielded 16, 21 and 19 Mg ha⁻¹ y⁻¹ after 2 years. The general effect
20 is therefore a similar average yield (obtained early on because of the initial high stocking
21 density) but with a decrease in the average tree size [14]. Referenced yield data for
22 *Eucalyptus nitens* in SRF are scarce and values are very low compared with those observed in
23 the present study. In New Zealand, yield values of 3-7.3 Mg ha⁻¹ y⁻¹ have been reported for
24 single stems established at a density of 5000 stems ha⁻¹ and first coppice rotation,
25 respectively, with very low survival [13]. As regards other *Eucalyptus* species, a study

1 collecting yield data for several *Eucalyptus* species in Australia reported values of between 11
2 and 16 Mg ha⁻¹ y⁻¹ [58]. Another study in the USA reported *Eucalyptus* yields as high as 40
3 Mg ha⁻¹ y⁻¹ for a wide range of sites [59]. The average yields for *Eucalyptus* species in a
4 single stem rotation at a density of 2200 stems ha⁻¹ reported for a species-comparative study
5 in New Zealand ranged between 9.6 and 15.5 Mg ha⁻¹ y⁻¹ [5], which are the highest reported
6 values for the species, with high survival rates and larger average tree size. The values
7 observed here were similar or higher and were determined from commercial sized plots with
8 conservative assumptions for site quality.

9 With regard to energy production at the end of rotation, the predicted values ranged
10 between 3.4-3.5 and 4.0-4.1 TJ ha⁻¹ for *Eucalyptus globulus* and *Eucalyptus nitens*
11 respectively, well above the values reported for poplar (173-259 GJ ha⁻¹; 10-15 Mg ha⁻¹ y⁻¹)
12 and willow (187-280 GJ ha⁻¹; 10-15 Mg ha⁻¹ y⁻¹) grown as short rotation woody crops. Mean
13 values ranged from 245 to 345 GJ ha⁻¹ y⁻¹, still far from the average range for eucalypt
14 plantations in Aracruz (450 to 650 GJ ha⁻¹ y⁻¹, [60]). The annual logging residue energy yield
15 was 33-35 and 53-54 GJ ha⁻¹ y⁻¹ for *Eucalyptus globulus* and *Eucalyptus nitens* respectively,
16 similar to the 65 GJ ha⁻¹ y⁻¹ estimated for both species together, also in northern Spain [25].

17 Rotations simulated for the bioenergy alternative in this paper are longer than the
18 average considered in SRC, but there are positive effects of this practice. If wood is the main
19 biomass compartment desired, longer rotations provide higher wood:bark ratios, as shown in
20 Figure 8 for the data used in the present study. The wood:bark ratio can reach up to 80% in
21 *Eucalyptus globulus* at 10 years [10], but data reported for *Eucalyptus nitens* at 3 years
22 indicate a rather low value of 45% [5]. Moreover, longer rotations result in larger average tree
23 size, and therefore harvest machinery is better able to discriminate between leaves and other
24 tree fractions, which reduces the nutrient exports and ash production. Bark and leaves contain
25 the highest amounts of ash in all aboveground biomass compartments, with reported values of

1 1.5-2 times [25], and even 10 times [61] the wood ash content; this is an important problem if
2 combustion is the chosen **methods of** biomass transformation, as the high ash content can
3 damage the boilers used. Longer rotations are also a good option if the goal is product
4 flexibility [14]. The results of the present study for the single stem rotation showed that 50%
5 increments in initial density result in only marginal increases in yield as well as decreases in
6 rotation, as previously observed [53].

7 **4. Conclusions**

8 Management tools for estimation of bioenergy production and carbon sequestration in
9 single stem *Eucalyptus* plantations are presented in the form of dynamic SDMD. These
10 models allow yield simulations for a range of initial density and rotation age options and
11 provide information about the value of the crops, by use of input data usually available from
12 routine forest inventories. The observed yields for *Eucalyptus globulus* and *Eucalyptus nitens*
13 planted at densities of 1600-2400 stems ha⁻¹ in single stem rotation are similar to those
14 observed for the higher densities usually used in SRF. *Eucalyptus nitens* displayed faster
15 diameter growth than *Eucalyptus globulus* planted at similar densities. Mean values of
16 potential annual energy production from total biomass, except leaves, for average site indices
17 for both species in northern Spain ranged between 233-245 and 345-364 GJ ha⁻¹ **y⁻¹** for
18 *Eucalyptus globulus* and *Eucalyptus nitens*, respectively. The options simulated in this paper
19 display good product flexibility and would provide high wood: bark ratios.

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47

1 **TABLES**

2

3 Table 1. Biomass equations for *Eucalyptus globulus* and *Eucalyptus nitens* in north-west
4 Spain.

Species	Fraction	R^2 Adjust.	REMC (kg)	CC (%)
<i>Eucalyptus globulus</i>	$w_w + w_{lb} = 0.01308 \cdot d^{1.870} \cdot h^{1.172}$	0.989	13.4	45.2
	$w_b = 0.01010 \cdot d^{2.484}$	0.932	3.82	42.5
	$w_{mb} + w_{sb} = 0.003685 \cdot d^{2.654}$	0.841	5.16	45.3
	$w_t = 0.01258 \cdot d^{1.705}$	0.586	0.971	46.4
	$w_l = 0.02949 \cdot d^{1.917}$	0.706	4.38	52.0
<i>Eucalyptus nitens</i>	$w_w + w_{lb} = 0.009400 \cdot d^{2.033} \cdot h^{1.056}$	0.990	17.9	50.1
	$w_b = 0.01342 \cdot d^{2.361}$	0.710	15.3	46.9
	$w_{mb} = 0.00005900 \cdot d^{3.760}$	0.897	6.01	49,8
	$w_{sb} = 0.01280 \cdot d^{1.858}$	0.756	2.24	49.8
	$w_t = 0.0009220 \cdot d^{2.632}$	0.831	2.09	51.5
	$w_l = 0.005300 \cdot d^{2.393}$	0.813	5.59	57.2
	$w_{db} = 0.1451 \cdot d^{1.403}$	0.397	10.6	50.4

5 where w_w is the wood biomass (up to 7 cm diameter over bark), w_b is the bark biomass in the
6 stem, w_{lb} is the biomass of large branches (up to 7 cm diameter over bark), w_{mb} is the biomass
7 of medium sized branches (diameters over bark between 7 and 2 cm), w_{sb} is the biomass of
8 small branches (diameters over bark between 2 and 0,5 cm), w_t is the twig biomass (diameters
9 over bark smaller than 0.5 cm), w_l is the leaf biomass, and w_{db} is the biomass of dead branches
10 in the bottom stem (a large fraction of the weight in *Eucalyptus nitens*). The carbon
11 concentrations for *Eucalyptus globulus* were obtained from a local study [22].

12

13 Table 2. Statistics for the main variables measured in the sample plots.

Variable	<i>Eucalyptus globulus</i>				<i>Eucalyptus nitens</i>			
	Mean	Min.	Max.	S.D.	Mean	Min.	Max.	S.D.
dg (cm)	16.32	1.291	36.44	8.01	19.60	6.452	35.23	5.39
G ($m^2 ha^{-1}$)	27.80	0.092	81.98	20.00	35.33	2.185	81.98	16.92
\bar{d} (cm)	15.29	1.200	35.64	7.67	18.66	6.248	34.06	5.20
\bar{h} (m)	17.35	1,268	32.07	7.92	19,61	5.857	32.07	5.23
N (stems ha^{-1})	1182	446	1825	318	1152	446	2196	387
Ho (m)	22.86	1.10	40.50	10.44	24.54	7.40	40.41	7.08
SI (m)	23.33	8.99	36.32	6.47	16.30	8.80	26.20	4.90
V ($m^3 ha^{-1}$)	291.18	0.064	1139.90	252.50	365.82	8.133	1129.46	223.86
W (Mg ha^{-1})	171.92	0.084	709.12	153.15	187.09	4.763	653.50	119.40
W_w (Mg ha^{-1})	28.88	0.033	110.51	24.77	43.87	2.456	132.30	24.08
W_c (Mg ha^{-1})	20.34	0.052	70.32	16.13	22.93	0.727	85.57	14.82
C (Mg ha^{-1})	101.12	0.040	325.84	74.17	106.92	26.608	358.51	65,11
E (TJ ha^{-1})	2.88	0.001	11.93	2.58	3.20	0.081	11.17	2.04
E_w (TJ ha^{-1})	0,45	0.001	1.74	0.39	0.71	0.040	2.14	0.39
UC (Mg ha^{-1})	71.62	0.009	305.55	65.46	82.80	1.678	262.29	51.72

1 Table 3. Non-linear regression coefficients and statistics obtained from simultaneous fitting of
 2 the system of 10 equations predicting quadratic mean diameter (dg , cm), stand volume (V , m^3
 3 ha^{-1}), total aerial biomass (W , $Mg\ ha^{-1}$), crown biomass (W_c , $Mg\ ha^{-1}$), total carbon in
 4 aboveground biomass and soil organic layer (C , $Mg\ ha^{-1}$), logging residue biomass (W_w , Mg
 5 ha^{-1}), total aerial energy without leaves (E , $TJ\ ha^{-1}$), logging residue energy (E_w , $TJ\ ha^{-1}$),
 6 usable cellulose production (UC , $Mg\ ha^{-1}$).
 7

<i>Eucalyptus globulus</i>						
Equation	Parameter estimates				Adjusted R^2	RMSE
(2)	$b_0=16.37744$ (9.7711)	$b_1=-0.38706$ (0.0699)	$b_2=0.868226$ (0.0858)		0.8480	3.1225
(3)	$b_3=0.000064$ (0.000018)	$b_4=2.047556$ (0.0504)	$b_5=0.756605$ (0.0482)	$b_6=0.981972$ (0.0235)	0.9950	17.8455
(4)	$b_7=0.000034$ (5.775E-6)	$b_8=2.132935$ (0.0164)	$b_9=0.732106$ (0.0175)	$b_{10}=0.97052$ (0.0133)	0.9984	6.0913
(5)	$b_{11}=0.000012$ (1.79E-6)	$b_{12}=2.457291$ (0.0145)	$b_{13}=0.077533$ (0.0148)	$b_{14}=1.036985$ (0.0134)	0.9987	0.8934
(6)	$b_{15}=0.000021$ (2.023E-6)	$b_{16}=2.259985$ (0.00986)	$b_{17}=0.03626$ (0.0109)	$b_{18}=1.014372$ (0.00884)	0.9992	0.4474
(7)	$b_{19}=0.000073$ (0.000029)	$b_{20}=2.058496$ (0.0528)	$b_{21}=0.512052$ (0.0602)	$b_{22}=0.899273$ (0.0426)	0.9911	6.9936
(8)	$b_{23}=5.544E-7$ (9.644E-8)	$b_{24}=2.130056$ (0.0168)	$b_{25}=0.745888$ (0.0179)	$b_{26}=0.969512$ (0.0136)	0.9984	0.1043
(9)	$b_{27}=1.888E-7$ (2.813E-8)	$b_{28}=2.459492$ (0.0146)	$b_{29}=0.07792$ (0.0149)	$b_{30}=1.037102$ (0.0135)	0.9987	0.0142
(10)	$b_{31}=0.00001$ (2.413E-6)	$b_{32}=2.073132$ (0.0228)	$b_{33}=0.918631$ (0.0247)	$b_{34}=0.953745$ (0.0180)	0.9974	3.3231

<i>Eucalyptus nitens</i>						
Equation	Parameter estimates				Adjusted R^2	RMSE
(2)	$b_0=23.23792$ (11.4785)	$b_1=-0.34626$ (0.0586)	$b_2=0.70549$ (0.0728)		0.8437	3.0194
(3)	$b_3=0.000068$ (0.000017)	$b_4=1.936645$ (0.0752)	$b_5=0.800026$ (0.0628)	$b_6=1.005736$ (0.0252)	0.9956	14.9311
(4)	$b_7=0.000025$ (2.157E-6)	$b_8=2.24867$ (0.0140)	$b_9=0.665185$ (0.0128)	$b_{10}=0.980934$ (0.00781)	0.9987	4.2858
(5)	$b_{11}=0.000026$ (4.245E-6)	$b_{12}=2.353757$ (0.0326)	$b_{13}=0.08703$ (0.0265)	$b_{14}=0.991036$ (0.0168)	0.9960	1.5209
(6)	$b_{15}=2.714E-6$ (5.996E-7)	$b_{16}=2.756195$ (0.0409)	$b_{17}=0.180144$ (0.0308)	$b_{18}=1.002181$ (0.0218)	0.9941	1.1395
(7)	$b_{19}=0.000231$ (1.97E-5)	$b_{20}=1.902716$ (0.1489)	$b_{21}=0.485519$ (0.1429)	$b_{22}=0.834961$ (0.1109)	0.9475	14.9173
(8)	$b_{23}=4.196E-7$ (3.662E-8)	$b_{24}=2.24873$ (0.0140)	$b_{25}=0.667739$ (0.0128)	$b_{26}=0.980905$ (0.00783)	0.9987	0.0734
(9)	$b_{27}=4.219E-7$ (7.089E-8)	$b_{28}=2.355724$ (0.0341)	$b_{29}=0.089347$ (0.0277)	$b_{30}=0.99033$ (0.0175)	0.9957	0.0256
(10)	$b_{31}=0.000016$ (2.892E-6)	$b_{32}=1.844636$ (0.0275)	$b_{33}=0.93374$ (0.0290)	$b_{34}=0.973451$ (0.0137)	0.9956	3.4338

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1 Table 4. Equations for dg estimation from \bar{d} for *Eucalyptus globulus* and *Eucalyptus nitens*
 2 plantations.

	Equation	Adjusted R^2	RMSE
<i>Eucalyptus globulus</i>	$dg = 1.0405 \cdot \bar{d} + 0.4067$	0.9954	0.5474
<i>Eucalyptus nitens</i>	$dg = 1.0322 \cdot \bar{d} + 0.344$	0.9937	0.4365

4
 5 Table 5. Predicted yield for the two silvicultural alternatives considered.

	$N_0=2400$				$N_0=1600$			
	<i>Eucalyptus globulus</i>		<i>Eucalyptus nitens</i>		<i>Eucalyptus globulus</i>		<i>Eucalyptus nitens</i>	
N_1 (stems ha^{-1})	1700		2100		925		1300	
H_{01} (m)	27.6		27.2		28.5		28.7	
T (years)	14		11		15		12	
dg (cm)	16.7		16.8		20.3		20.2	
Yield units	ha^{-1}	$ha^{-1} y^{-1}$	ha^{-1}	$ha^{-1} y^{-1}$	ha^{-1}	$ha^{-1} y^{-1}$	ha^{-1}	$ha^{-1} y^{-1}$
V (m^3)	356.6	25.5	503.0	45.7	356.3	23.8	489.7	40.8
W (Mg)	204.1	14.6	236.3	21.5	208.2	13.9	244.6	20.4
W_w (Mg)	33.5	2.4	52.9	4.8	34.6	2.3	53.9	4.5
W_c (Mg)	24.8	1.8	25.5	2.3	24.9	1.7	28.0	2.3
C (Mg)	101.1	7.2	148.4	13.5	104.2	6.9	151.9	12.7
E (TJ)	3.430	0.245	4.000	0.364	3.501	0.233	4.141	0.345
E_w (TJ)	0.531	0.038	0.865	0.079	0.549	0.037	0.882	0.074
UC (Mg)	83.2	5.9	110.9	10.1	85.0	5.7	108.4	9.0

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8 FIGURE CAPTIONS

9

10 Figure 1. Location of the sample plots. *Eucalyptus globulus* (triangles) and *Eucalyptus nitens*
 11 (squares).

12

13 Figure 2. Carbon accumulation in aboveground biomass and soil organic layer over time (Mg
 14 ha^{-1}) for *Eucalyptus nitens* and *Eucalyptus globulus* plantations.

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16 Figure 3. Relation between average crown biomass and number of stems per hectare (N) for
 17 *Eucalyptus nitens* and *Eucalyptus globulus* plantations: determination of self-thinning
 18 threshold.

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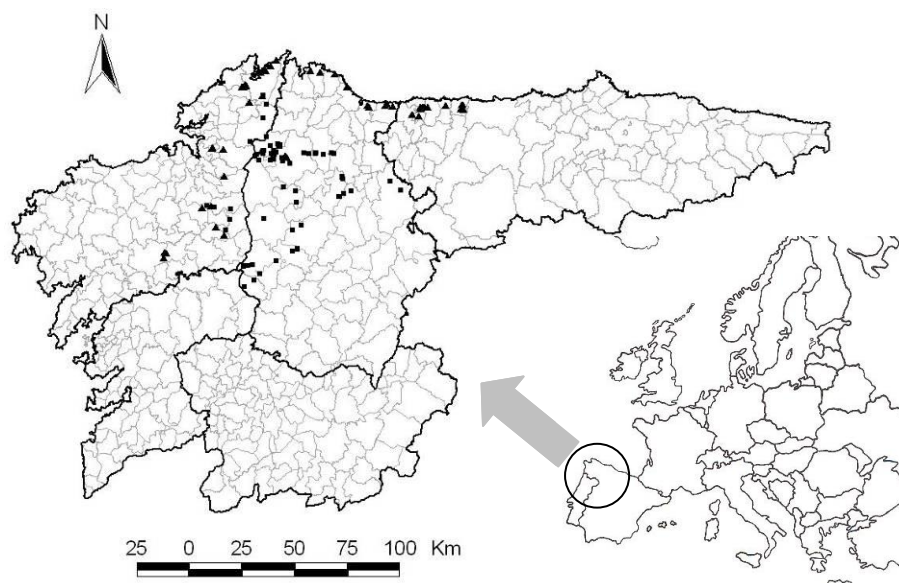
20 Figure 4. Stand Density Management Diagram for *Eucalyptus globulus* with isolines for:
 21 quadratic mean diameter, carbon in biomass and organic soil, Hart-Becking index, mortality
 22 and sample plots.

23

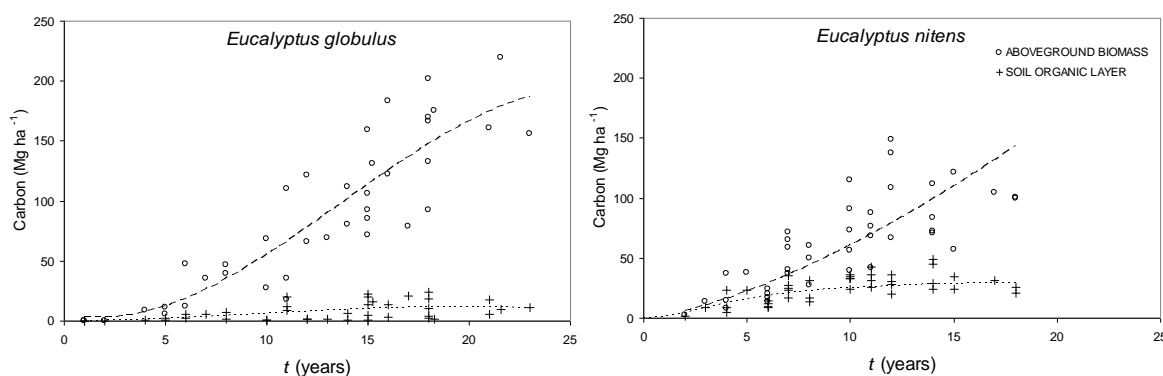
24 Figure 5. Stand Density Management Diagram for *Eucalyptus globulus* with isolines for:
 25 usable cellulose, aboveground biomass energy, harvest limits and mortality

26

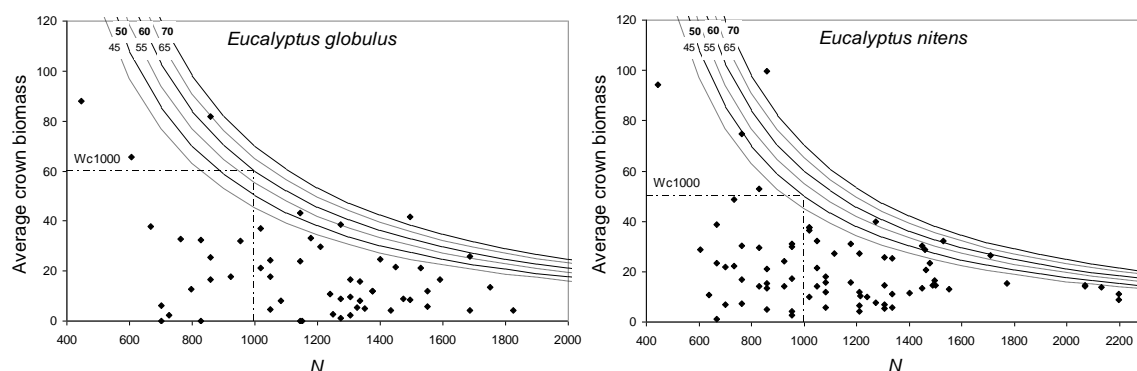
- 1 Figure 6. Stand Density Management Diagram for *Eucalyptus nitens* with isolines for:
- 2 quadratic mean diameter, carbon in biomass and organic soil, Hart-Becking index, mortality
- 3 and sample plots.
- 4
- 5 Figure 7. Stand Density Management Diagram for *Eucalyptus nitens* with isolines for: usable
- 6 cellulose, aboveground biomass energy, harvest limits and mortality.
- 7
- 8 Figure 8. Wood proportion in the stem in relation to quadratic mean diameter for both species.



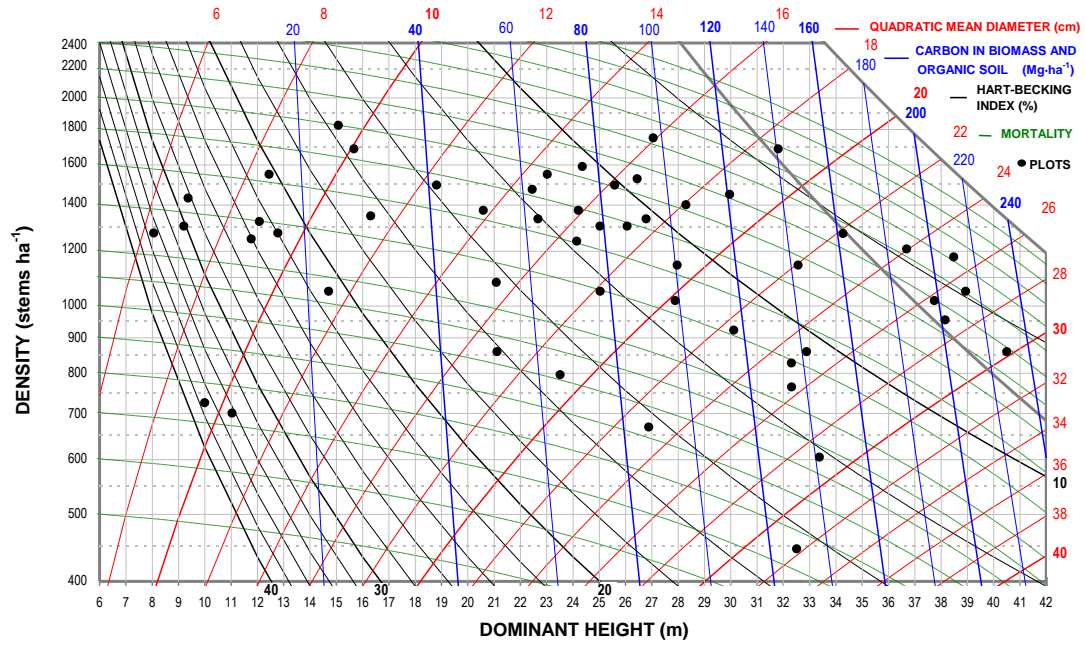
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 3



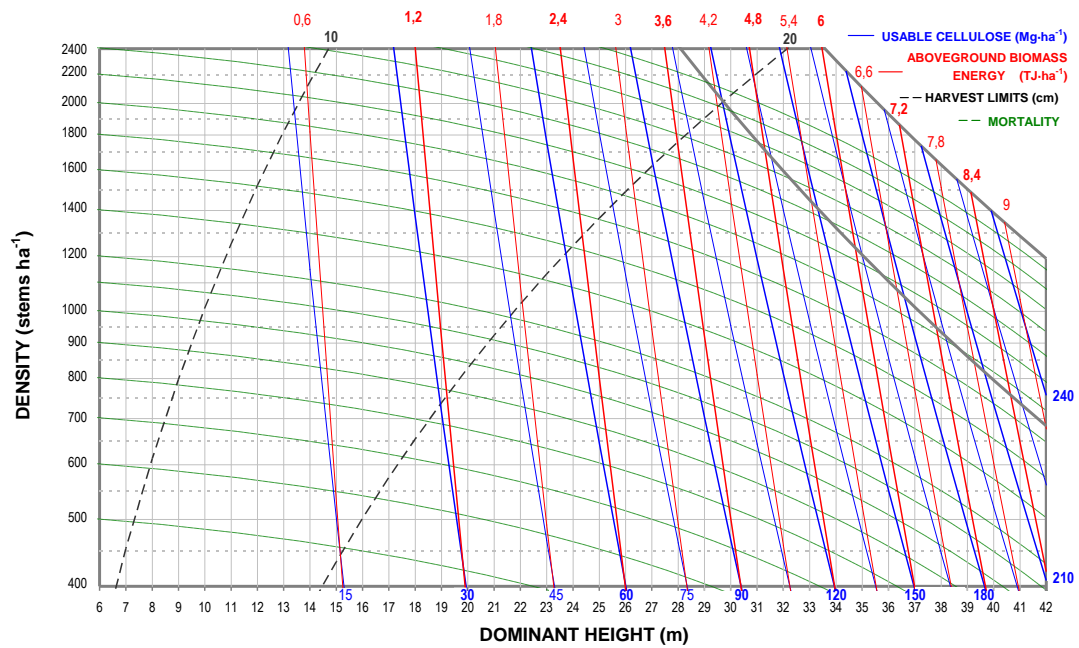
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 5
 6



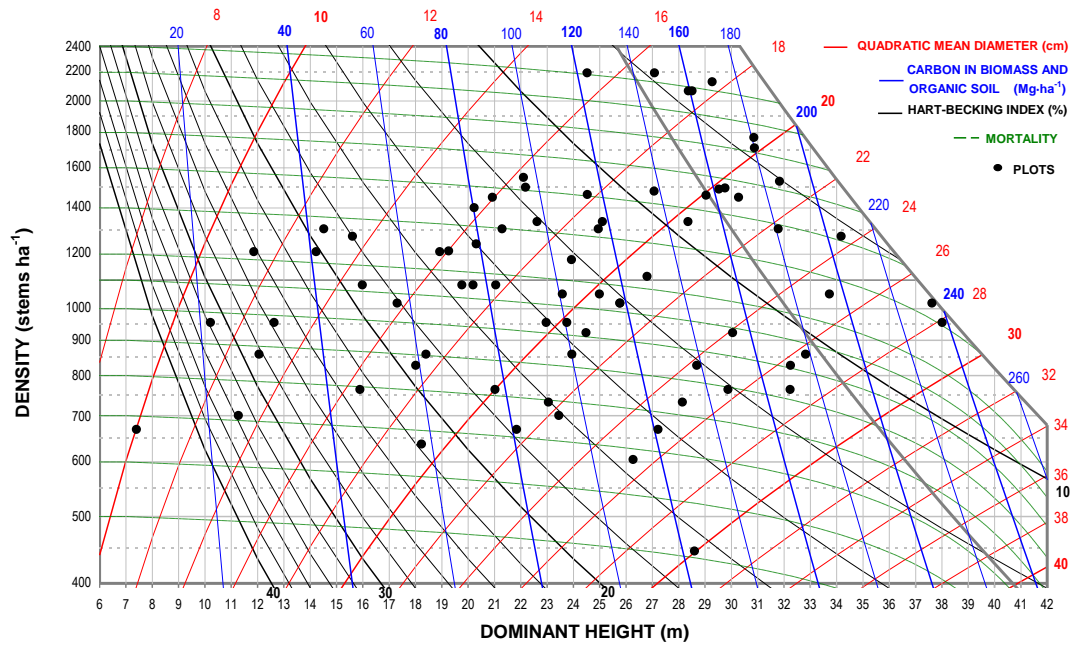
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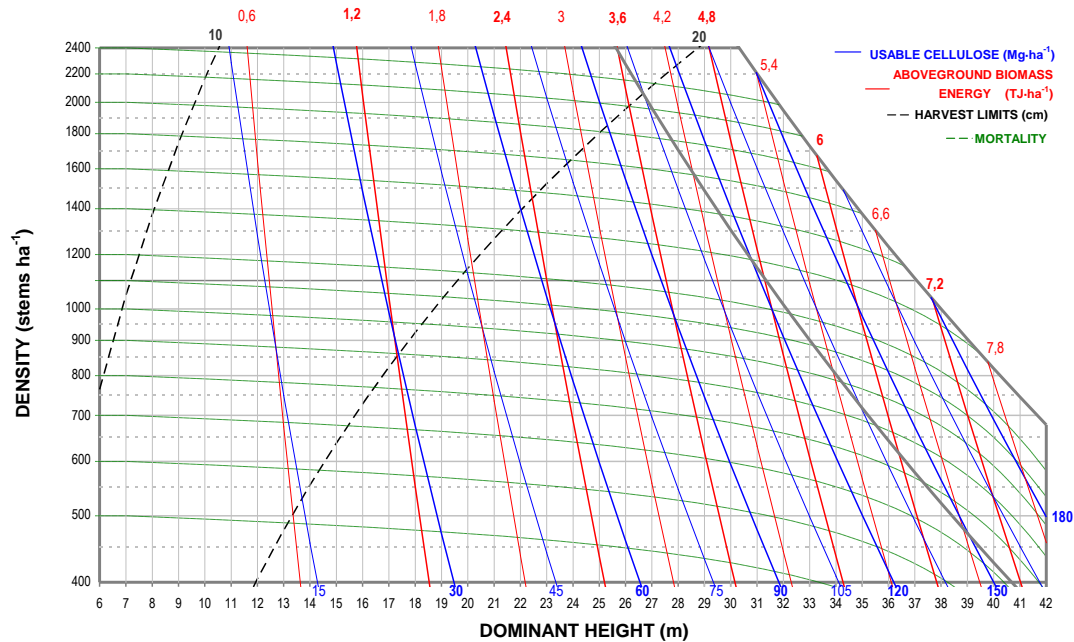
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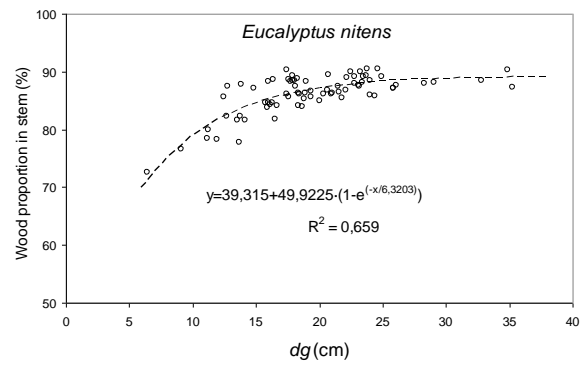
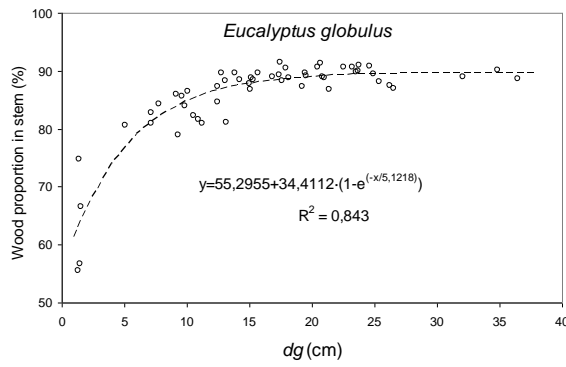
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 6 usable cellulose, aboveground biomass energy, harvest limits and mortality



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 4



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 6 cellulose, aboveground biomass energy, harvest limits and mortality.
 7



1 Figure 8. Wood proportion in the stem in relation to quadratic mean diameter for both species.
2