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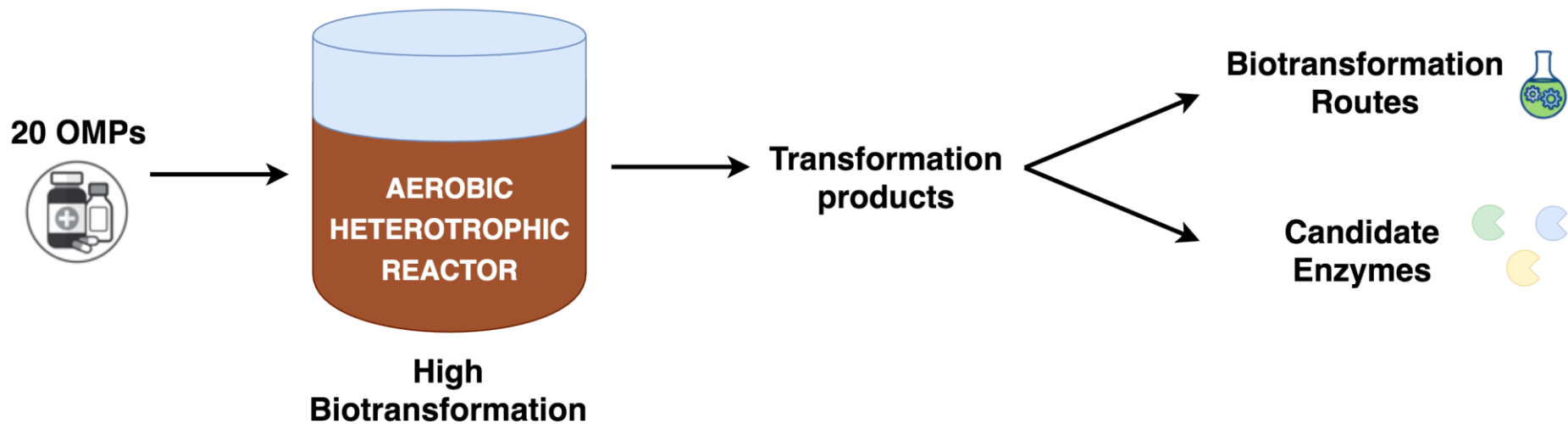
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**GRAPHICAL ABSTRACT**



## **HIGHLIGHTS**

1. Aerobic heterotrophs proved their capacity to extensively biotransform OMPs.
2. Biotransformation was similar to that of activated sludge with nitrogen removal.
3. Oxidation, hydrolysis and conjugation were identified as relevant mechanisms.
4. Oxygenases, dehydrogenases, hydrolases and transferases appear to play a key role.

# 1 Heterotrophic enzymatic biotransformations of organic 2 micropollutants in activated sludge

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12

## 13 **ABSTRACT**

14 While heterotrophic microorganisms constitute the major fraction of activated sludge  
15 biomass, the role of heterotrophs in the biotransformation of organic micropollutants  
16 (OMPs) has not been fully elucidated. Yet, such knowledge is essential, particularly  
17 when conceiving novel wastewater treatment plants based on a two-stage process  
18 including an A-stage under heterotrophic conditions and a B-stage based on anammox  
19 activity. Biotransformation of OMPs in activated sludge is thought to mostly occur  
20 cometabolically thanks to the action of low specificity enzymes involved in the  
21 metabolism of the primary substrates. For a better understanding of the process, it is  
22 important to determine such enzymatic activities and the underlying mechanisms  
23 involved in OMPs biotransformation. This task has proven to be difficult due to the lack  
24 of information about the enzymatic processes and the complexity of the biological  
25 systems present in activated sludge. In this paper, a continuous aerobic heterotrophic  
26 reactor following 20 OMPs at environmental concentrations was operated to (i) assess  
27 the potential of heterotrophs during the cometabolic biotransformation of OMPs, (ii)  
28 identify biotransformation reactions catalysed by aerobic heterotrophs and (iii) predict  
29 possible heterotrophic enzymatic activities responsible for such biotransformations.  
30 Contradicting previous reports on the dominant role of nitrifiers in OMPs removal  
31 during activated sludge treatment, the heterotrophic population proved its capacity to  
32 biotransform the OMPs to extents equivalent to reported values in nitrifying activated

33 sludge plants. Besides, 12 transformation products potentially formed through the  
34 activity of several enzymes present in heterotrophs, including monooxygenases,  
35 dioxygenases, hydrolases and transferases, were identified.

36 **Keywords:** Cometabolism; enzymes; heterotrophs; pharmaceuticals; transformation products;  
37 wastewater.

38

39 **1. INTRODUCTION**

40 Activated sludge systems are designed to reduce the biological oxygen demand and the  
41 concentration of nutrients, such as nitrogen and phosphorous, in wastewaters. However,  
42 they are also capable to remove organic micropollutants (OMPs) such as  
43 pharmaceuticals, personal care products and pesticides that reach wastewater treatment  
44 plants (WWTPs) through surface runoff and sewers (Besha et al., 2017; Fischer and  
45 Majewsky, 2014). The removal efficiency of OMPs in activated sludge systems depends  
46 on the nature of the compounds, the operational parameters, the properties of the  
47 wastewater and the characteristics of the microbial community (Achermann et al.,  
48 2018b).

49 Most studies on activated sludge systems include heterotrophic and nitrifying activities  
50 and provide extensive information on OMPs biotransformation (Lambropoulou and  
51 Nollet, 2014; Lema and Suarez, 2017). Moreover, it has repeatedly been shown that  
52 nitrifying activity enhances or is even required for substantial biotransformation of  
53 many OMPs (Fernandez-Fontaina et al., 2012; Helbling et al., 2012; Tran et al., 2009).  
54 As a consequence, it is widely assumed, and for some compounds also experimentally  
55 proven, that nitrifiers play a key role in biotransformation. Yet, there are still only a few  
56 studies that investigate the specific role the different microbial populations play  
57 (Alvarino et al., 2018; Khunjar et al., 2011; Margot et al., 2016; Men et al., 2017), and,  
58 in particular, that of the heterotrophic population. At the same time, the heterotrophic  
59 activity is gaining interest due to novel WWTPs conceptions, where the A-stage,  
60 operating with high loadings and low solid retention times (SRT), exclusively converts  
61 readily degradable organic matter, whereas the B-stage is proposed to be operated by an  
62 anammox consortium (Liu et al., 2020).

63 The main removal mechanism for many water-borne OMPs is microbial  
64 biotransformation, which is assumed to be of cometabolic nature mostly, due to the very  
65 low concentrations at which OMPs are present in wastewater, i.e., in the  $\mu\text{g}$  to  $\text{ng L}^{-1}$   
66 range (Luo et al., 2014; Petrie et al., 2014). Under these conditions, OMPs can hardly  
67 provide enough energy to support cellular growth and maintenance and are hence most  
68 likely biotransformed by enzymes involved in the biodegradation of primary substrates,  
69 which are present at considerably higher concentrations (Alvarino et al., 2018). In  
70 activated sludge systems, such enzymes are present in the metabolic network of aerobic  
71 nitrifiers and heterotrophs. In the case of nitrifiers, they use simple and well-known  
72 substrates, presenting a limited number of candidate enzymes potentially involved in  
73 OMPs biotransformation, which facilitates the development of studies trying to  
74 elucidate their role. For example, ammonia monooxygenases, present in ammonia-  
75 oxidizing bacteria (AOB) and archaea (AOA), have been recognised as key in OMPs  
76 biotransformation due to their low substrate specificity (Fernandez-Fontaina et al.,  
77 2016; Margot et al., 2016; Rasche et al., 1990; Yi and Harper, 2007), while enzymes of  
78 other nitrifying populations, such as nitrite-oxidizing bacteria, have shown a less  
79 relevant contribution to the biotransformation of OMPs (Fernandez-Fontaina et al.,  
80 2016; Yu et al., 2018). In contrast, aerobic heterotrophs can use a wide variety of  
81 organic substrates, sometimes very complex and with different physicochemical  
82 properties, which leads to a huge number of candidate enzymes that could contribute to  
83 OMPs biotransformation (Achermann et al., 2020; Fischer and Majewsky, 2014; Gulde  
84 et al., 2016; Krah et al., 2016). This complexity has resulted in very few studies trying  
85 to determine the key enzymes involved in the heterotrophic breakdown of OMPs,  
86 despite acknowledging that the heterotrophic biomass governs or enhances the  
87 cometabolic removal of many compounds, such as aminopolycarboxylic acids,

88 sulfamethoxazole, diclofenac, paracetamol and 17- $\alpha$ -ethinylestradiol (Kennes-Veiga et  
89 al., 2020; Larcher and Yargeau, 2013; Majewsky et al., 2011, 2010; Tran et al., 2009).  
90 Furthermore, it has been reported that heterotrophs and autotrophs can cooperate to  
91 remove OMPs and attenuate the presence of intermediate transformation products  
92 (TPs). For instance, it has been hypothesized that without an active fraction of  
93 heterotrophic biomass, intermediates of estrogens would accumulate in AOB cultures  
94 (Shi et al., 2004; Yi and Harper, 2007). Therefore, understanding the capabilities of the  
95 heterotrophic population is important even when both nitrifiers and heterotrophs are  
96 capable of biotransforming the same compounds because they may perform the  
97 biotransformation at different rates and/or follow pathways that lead to distinctly  
98 different TPs (Fischer and Majewsky, 2014).

99 Since OMPs are frequently not fully mineralized during cometabolic biotransformation,  
100 the assessment of the TPs is also important because they pose the potential to be as or  
101 even more toxic than their parent compounds to the ecosystem (Berkner and Thierbach,  
102 2014; Celiz et al., 2009; Gulde et al., 2016). Besides, TPs identification can be a very  
103 helpful tool to determine the reactions occurring in a specific environment and provide  
104 hints about the enzymatic activities catalysing OMPs biotransformation. For instance,  
105 following this approach, Gulde et al. (2016) demonstrated that N-acyltransferases could  
106 be involved in the biotransformation of several amine-containing OMPs in activated  
107 sludge.

108 In this study, we aim to address the contribution of heterotrophs to the  
109 biotransformation of OMPs in activated sludge processes and, particularly, their  
110 capacity to biotransform a range of OMPs. Besides, we attempt to detect several TPs as  
111 a tool to identify key OMP biotransformation reactions catalysed by aerobic  
112 heterotrophs and decipher possible enzymatic activities carrying out such

113 biotransformations. To this end, we evaluated the biotransformation of a set of OMPs  
114 with different physicochemical properties in an aerobic heterotrophic reactor and used  
115 liquid chromatography coupled to high-resolution mass spectrometry to identify TPs.

116

## 117 **2. MATERIALS AND METHODS**

### 118 **2.1. Continuous aerobic heterotrophic reactor**

119 A 5 L continuously stirred lab-scale reactor, connected to a 2 L settler, was operated at  
120 25°C. The reactor was inoculated with sludge from an activated sludge reactor of a  
121 WWTP near Santiago de Compostela (Spain) and aerated to ensure oxygen  
122 concentrations around 6-7 mg O<sub>2</sub> L<sup>-1</sup>. The feeding consisted of a synthetic mixture of  
123 sodium acetate/acetic acid (in concentrations that ensured operation at neutral pH),  
124 ammonium chloride, potassium dihydrogen phosphate, calcium chloride and  
125 magnesium sulfate (Table S1). Also, other trace nutrients were added to promote the  
126 growth of the aerobic heterotrophic microorganisms (Table S2). The hydraulic retention  
127 time (HRT) was set to 1 d and the organic loading rate (OLR) to 0.6 g chemical oxygen  
128 demand (COD) L<sup>-1</sup> d<sup>-1</sup>. To avoid nitrification, the SRT was kept below 8 days and  
129 allylthiourea (ATU) was added to the feeding at a concentration of 5 mg L<sup>-1</sup>.

130 The operation of the aerobic heterotrophic reactor was monitored measuring the total  
131 suspended solids (TSS), volatile suspended solids (VSS), total and soluble COD,  
132 ammonium (NH<sub>4</sub><sup>+</sup>), nitrate (NO<sub>3</sub><sup>-</sup>), nitrite (NO<sub>2</sub><sup>-</sup>) and oxygen concentrations, pH and  
133 temperature according to Standard Methods (2012). The measurements were performed  
134 in triplicate twice per week. Moreover, after four weeks of operation, the changes in  
135 OMPs levels and the emergence of potential TPs was determined by taking samples in  
136 triplicate from the inlet and outlet of the reactor (considering both the liquid and solid  
137 phase) for one week.

## 138 **2.2. Organic micropollutants**

139 This study focused on 20 environmentally relevant compounds commonly present in  
140 wastewater that cover a wide variety of functional groups, physicochemical properties  
141 and applications. The compounds were: the antibiotics erythromycin (ERY),  
142 roxithromycin (ROX), trimethoprim (TMP), sulfamethoxazole (SMX); the anti-  
143 inflammatories ibuprofen (IBP), naproxen (NPX), diclofenac (DCF); the neurodrugs  
144 carbamazepine (CBZ), diazepam (DZP), fluoxetine (FLX); the biocide triclosan (TCS);  
145 the musk fragrances celestolide (ADBI), galaxolide (HHCB), tonalide (AHTN); the  
146 endocrine disruptors estrone (E1), 17 $\beta$ -estradiol (E2), 17 $\alpha$ -ethinylestradiol (EE2) and  
147 the xenoestrogens bisphenol A (BPA), 4-octylphenol (OP) and 4-nonylphenol (NP).  
148 The OMPs were purchased from Sigma-Aldrich (Germany), except for the musk  
149 fragrances, which were acquired from Ventos (Spain). Depending on the substance,  
150 stock solutions were prepared in HPLC-grade acetone or methanol and stored at -20°C.  
151 They were added to the feeding at a concentration of 10  $\mu\text{g L}^{-1}$ , except for the musk  
152 fragrances and hormones, whose concentrations were 40 and 1  $\mu\text{g L}^{-1}$ , respectively.

## 153 **2.3. Chemical analysis of the OMPs**

154 Influent and effluent samples were centrifuged at 3500 rpm for 10 min. The supernatant  
155 was prefiltered (AP4004705, Millipore) and then filtered again at 0.45  $\mu\text{m}$   
156 (HAWP04700, Millipore). Then, solid phase extraction (SPE) was performed with 200  
157 mL samples and 60 mg Oasis HLB cartridges (Waters, Milford, MA, USA), as  
158 described by Fernandez-Fontaina et al. (2013). The quantification of antibiotics (ERY,  
159 ROX, SMX, TMP), neurodrugs (FLX, CBZ, DZP) and hormones (E1, E2, EE2) was  
160 performed using an Agilent G1312A liquid chromatography instrument with a binary  
161 pump and automatic injector HTC-PAL (CTC Analytics) connected to a mass  
162 spectrometer API 4000 triple quadrupole (Applied Biosystems). Musk fragrances

163 (HHCB, AHTN, ADBI), anti-inflammatories (IBP, NPX, DCF), xenoestrogens (BPA,  
164 OP, NP) and the biocide (TCS) were quantified using gas chromatography (Varian CP-  
165 3900) coupled to an ion trap spectrometer (Varian CG-2100). The quantification  
166 procedure was done according to previous studies (Alvarino et al., 2014). All OMPs  
167 analyses were performed in triplicate.

168 The solid phase was frozen and lyophilized. To quantify the OMPs sorbed onto the  
169 aerobic sludge, ultrasonic solvent extraction (USE) was performed (Ternes et al., 2005).  
170 The USE technique consisted of three sequential extractions with methanol and two  
171 with acetone applied to freeze-dried samples of approximately 0.5 g. During each  
172 extraction, the samples were sonicated for 15 min and centrifugated at 1500 rpm for 5  
173 min. Then, the supernatants were combined, filtered through glass wool, evaporated (R-  
174 205, Büchi) under vacuum conditions (150 mbar) at 35°C and diluted with distilled  
175 water. Finally, SPE and micropollutant quantification was performed as described for  
176 the liquid phase.

#### 177 **2.4. Chemical analysis of the transformation products**

178 To detect TPs, the samples were again analysed using reversed-phase liquid  
179 chromatography coupled to a high-resolution quadrupole Orbitrap mass spectrometer (Q  
180 Exactive or Q Exactive Plus, Thermo Scientific). Full-scan MS spectra were acquired  
181 both in positive and negative ionization modes and the acquisition of MS<sup>2</sup>  
182 fragmentation spectra was triggered at m/z values corresponding to masses of suspected  
183 TPs.

184 The sample was loaded onto an Atlantis T3 column (particle size 3µm, 3.0 x 150 mm,  
185 Waters) equipped with a guard column (Atlantis T3 VanGuard Cart 3 µm, 3.9x5mm).  
186 Nanopure water (Barnstead Nanopure, Thermo Scientific) and methanol (HPLC grade,  
187 Fischer Scientific), both with 0.1% formic acid (98-100%, Merck), were used as mobile

188 phase at a flow rate of 300  $\mu\text{L min}^{-1}$ . Initial conditions (95:5 water/methanol) were  
189 maintained for 1 min and then the methanol fraction increased over 16 min to 5:95  
190 water/methanol. These conditions were held for 8 min and then initial conditions were  
191 reestablished within 0.1 min and kept for 4.9 min before the next analysis started. The  
192 temperature of the column oven was set to 30°C. Mass spectra were acquired at a  
193 capillary temperature of 320°C and spray voltages of 4kV and -3kV in positive and  
194 negative ionization modes, respectively. This method was adapted from previous  
195 studies (Achermann et al., 2018b).

196 The samples were first measured in full scan mode at a resolution of 140,000 at  $m/z$  200  
197 and a scan range of 50-750  $m/z$  in positive and negative switching mode. In a second  
198 injection, data-dependent MS2 spectra were recorded at a resolution of 17500 at 200  
199  $m/z$  (isolation window of 1  $m/z$ ) using an inclusion list of masses corresponding to  
200 suspected TPs. This list was compiled using previously found TPs in the literature, the  
201 EAWAG pathway prediction system (EAWAG-BBD, 2020) and by manually applying  
202 a range of plausible atomic modifications (hydroxylation, dihydroxylation,  
203 demethylation, dehydrogenation, hydrogenation, decarboxylation, etc.).

## 204 **2.5. Transformation product identification and structure elucidation**

205 A suspect TP screening was performed using the software Compound Discoverer 3.1.  
206 (Thermo Scientific). The first part of the workflow comprised retention time (RT)  
207 alignment (maximal RT shift: 2 min; mass tolerance 5 ppm) and peak picking (mass  
208 tolerance 5 ppm, minimal intensity 10000, maximal peak width: 0.5 min). Then, a  
209 subset of features was selected based on comparison with the predefined suspect list.  
210 Transformation product candidate peaks had to fulfil the following criteria to be  
211 selected for further analysis:

- 212 1. Intensity above a certain threshold: maximum area > 25000.

- 213 2. The peaks had to have a reasonable peak shape.
- 214 3. If a chemical formula was proposed, the observed isotopic pattern had to match  
215 the theoretical one.
- 216 4. If a MS2 spectrum was available, the fragmentation prediction and the matches  
217 to the fragments of the respective parent compound were taken into account.  
218 Besides, the FISh scoring node of Compound Discoverer 3.1. was used,  
219 rejecting compounds with a score below 50.
- 220 5. The peak area of the TPs had to be at least 10 times higher in the effluent than in  
221 the influent of the reactor.

222 Structure elucidation was conducted with Compound Discoverer 3.1. software or  
223 manually based on the interpretation of the exact masses, the isotopic pattern of the MS  
224 spectra and the MS2 fragments. The outcome and confidence of the structural  
225 interpretation differed depending on the availability of structural evidence. To  
226 communicate the confidence in the structural interpretation, confidence levels were  
227 assigned as proposed by Schymanski et al. (2014): Level 5 (exact mass), Level 4  
228 (unequivocal molecular formula), Level 3 (tentative candidates), Level 2 (probable  
229 structure) and Level 1 (confirmed structure). TP evidence, i.e., observed changes in  
230 molecular formula and structure, was used to assign corresponding reaction types to the  
231 OMPs.

## 232 **2.6. Calculations of reactor performance**

233 Several indicators were calculated to characterize reactor performance, including the net  
234 activated sludge produced, the endogenous biomass decay rate, the nitrogen oxidized,  
235 the recirculation ratio and the oxygen requirements.

236 The net waste activated sludge produced was estimated assuming typical heterotrophic  
237 parameters of biomass yield ( $Y = 0.4 \text{ g}_{\text{biomass}} \text{ g}_{\text{substrate}}^{-1}$ ), endogenous decay coefficient

238 ( $k_d = 0.1 \text{ d}^{-1}$ ) and cell debris fraction ( $f_d = 0.15$ ) (Metcalf & Eddy, 2014), as shown in  
 239 Eq. 1:

$$240 \quad P_{X,VSS} = \frac{Y Q (S_0 - S)}{1 + (k_d) SRT} + \frac{(f_d) (k_d) Y Q (S_0 - S) SRT}{1 + (k_d) SRT} \quad (1)$$

241 where:

242  $S_0$  influent COD concentration ( $\text{g L}^{-1}$ )

243  $S$  effluent COD concentration ( $\text{g L}^{-1}$ )

244 The endogenous decay rate of biomass in the reactor was also estimated, as indicated in  
 245 Eq. 2:

$$246 \quad rd = -k_d \cdot X_{VSS} \quad (2)$$

247 with:

248  $X_{VSS}$  biomass concentration in the bioreactor ( $\text{g L}^{-1}$ )

249 Moreover, as shown below (Eq. 3), the oxidized nitrogen was calculated:

$$250 \quad N_{ox} = TKN_0 - N - \frac{0.12 P_{X,VSS}}{Q} \quad (3)$$

251 with:

252  $N_{ox}$  nitrogen oxidized ( $\text{mg L}^{-1}$ )

253  $TKN_0$  influent total Kjeldahl nitrogen ( $\text{mg L}^{-1}$ )

254  $N$  effluent  $\text{N-NH}_4^+$  concentration ( $\text{mg L}^{-1}$ )

255  $P_{X,VSS}$  biomass as VSS wasted ( $\text{mg d}^{-1}$ )

256  $Q$  influent flow ( $\text{L d}^{-1}$ )

257 To maintain a constant biomass concentration in the reactor, purge was performed daily.

258 Besides, biomass recirculation was performed in a ratio close to 1, typical for activated

259 sludge systems (Metcalf & Eddy, 2014), as indicated in Eq. 4:

$$260 \quad R = \frac{1 - \left(\frac{HRT}{SRT}\right)}{\left(\frac{X_R}{X_{VSS}}\right)^{-1} - 1} \quad (4)$$

261 with:

262  $X_R$  biomass concentration in the settler ( $\text{g L}^{-1}$ )

263 To ensure aerobic conditions, the dissolved oxygen (DO) concentration was controlled.  
264 Experimental values over  $5 \text{ mg O}_2 \text{ L}^{-1}$  were always maintained in the reactor, following  
265 the guidelines of Metcalf and Eddy (2014) for aerobic biological systems during  
266 wastewater treatment. The oxygen provided was sufficient to fully oxidize the influent  
267 COD (Eq. 5).

$$268 \quad R_0 = Q(S - S_0) - 1.42P_{X,VSS} = 1.8 \text{ g O}_2 \text{ d}^{-1} \quad (5)$$

269

270

## 271 **3. RESULTS AND DISCUSSION**

### 272 **3.1 Reactor performance**

273 The reactor was operated for 28 days and steady-state conditions were achieved after  
274 one week (Table S3). During experimentation, neutral pH was maintained to maximize  
275 the activity of the microorganisms as well as to avoid any effects of varying pH levels  
276 on OMPs removal. Although frequently receiving little attention, pH is a key parameter  
277 affecting OMPs biotransformation. Its increase by just one unit pH can considerably  
278 promote the removal of OMPs with cationic-neutral specification and hinder that of  
279 compounds with neutral-anionic speciation, as shown by Gulde et al. (2014).

280 Biomass concentration remained quite stable at  $1.4 \text{ g L}^{-1}$  by controlling the purge (Fig.  
281 1), performed almost daily from the settler, and the control of the recirculation, set at a  
282 ratio of 100% of the influent flow (Eq. 4). The source of organic matter was acetate,  
283 which was fully consumed (95-100%), leading to effluent COD concentrations  
284 consistently below  $0.03 \text{ g L}^{-1}$  (Fig. 1). Besides, operation occurred in the absence of  
285 nitrification (Fig. 1), indicating that all the nitrogen consumed was used only for

286 microbial growth. In the non-consumed nitrogen, some soluble non-degradable organic  
287 nitrogen from endogenous respiration may have been present.

288 The net waste activated sludge produced was estimated at  $0.15 \text{ g VSS L}^{-1}$  (Eq. 1),  
289 including the active heterotrophic biomass formed daily and the cell debris, which  
290 accounts for 10-20% of the decayed biomass and cannot be degraded due to their  
291 extremely low hydrolysis kinetics (Liu and Wang, 2015). Moreover, there was also an  
292 endogenous decay rate of biomass in the reactor, proportional to the active biomass  
293 concentration ( $X_{\text{VSS}}$ ), that accounted for  $0.14 \text{ g VSS L}^{-1} \text{ d}^{-1}$  (Eq. 2). The endogenous  
294 decay represents the cell biomass loss and includes an internal decay (cell level),  
295 involving the oxidation of stored substrates to produce energy for cell maintenance, and  
296 an external decay (community level), such as cell death or predation by higher  
297 organisms (Liu and Wang, 2015). Our reactor presented mostly aerobic heterotrophic  
298 bacterial strains, as well as higher life-forms, such as rotifers and protozoans, based on  
299 microscopic observation. The high life forms have also been shown to participate in the  
300 removal of OMPs, as reported by Gulde et al. (2018) for protozoa, which seem to be  
301 involved in the ion trapping of amine-containing compounds and the hydrolysis of  
302 select esters and phenylurea compounds.

303 **Figure 1 (A, B and C)**

### 304 **3.2 Heterotrophic OMPs biotransformation in activated sludge systems**

305 Fig. 2 shows the fate of the selected OMPs in the continuous heterotrophic reactor.  
306 Sorption appears to be minimal for most compounds (<10%), except for FLX, for which  
307 it accounts for 15-20% of the total mass balance. Results show that 17 out of the 20  
308 OMPs were highly removed (above 80%) due to heterotrophic activity and only 3  
309 compounds were slightly (TMP) or not removed at all (CBZ, DZP). These results agree

310 with the biotransformation observed in activated sludge units (Alvarino et al., 2014;  
311 Luo et al., 2014; Petrie et al., 2014), except for DCF, for which we obtain higher values  
312 than under typical activated sludge conditions (Alvarino et al., 2014; Fernandez-  
313 Fontaina et al., 2016). Nonetheless, in other biological treatments, high DCF  
314 efficiencies have also been achieved, as in a hybrid biofilm-activated sludge process  
315 (Jewell et al., 2016b) and in a nitrifying moving bed biofilm reactor (Torresi et al.,  
316 2016). In our experiments, DCF was biotransformed rather extensively, i.e., at 80%  
317 (Fig. 2), which could indicate the presence of certain heterotrophic genera capable of  
318 biotransforming it more efficiently. In this regard, Nguyen et al. (2019) showed that  
319 under exposure to a primary carbon substrate and DCF, certain genera of activated  
320 sludge bacteria can significantly increase their abundance, suggesting that they might  
321 gain a competitive advantage from its cometabolic removal.

## 322 **Figure 2**

323 To understand the variability in OMPs biotransformation reported in the literature, Fig.  
324 3 compares the biotransformation performance of our aerobic heterotrophic reactor with  
325 that reported under nitrifying conditions exclusively (systems not fed with organic  
326 carbon or that have inhibited the heterotrophic activity) and in activated sludge systems  
327 presenting both heterotrophic and nitrifying activities. Firstly, it can be observed that  
328 heterotrophs reach biotransformations similar to the values of the activated sludge  
329 plants, indicating that they can greatly contribute to the removal of the OMPs, and that,  
330 for certain compounds, there may not be the need to set up WWTPs with nitrifying  
331 activities. There is only a very limited number of studies evaluating the  
332 biotransformation of the selected OMPs with exclusively nitrifying populations. In such  
333 works, they have also reported high removals for these compounds, indicating that both  
334 nitrifiers and heterotrophs have in their metabolic networks enzymes capable of

335 biotransforming the OMPs. However, there are a few compounds that show differences  
336 in their behavior between the different conditions. While biotransformation of SMX and  
337 TCS is clearly higher under heterotrophic or activated sludge conditions, CBZ and DZP  
338 show better efficiencies with nitrifying populations. Moreover, although the average  
339 removal values of TMP seem similar under all conditions, it is a compound typically  
340 difficult to remove by aerobic heterotrophs (Fernandez-Fontaina et al., 2016), while  
341 some nitrifying populations have shown the capacity to biotransform it up to 50% or  
342 more (Batt et al., 2006; Fernandez-Fontaina et al., 2012). Furthermore, as discussed  
343 previously, heterotrophs display better biotransformation for DCF. Additionally, it is  
344 surprising to observe higher average biotransformations for some compounds under  
345 exclusively heterotrophic or nitrifying conditions than in activated sludge systems  
346 presenting both activities. A possible explanation could be due to differences in the  
347 process conditions under which the experiments were performed, such as applying  
348 different organic loading rates. However, previous studies have shown that in certain  
349 cases mixed consortia of bacteria can provide worse OMPs removals than individual  
350 populations because the composition of a mixed bacterial culture can affect their  
351 performance (Larcher and Yargeau, 2011).

352 Thus, based on Fig. 3, it can be concluded that nitrifying systems or nitrifying activated  
353 sludge systems would not be required to achieve the typical biotransformation extents  
354 of the selected OMPs since, in general, the biotransformation is similar for all microbial  
355 populations. Nonetheless, it is important to remark that the data collected from the  
356 literature was obtained from experiments performed at considerably different  
357 operational conditions, which could have affected the biotransformation extents  
358 obtained in each case and explain the high standard deviations observed for some  
359 compounds.

360 **Figure 3**

361 **3.3 Identification of enzymatic biotransformations of OMPs in aerobic**  
362 **heterotrophic conditions**

363 In total, 12 TPs were confidently identified (Table 1 and Table S4) for the 20  
364 investigated OMPs and assigned to three major types of transformation reactions  
365 (oxidation, hydrolysis and conjugation). For ADBI and FLX, 2 different TPs were  
366 observed, pointing towards sequences of transformation steps or transformations taking  
367 place at different functional groups. The structural confidence was considered *probable*  
368 (level 2) for 1 TP and *tentative* (level 3) for 9 TPs, whereas 2 TPs could only be  
369 assigned an *unequivocal molecular formula* (level 4). The confidence varied mostly  
370 depending on the availability of MS and MS2 data, literature information and the  
371 molecular structure of the TPs and respective OMPs (structural assignments for TPs  
372 containing only C, H and O atoms were generally treated with more caution).

373 **A) Oxidation:** Oxidation reactions frequently represent the initial biotransformation of  
374 xenobiotics in activated sludge systems. In our reactor, we determined several TPs that  
375 were formed through oxidative biotransformation, in the form of hydroxylation,  
376 deamination, demethylation and dehydrogenation.

377 **A.1) Hydroxylation:** Hydroxylation is commonly reported during OMPs  
378 biotransformation since it can be carried out by multiple microorganisms. For instance,  
379 AOB and AOA can hydroxylate mianserin (Men et al., 2016), the novel complete AOB  
380 (commamox), carbendazim (Han et al., 2019) and heterotrophic microorganisms, EE2  
381 (Khunjar et al., 2011). Our results show heterotrophic hydroxylation of ADBI, HHCB,  
382 E1, E2, DCF and NP (Table 1), likely catalyzed by low specificity monooxygenases,  
383 such as cytochrome P450 or flavin-containing monooxygenases, or dioxygenases, such

384 as Rieske-type non-heme-iron dioxygenases (Bjørseth and Angeletti, 1986). The most  
385 common biotransformation reaction of steroid hormones, including E1 and E2, is  
386 hydroxylation, performed by oxygenases in multiple organisms, such as bacteria, fungi  
387 or algae (Pratush et al., 2020). Fragrances can also undergo hydroxylation, as reported  
388 for HHCB, in bacteria, algae and fungi, where the initial biotransformation reaction is  
389 hydroxylation at different carbon positions (Ding et al., 2020; Martin et al., 2007). In  
390 the case of DCF, there is limited information about its biotransformation reactions in  
391 WWTPs. However, hydroxylation has been observed as the first reaction in its  
392 biotransformation pathway in activated sludge plants, being considered a bottleneck due  
393 to its low rate, which could explain the frequently limited removal yield (Bouju et al.,  
394 2016). In our reactor, DCF was highly biotransformed (Fig. 2) and the corresponding  
395 hydroxylated TP was found, possibly indicating that heterotrophs were able to perform  
396 the hydroxylation step efficiently. Differently to DCF, NP hydroxylation in WWTPs has  
397 not been reported before, to the best of our knowledge. Nonetheless, in mammals and  
398 fish, hydroxylation both in the ring and the alky chain has been observed, possibly due  
399 to the action of cytochrome P450 enzymes (Thibaut et al., 2002).

400 **A.2) Deamination:** A TP detected for FLX (Table 1) was either (i) the product of  
401 deamination at the secondary amine group followed by oxidation of the resulting  
402 aldehyde or (ii) the outcome of initial demethylation, followed by deamination of the  
403 resulting primary amine TP and final oxidation of the formed aldehyde. The second  
404 pathway seems more plausible since secondary amines typically undergo dealkylation  
405 before oxidative deamination. Nonetheless, in certain cases, direct deamination of  
406 secondary amines may occur, as in the metabolism of propranolol, where the  
407 biotransformation can occur through the desisopropyl primary amine metabolite or by a  
408 direct oxidative deamination reaction yielding an aldehyde metabolite and

409 isopropylamine (Beale and Block, 2011). Gulde et al. (2016) observed deaminations for  
410 some primary, secondary and tertiary OMPs, such as primaquine, N-  
411 demethylvenlafaxine and pyrilamine, but they did not observe FLX deamination as in  
412 this study.

413 Assuming the previous demethylation, the deamination step in FLX could be performed  
414 by an amine oxidase, catalyzing the oxidative cleavage of the primary amine to an  
415 aldehyde while releasing ammonia and hydrogen peroxide. These enzymes are found in  
416 multiple organisms controlling the level of amines, participating in multiple pathways,  
417 and allowing various amine substrates to be used as sources of carbon and nitrogen in  
418 prokaryotes (Messerschmidt, 2010). The following oxidation of the aldehyde to the  
419 carboxylic acid is likely catalyzed by an aldehyde dehydrogenase, using oxygen from a  
420 water molecule and  $\text{NAD}^+$  or  $\text{NADP}^+$  as cofactors, present in a broad range of anabolic  
421 and catabolic pathways and key in the elimination of endogenous and exogenous toxic  
422 aldehydes (Riveros-Rosas et al., 2019).

423 The hypothetical N-demethylation of the secondary amine of FLX would form  
424 seproxetine as a TP, the most important FLX active metabolite observed in humans and  
425 WWTPs. In human metabolism, the biotransformation occurs thanks to cytochrome  
426 P450 (Von Moltke et al., 1997), so likely a monooxygenase could be the responsible  
427 enzyme in the heterotrophic reactor. The conversion of FLX to seproxetine in activated  
428 sludge is very relevant since there is an enantioselectivity preference for (S)-FLX  
429 biotransformation. This event leads to (S)-seproxetine formation and (R)-FLX  
430 accumulation (unless enantiomerization processes take place), which for some  
431 microorganisms can be 10 and 30 times more toxic than (S)-FLX, respectively, and  
432 cause an overall increase in toxicity (Andrés-Costa et al., 2017).

433 **A.3) Demethylation:** Demethylations are catalyzed by demethylases from a variety of  
434 enzyme families, including monooxygenases (Robb et al., 2018) and dioxygenases  
435 (Fedele et al., 2015). N-demethylation is the most common reaction, performed by  
436 oxidative demethylases exploiting the weak C-H bonds adjacent to amines and acting  
437 on the N-methyl groups. During the process, an oxygen atom is inserted to the C-H  
438 bond and then a spontaneous decomposition of the hydroxylated intermediate occurs,  
439 forming formaldehyde and a demethylated product. O-demethylation reactions can also  
440 occur, particularly during ether cleavage. N-demethylation of OMPs has been reported  
441 for several amines, such as pargyline, and O-demethylation has been observed in  
442 anaerobic conditions for venlafaxine (Falås et al., 2016) and in activated sludge for  
443 TMP, likely due to the action of monooxygenases (Jewell et al., 2016a; Krah et al.,  
444 2016).

445 Besides the possible demethylation of FLX to seproxetine previously mentioned, N-  
446 demethylation of the tertiary amine of DZP was detected (Table 1), leading to the  
447 formation of nordazepam, a very active metabolite with a long half-life that has been  
448 found in humans (Kosjek et al., 2012). In human metabolism, the enzyme carrying out  
449 the biotransformation is a cytochrome P450 (Luk et al., 2014), thus, it is likely that in  
450 our bioreactor a monooxygenase was also the responsible enzyme.

451 **A.4) Dehydrogenation:** Besides hydroxylation, further oxidations were seen for ADBI  
452 and NP (Table 1). They could have been catalyzed by alcohol dehydrogenases or  
453 oxidases (depending on the electron acceptor), capable to form the carboxylic acid  
454 moiety (Phale et al., 2019). These enzymes are present in many organisms, including  
455 bacteria, and play a crucial role in many metabolic pathways, as in the reversible  
456 reaction where acetaldehyde is converted to ethanol by alcohol dehydrogenase, a key  
457 step to regenerate the cofactors required for glycolysis.

458 **B) Hydrolysis:** Hydrolysis reactions are carried out by hydrolases, previously observed  
459 in activated sludge, as during the biotransformation of atenolol by bacterial  
460 amidohydrolases and azoxystrobin by protozoan hydrolases (Achermann et al., 2018b).  
461 The reported hydrolases in literature belong to several catalytic classes, including  
462 amidases, esterases, phosphatases and peptidases, among others (Krah et al., 2016).  
463 Here, we report for TMP a hydrolytic displacement of a primary amine moiety (Table  
464 1), a novelty compared to reported TMP biotransformations, involving mostly  
465 demethylation and oxidation reactions (Jewell et al., 2016a). It is hypothesized that the  
466 TP formed through hydrolysis of the aminopyrimidine group of TMP (Table 1) could be  
467 obtained thanks to aminopyrimidine aminohydrolase (EC 3.5.99.-), involved in the  
468 metabolism of thiamine, a vitamin required by organisms from many life kingdoms of  
469 life, including bacteria (Lemmer and Nitschke, 1994). Hydrolysis of amine moieties in  
470 OMPs is rather rare since they more often undergo N-oxidation,  $\alpha$ -C-hydroxylation and  
471 conjugation reactions (Gulde et al., 2016). However, this type of biotransformation has  
472 been observed before, as in reactions involving chorismic acid (Ganem, 1995), a  
473 metabolite present in the shikimic acid pathway, followed by bacteria and other  
474 organisms for the biosynthesis of folates and aromatic amino acids.

475 **C) Conjugation:** Conjugation reactions are anabolic and catalyzed by transferases  
476 thanks to the presence of a functional group in the substrate that serves as the anchoring  
477 site for a molecule or moiety. Since these types of reactions can occur both with  
478 exogenous and endogenous substrates, they play a key role in the metabolism of  
479 xenobiotics (Testa and Krämer, 2008).

480 We observed a conjugation reaction at the amine group of SMX (Table 1), leading to a  
481 TP found by Achermann et al. (2018a) in the effluent of several WWTPs. The  
482 biotransformation pathway consists of a pterin conjugation, an oxidation and a final

483 hydrolysis reaction. The enzymes involved could belong to the folic acid pathway, such  
484 as pterin deaminase and dihydropteroate synthase. If the folic acid synthesis is the  
485 responsible pathway, the biotransformation would be linked to bacterial growth and  
486 maintenance, which could explain the generally good biotransformation of SMX  
487 observed in various environments, including anaerobic, nitrifying and heterotrophic  
488 (Achermann et al., 2018a).

489 Besides, a FLX TP was also formed by conjugation at the amine moiety (Table 1),  
490 following an N-acylation reaction (N-succinylation), suggesting N-acyltransferases as  
491 the responsible enzymes (Gulde et al., 2016). N-acylation reactions are important in  
492 microbial xenobiotic metabolism, with N-succinylation being particularly involved in  
493 the biotransformation of primary and secondary amines to secondary and tertiary  
494 amines, respectively.

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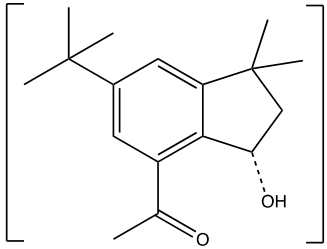
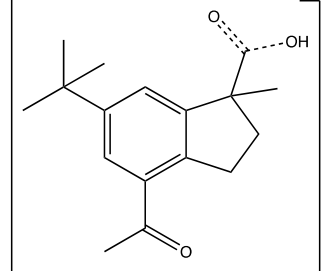
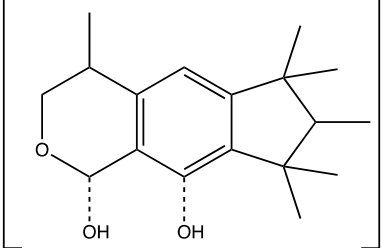
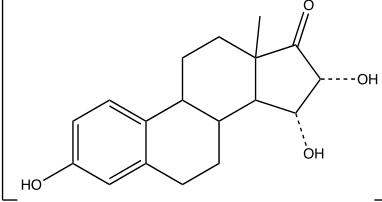
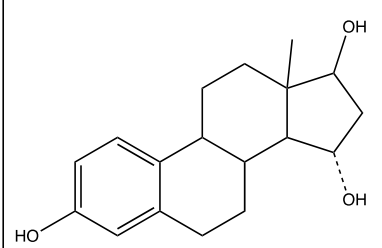
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508 **Table 1.** Summary of the suggested TPs structures found in the aerobic heterotrophic  
 509 reactor, including the confidence level, atom changes relative to the parent OMPs and  
 510 biotransformation reactions and enzymes likely involved in the process. The TP  
 511 structures placed in brackets are only suggestions for possible structures. The functional  
 512 groups bonded with dotted lines could be placed somewhere else in the molecule.

OMPs	Transformation product	Level	Atom change	Reaction	Candidate enzymes
ADBI		3	+ O	Hydroxylation	EC 1.14.- EC 1.13.-
ADBI		3	+ 2O - 2H	Hydroxylation Additional oxidation	EC 1.14.- EC 1.13.- EC 1.1.-
HHCB		4	+ 2O	Hydroxylation	EC 1.14.- EC 1.13.-
E1		4	+ 2O	Hydroxylation	EC 1.14.- EC 1.13.-
E2		3	+ O	Hydroxylation	EC 1.14.- EC 1.13.-

DCF			3	+ O	Hydroxylation	EC 1.14.- EC 1.13.-
NP			3	+ 3O - 2H	Hydroxylation Additional oxidation	EC 1.14.- EC 1.13.- EC 1.1.-
TMP			3	+ O - N, H	Hydrolysis	EC 3.5.99.-
DZP			3	- C, 2H	Demethylation	EC 1.14.-
FLX			3	+ 2O - C, N, 5H	Demethylation Deamination Oxidation	EC 1.14.- EC 1.4.- EC 1.2.1.-
FLX			2	+ 4C, 3O, 4H	Conjugation	EC 2.3.-
SMX			3	+ 7C, 4N, 2O, 4H	Conjugation	EC 2.5.- EC 3.5.4.11

#### 514 **4. CONCLUSIONS**

515 This study highlights the relevant role of aerobic heterotrophs in the cometabolic  
516 biotransformation of OMPs, speaking to their capacity to contribute to the overall  
517 removal of OMPs in activated sludge plants and questioning the requirement of  
518 maintaining a nitrifying activity. In fact, this study shows that heterotrophs are able to  
519 achieve similar extents of biotransformation for the selected OMPs as those reported in  
520 several literature studies with activated sludge (with nitrifying, denitrifying and  
521 heterotrophic activities) and purely nitrifying systems. Based on the TPs produced, it  
522 was possible to identify the main reactions involved in OMPs biotransformation under  
523 aerobic heterotrophic conditions as follows: oxidation (hydroxylation, dehydrogenation,  
524 deamination and demethylation), hydrolysis and conjugation routes. An overall analysis  
525 of all results allows selecting mono- and dioxygenases, dehydrogenases, hydrolases and  
526 transferases as some of the main enzymatic activities likely responsible for OMPs  
527 biotransformation under heterotrophic conditions. Overall, this work highlights the  
528 relevant contribution of heterotrophs to OMPs removal, which are gaining importance  
529 in the conception of new WWTPs, and deepens the knowledge on their  
530 biotransformation mechanisms.

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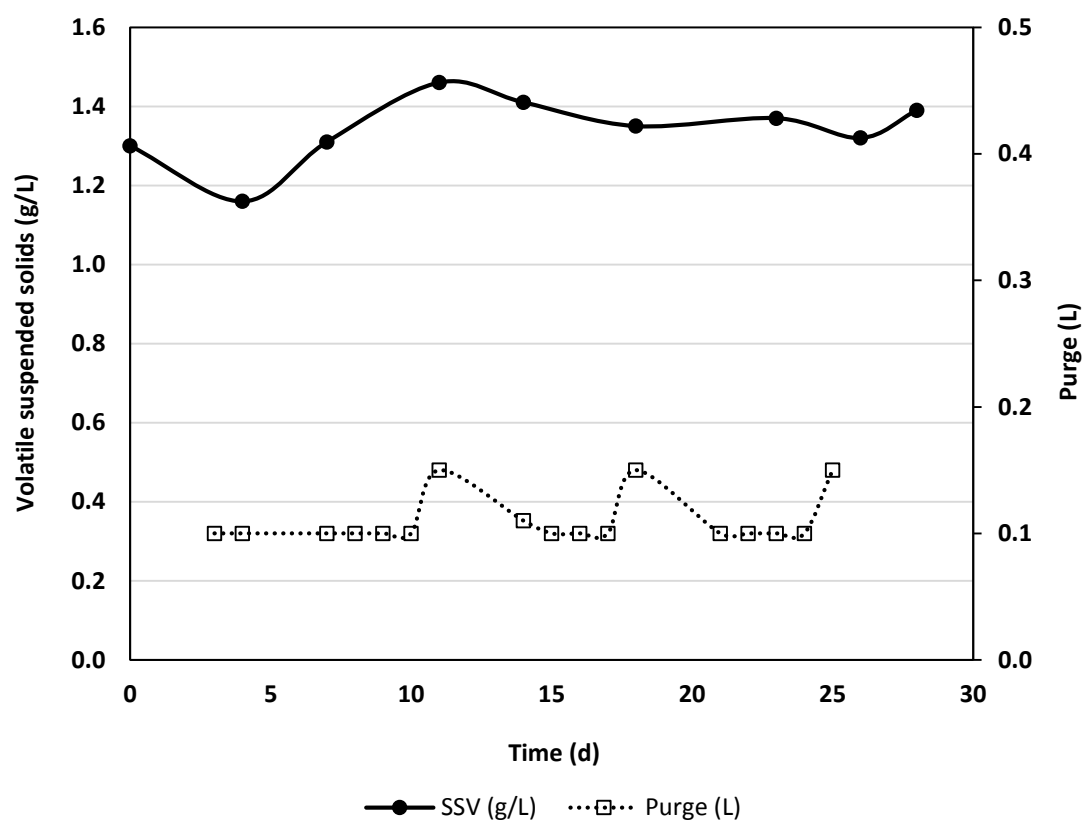
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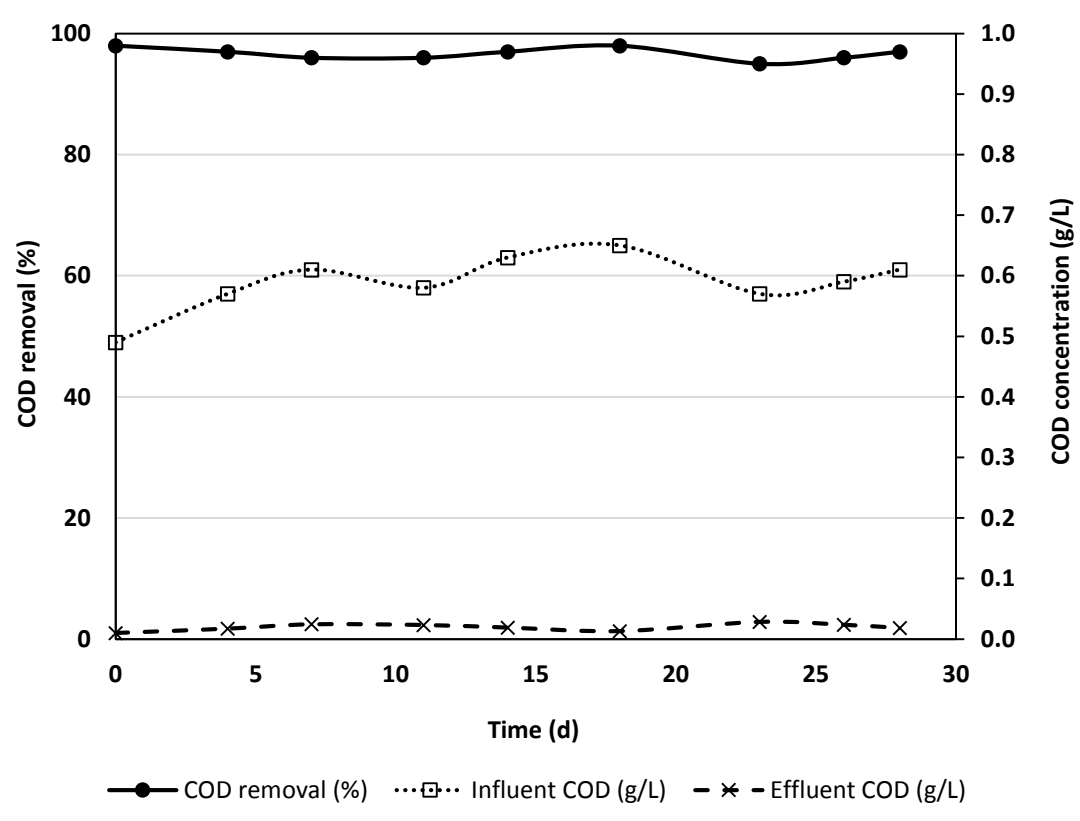
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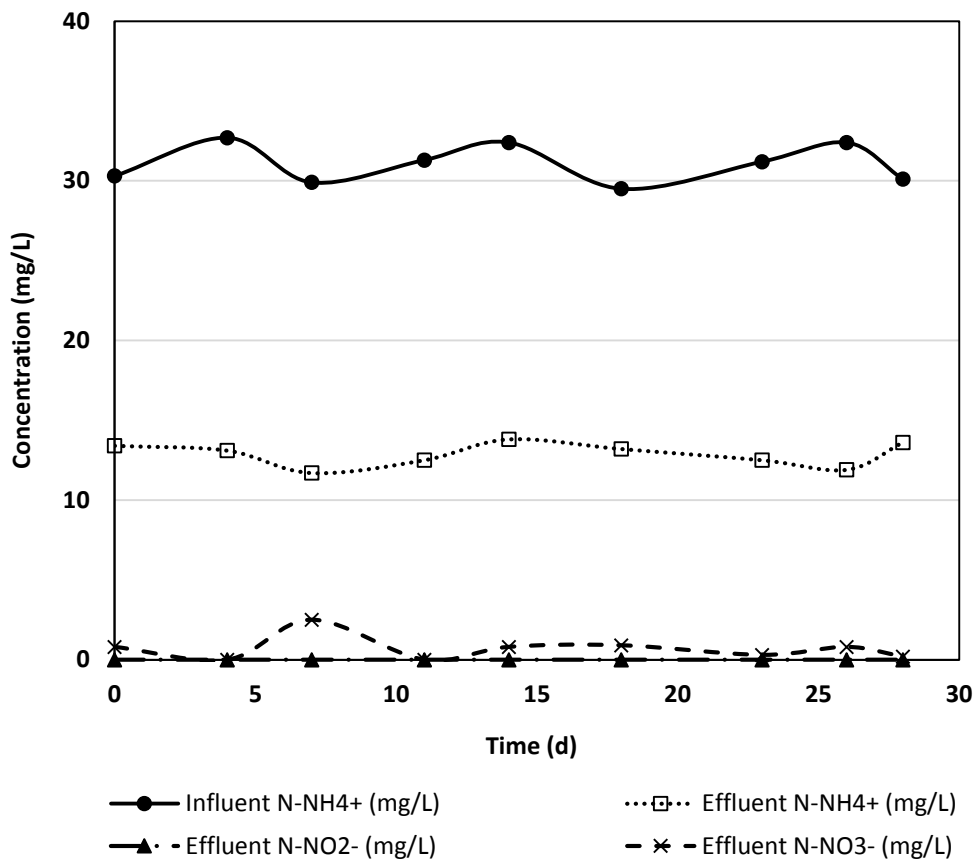
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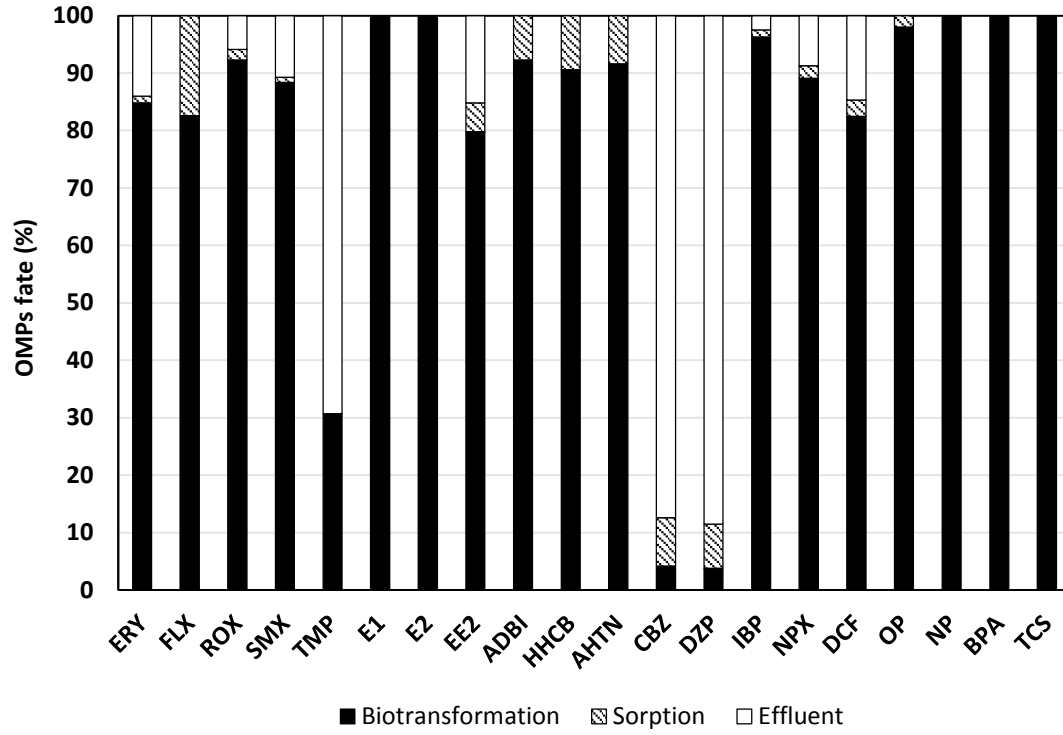
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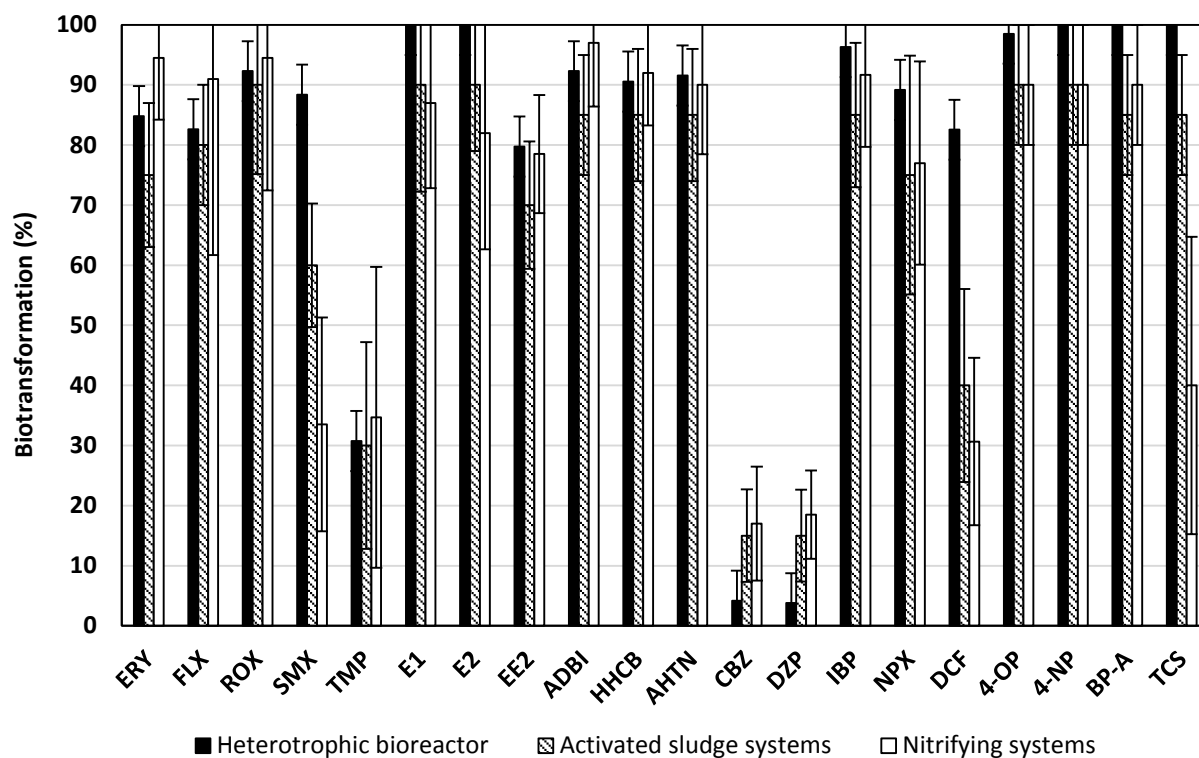
C)



**Fig. 1.** Operational parameters obtained in the continuous aerobic heterotrophic reactor. A) VSS concentration and purge performed. B) COD removal along with COD influent and effluent concentrations. C) Influent N-NH<sub>4</sub><sup>+</sup> and effluent N-NH<sub>4</sub><sup>+</sup>, N-NO<sub>3</sub><sup>-</sup> and N-NO<sub>2</sub><sup>-</sup> concentrations.



**Fig. 2.** Fate of the OMPs in the continuous aerobic heterotrophic reactor operated with fixed HRT (1 d) and OLR ( $0.6 \text{ g COD L}^{-1} \text{ d}^{-1}$ ).



**Fig. 3.** OMPs biotransformation comparison between our heterotrophic reactor and the average literature-reported values in nitrifying systems and activated sludge systems with heterotrophic and nitrifying activities. The data was obtained from multiple studies (Alvarino et al., 2018a, 2018b; Batt et al., 2006; Fernandez-Fontaina et al., 2016, 2013, 2012; Gardner et al., 2013; Kim et al., 2007; Lee et al., 2015; Luo et al., 2014; Margot et al., 2015; Petrie et al., 2014; Suarez et al., 2010; Tran et al., 2018, 2009; Wang et al., 2020; Yi and Harper, 2007).