

## Multi-product strategy to enhance the environmental profile of the canning industry towards circular economy

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27 **Keywords**

28 Life Cycle Assessment; Canned tuna; Value chain; Valorisation; By-products

29

## 30 **1. Introduction**

31 As the world's population has been expanded, the demand for food and energy has seen a rapid  
32 increase. In fact, all projections indicate that at least a 70% increase in food production will be  
33 needed to meet food demand by 2050 (FAO, 2012), which is expected based on increased yields  
34 and productivity of crops, livestock and fisheries. Food production is recognized as a major  
35 contributor to environmental impacts in both developed and developing countries (Nemecek et  
36 al., 2016), amounting to around 13.7 billion metric tons of CO<sub>2</sub> eq (Poore and Nemecek, 2018),  
37 which represent 26% of global anthropogenic greenhouse gas (GHG) emissions (Parker et al.,  
38 2018). Delving deeper into the key drivers of this high environmental impact, in addition to the  
39 intrinsic impacts of food production itself, other "avoidable" impacts play a major role, such as  
40 the environmental burdens related to food packaging and distribution worldwide (Yokokawa et  
41 al., 2018). In a global market, the consumption of some products presents a large impact when  
42 considering the entire production chain from a life-cycle perspective (cradle-to-plate approach).

43 In particular, focusing on the fisheries sector, the situation in the oceans is agonizing, with fish  
44 stocks being decimated over the years all over the world (Wilson et al., 2020). The state of the  
45 oceans is becoming extremely worrying over time. In 2017, the maximum peak of overfished  
46 marine stocks (34.2%) and a minimum of underfished stocks (6.2%) was reached, according to  
47 the results published in FAO (2020). In parallel to the increasing rise of overfishing, aquaculture  
48 continues to grow steadily, to the point that today fish produced in aquaculture facilities account  
49 for 46% of total fish production (FAO, 2020). At this point, an intense debate has started to emerge  
50 regarding the long-term sustainability of wild fisheries or whether aquaculture should be chosen  
51 as the main fish source (Ruiz-Salmón et al., 2021). The valorisation of waste and discard fractions  
52 for the production of fishmeal and fish oil to be used for the formulation of feed for farmed fish  
53 also needs to be considered (Fréon et al., 2014c). There is growing evidence that the approach to  
54 utilize such fractions: fish bones, viscera, heads and other less desirable parts as raw material for  
55 the production of value-added products such as omega-3 acids and collagen, although these  
56 alternatives are at a less developed stage for industrial implementation (Laso et al., 2018a).

57 In Spain, a country that has traditionally been an important fishing nation from the point of view  
58 of catching, processing and consumption (Vázquez-Rowe et al., 2014), 922,564 tons of fish and  
59 seafood were landed in 2018, making Spain the first country in the European Union, both in terms  
60 of volume and value, with almost 2,150 million euros (European Commission, 2020a). Spain has  
61 also developed an important seafood processing sector, especially smoked, processed and, above  
62 all, canned seafood. Domestic canned tuna production leads EU production, accounting for  
63 approximately 70% of the total volume (García-del-Hoyo et al., 2017). Specifically, the volume  
64 of canned seafood production by Spanish companies reached 353,000 tons in 2018, being Galicia  
65 (NW Spain) the leading region at national level, accounting for more than 85% of Spanish  
66 production (EUMOFA, 2019).

67 Galicia's canning tradition means that it is home to 7 of the 10 largest companies on the Spanish  
68 canning sector, including the Top-5 (Ardán, 2018). Moreover, the presence of small and medium-  
69 sized enterprises (SMEs) is predominant in the Galician canning sector, with a high percentage  
70 of small companies (<50 employees), which represent 66% of the total, even highlighting that  
71 22% of the total number of companies are very small with less than 10 employees (Ardán, 2018).

72 Numerous initiatives and projects for the development of circular economy strategies are already  
73 underway in different companies. However, due to the aforementioned characteristics of the  
74 Galician canning industry, it is difficult for these initiatives to permeate the market and in the  
75 present context the Galician canning sector must face the challenge of the current paradigm shift  
76 from a linear economy to a circular economy in which the main objective of companies must be  
77 to maximize production through the valorisation of waste (Ciccullo et al., 2021). In this sense,  
78 among the actions to be developed to achieve a complete integration of the circular economy  
79 within the canning sector, the following stand out: (i) the use of processing techniques with a low  
80 environmental impact; (ii) the reduction of the packaging residues; (iii) the valorisation of  
81 wastewater flows; and (iv) the accomplishment of the objective of zero biological waste,  
82 valorising all biowaste fractions to produce new value-added products. With this in mind, the  
83 canning industry in general, and the Galician canning industry in particular, has enormous room

84 for improvement, since a large part of the fish is directly discarded (heads, viscera, bones, etc.),  
85 which can open the door to the development of new products (García-Santiago et al., 2020).

86 Circular economy emerges as an opposite solution to the current linear system as a sustainable  
87 system where economic growth is decoupled from resources use, through the reduction in the  
88 consumption and the recirculation of raw materials (Korhonen et al., 2018). On the main points  
89 of the circular economy is the reduction of waste generated throughout the value chain, valorising  
90 them as raw materials for the generation of added-value products. However, increasing circularity  
91 does not necessarily translate into a direct reduction of environmental impacts (Niero and Kalbar,  
92 2019), which creates a dilemma for decision-makers when selecting adequate circular practices  
93 and innovations (Rufí-Salís et al., 2021). The impacts or benefits generated by these circular  
94 strategies are often measured through the use of circularity metrics (Corona et al., 2019). Life  
95 Cycle Assessment (LCA) methodology, as it is based on the quantification of the inputs and  
96 outputs of a system, becomes a good example of a circularity assessment tool to quantify and  
97 evaluate the benefits or impacts of circular economy strategies. The application of LCA  
98 methodology to determine the environmental impacts of fish catches, farming (aquaculture), and  
99 processing started in the mid-2000s. A long list of LCA seafood studies on diverse pelagic species  
100 such as horse mackerel (Vázquez-Rowe et al., 2010), Peruvian and Cantabrian anchovy (Fréon et  
101 al., 2014b; Laso et al., 2018b), carp (Hornborg and Främberg, 2020) or Atlantic mackerel (Ramos  
102 et al., 2011) have been reported. Demersal species such as hake (Avadí et al., 2018; Vázquez-  
103 Rowe et al., 2011b), cod (Svanes et al., 2011; Ziegler et al., 2013) or octopus (Vázquez-Rowe et  
104 al., 2012b), crustacean species such as prawns (Farmery et al., 2015; Medeiros et al., 2017),  
105 lobster (Driscoll et al., 2015) or goose barnacle (Vázquez-Rowe et al., 2013a), and bivalve species  
106 such as Atlantic scallop (Cortés et al., 2021) have been reported. Regarding aquaculture, different  
107 studies on mussels (Iribarren et al., 2010b, 2010c; Lourguioui et al., 2017; Tamburini et al., 2020),  
108 oysters (Tamburini et al., 2019), turbot (Iribarren et al., 2012) or salmon farming (Phillis et al.,  
109 2021) can be highlighted. It is also important to mention that traditionally not only fishing or  
110 farming activities have been evaluated, but also the production of different fish- and seafood-

111 based products such as fish sticks (Vázquez-Rowe et al., 2013b), fishmeal and fish oil (Fréon et  
112 al., 2017) and canned products (Almeida et al., 2015; Avadí et al., 2015, 2014; Iribarren et al.,  
113 2010a; Laso et al., 2017; Vázquez-Rowe et al., 2014). Review articles on fishing (Avadí and  
114 Fréon, 2013), aquaculture (Bohnes et al., 2019; Bohnes and Laurent, 2019; Philis et al., 2019) and  
115 processing (Ruiz-Salmón et al., 2021; Vázquez-Rowe et al., 2012a) stages have also been  
116 evaluated.

117 This study proposes the environmental evaluation of the skipjack tuna (*Katsuwonus pelamis*)  
118 value chain within a canning industry located in Galicia through the LCA methodology. The  
119 production line focuses on gourmet products, with high added value, basing its production on  
120 local raw materials, with traditional manufacturing methods and using, as far as possible, certified  
121 organic ingredients. Thus, the processing plant meets some of the circular economy principles: (i)  
122 the fish is caught with traditional techniques in national fishing grounds; (ii) traditional techniques  
123 such as cooking in seawater and air-drying are followed; (iii) the primary packaging is made of  
124 aluminium, so it is 100% recyclable; (iv) high quality by-products (non-canned edible parts) are  
125 used to produce other products; (v) the low-quality by-products are valorised in the form of  
126 fishmeal that could be used for animal feed. In this way, the canning plant minimises the  
127 consumption of raw materials, minimises transport and follows a multi-product strategy. The  
128 main objectives of the study are to determine the environmental viability of this approach and to  
129 lay the foundations for the way forward for other companies to position themselves in a highly  
130 competitive market. The main novelty of this study lies in the fact that the LCA methodology has  
131 been used to analyse the environmental impacts of the entire canned tuna value chain, and not  
132 only those impacts assigned to the production of the main product. The inclusion of the  
133 valorisation processes of residual organic fractions within the system boundaries makes it possible  
134 to analyse the product from a broader point of view and opens the door to the identification and  
135 evaluation of opportunities for environmental improvement.

## 136 **2. Materials and methods**

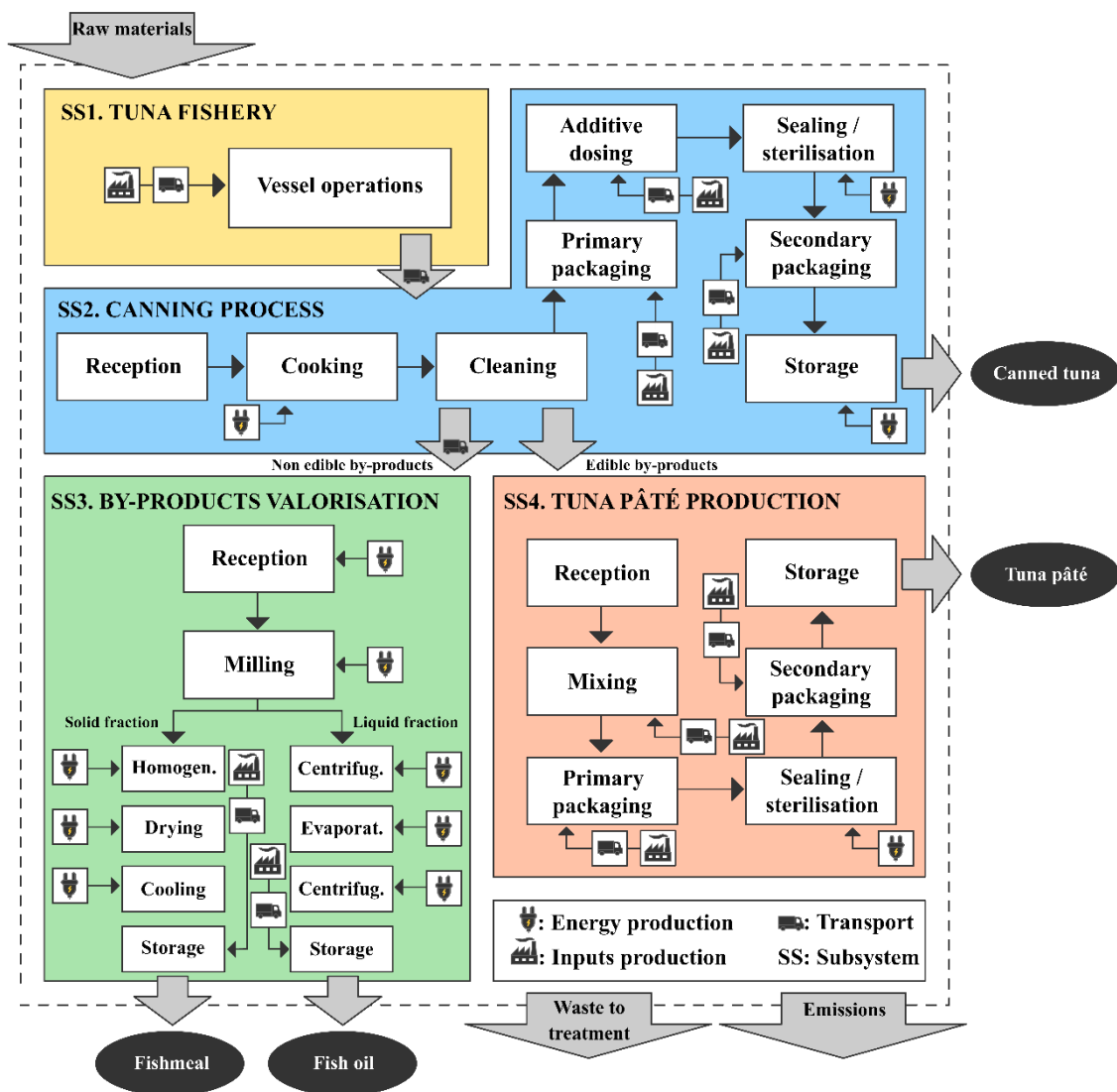
### 137 *2.1. Defining the goal and scope. Impact assessment methodology.*

138 Moving towards a veritable circular economy requires taking small steps to demonstrate the  
139 viability of multi-product processes from an environmental point of view. In this sense, this study  
140 aims to assess the environmental sustainability of the entire canned tuna value chain following  
141 the Life Cycle Assessment methodology (ISO 14040; 14044) from a attributional perspective.  
142 Although the main product is canned tuna, all stages of the value chain were included within the  
143 system boundaries, including the manufacture of by-products and the valorisation of organic  
144 waste. Thus, the main objective of this study is to determine from an environmental point of view  
145 whether the production of multiple value-added products is more sustainable than single-product  
146 approaches.

147 A cradle-to-gate approach was considered in the study, that is, considering the extraction of raw  
148 materials to produce the required inputs and the manufacture of the products, but not the  
149 consumption and final disposal stages. This perspective was assumed since the main objective of  
150 the study is to recognize the environmental implications of the production of tuna-based products.  
151 The main raw material is skipjack tuna, so fishing and transport to the canning plant, as well as  
152 the production and transport of other ingredients and packaging materials, were included in the  
153 system boundaries. The Functional Unit (FU) considered for assessment was 1 tonne of raw tuna  
154 at processing plant gate since it seems consistent to select a feedstock-based FU as the plant is  
155 characterized by its multi-product nature. The software SimaPro 9.0 (PRe-Consultants, 2017) was  
156 used for the computational implementation of the inventories. The life cycle impact assessment  
157 step was carried out using the ReCiPe 2016 v1.1 methodology in a hierarchist perspective at  
158 midpoint level (Huijbregts et al., 2017). The environmental burdens were calculated in terms of  
159 the following impact categories: Global Warming (GW), Stratospheric Ozone Depletion (SOD),  
160 Terrestrial Acidification (TA), Freshwater Eutrophication (FE), Marine Eutrophication (ME),  
161 Freshwater Ecotoxicity (FET), Marine Ecotoxicity (MET), Mineral Resources Scarcity (MRS)  
162 and Fossil Resources Scarcity (FRS).

163 *2.2. Description of the system under study.*

164 The value chain associated with canned tuna was divided in 4 different subsystems, as depicted  
 165 in Figure 1. Subsystem 1 is related to the fishing and transportation of tuna as the main raw  
 166 material to supply the canning plant. Subsystems 2-4 are linked to the different activities and  
 167 operations that take place within the canning factory. It is important to note that the aim is to use  
 168 the residual fractions of the process to produce value-added products; however, the treatment of  
 169 non-recoverable waste and wastewater has been included in all subsystems. All liquid fractions  
 170 are directly sent to a municipal wastewater treatment plant located close to the site. On the other  
 171 hand, packaging waste is recycled as far as possible and landfilled or incinerated according to the  
 172 Spanish profile.



173

174 **Figure 1.** System boundaries for the environmental assessment of canned tuna value chain.

### 175 2.2.1. Tuna fishery (SS1)

176 This subsystem includes all operations related to tuna fishery in FAO 34 waters. Tuna fishing is  
177 carried out by small vessels belonging to local coastal communities, using traditional and highly  
178 selective methods. Thus, the pole-and-line method is used, a method recommended by  
179 organizations and research to limit catches, avoid overfishing and minimize discards (Khan et al.,  
180 2018). Sardine (*Sardina pilchardus*) is used as bait in this fishery, so sardine fishing by an average  
181 purse seine fleet, which is landed in port and processed for bait production was included within  
182 the system boundaries of this subsystem as detailed in Vázquez-Rowe et al. (2011). Tuna, once  
183 caught, is discharged in the port of Arrecife (Lanzarote-Canary Islands) and transferred by ship  
184 to the port of Algeciras (Cadiz-Andalusia), and then transported by road to the canning plant  
185 located in Galicia.

### 186 2.2.2. Canning process (SS2)

187 This subsystem includes all the operations carried out in the canning plant that are directly related  
188 to the main product (canned tuna), from the extraction and production of the raw materials and  
189 fuels and the transport of these from their place of origin to the processing plant. This subsystem  
190 is composed by the different operations that are performed in the canning plant: the tuna pieces  
191 are unloaded and stored in a cooling chamber at reception until they are transported to the  
192 processing stage. The rest of the products and ingredients are stored in conventional areas until  
193 they are needed. The tuna is then cooked whole and not defrosted. Once cooked, the tuna is cooled  
194 in the plant overnight. The next morning, the tuna is cleaned, and the loins are obtained for  
195 canning and other by-products for use in other production lines or for valorisation. In this step, it  
196 is important to note that for every 1,000 kg of tuna that enters the plant, only 365 kg are canned.

197 The other parts are sent to valorisation processes, 8 kg of edible by-products are sent to tuna pâté  
198 production, while the remaining 627 kg of inedible by-products are used for the production of  
199 fishmeal and fish oil. Every 18 g can is filled with 90 g of tuna loins and 30 g of an additive  
200 composed of water, salt, and some vegetables. Once filled, the cans are closed, sealed and  
201 sterilised in an autoclave, leaving them completely watertight and disinfected. Finally, cans are

202 placed in individual cardboard cases for sale. 10 cases are shrink-wrapped with plastic film and 5  
203 of these packages are placed in cardboard boxes which are stored until their distribution and  
204 marketing.

#### 205 2.2.3. Production of tuna pâté (SS3)

206 By-products tailored for human consumption that are not used for canning (e.g., gut meat or near  
207 the tail) are used to produce a tuna and black olive pâté that is marketed by the company. This  
208 pâté is composed of tuna (52%), olives (22%), extra virgin olive oil (12%), mashed potatoes (9%)  
209 and other minority components such as onion, garlic, black pepper and salt (5%). Once the parts  
210 of the tuna to be used for this pâté have been separated, they are directly crushed and mixed with  
211 the rest of the components of the pâté since tuna is already cooked. 125 g of the mixture is dosed  
212 into a 146 g glass jar, covered with an aluminium lid (8 g) and placed in a steriliser. A 0.5 g  
213 adhesive label is then added, and 10 jars are placed in cardboard boxes for storage and distribution.

#### 214 2.2.4. By-products valorisation (SS4)

215 The by-products that are not suitable for human consumption (heads, viscera, bones...) are taken  
216 to the crushers, where their size is reduced to less than 50 mm, and then to the storage hoppers.  
217 Pressing produces a press cake (solid phase) and water and cooking oils (liquid phase). The press  
218 cake passes through a homogeniser before being sent to the drying process which is carried out  
219 continuously inside the dryers to remove excess water at a temperature of around 100°C. To  
220 continue the process, the temperature of the fishmeal is cooled by means of a sleeve cooler.  
221 Subsequently, the fishmeal is subjected to a grinding process in order to obtain a homogeneous  
222 product. Finally, the fishmeal is stored in silos, where it is shipped both in bulk and packaged in  
223 25 kg format.

224 Conversely, with the help of a tricanter, the liquid phase is introduced into a continuous  
225 centrifuge, where the liquid is separated from the remaining solids, which are incorporated into  
226 the press cake before entering the dryer. At the outlet of the tricanter, the cooking water is  
227 concentrated by means of a double effect evaporator, using the exhaust gases from the press cake

228 dehydration. Fish oil undergoes another centrifugation process to remove impurities and humidity  
229 before being stored in tanks until it is shipped.

### 230 2.3. Life cycle inventory data collection and allocation approach

231 Data acquisition for the life cycle inventory (LCI) was mainly obtained through primary sources,  
232 provided by the canning company. A questionnaire was filled in by the company's staff, which  
233 collected all information related to the material and energy consumption of the plant. Data related  
234 to tuna fishing and transport (SS1) were obtained from the supplying company, which operates  
235 in the port of Arrecife. Details of material consumption were established following key  
236 parameters previously established as relevant in previous studies of fisheries for different species  
237 (Ramos et al., 2011; Vázquez-Rowe et al., 2011b, 2011a). Primary data on the bait requirements  
238 of the fleet were also obtained. In this regard, the sardine (*Sardina pilchardus*) fishery by an  
239 average purse seine fleet, which is landed in port and processed for bait production, was included  
240 within the system boundaries of this subsystem, as detailed in Vázquez-Rowe et al. (2011).

241 SS2 and SS3 data were obtained directly from a comprehensive questionnaire completed by  
242 cannery workers. This questionnaire detailed both the material and energy consumption of the  
243 plant, as well as a description of all the processes carried out in the canning plant. The production  
244 of the aluminium can considered the virgin/recycled aluminium ratio as 63/37% (Laso et al.,  
245 2017). Although the life cycle inventories of the production of the agricultural ingredients were  
246 taken from Ecoinvent, the production of Extra Virgin Olive Oil (EVOO) was taken from Laso et  
247 al. (2017). The transport of materials was taken into account considering primary information on  
248 the place of origin of the different raw materials. By-product valorisation data (SS4) was based  
249 on information from the environmental declarations of one of the most important plants belonging  
250 to leading Spanish companies in the fishmeal sector. The total production capacity of the plant is  
251 50,000 tonnes/year for an average production of about 14,000 tonnes/year of fishmeal. Thus,  
252 primary data related to the generation of fish by-products were used to associate this production  
253 line with the rest of the data in the study. It is important to note that the life cycle impacts related to  
254 the production of the background processes (embodied emissions from raw material production

255 processes) were taken from the Ecoinvent v3.5 database (Moreno Ruiz et al., 2018), considering  
 256 the information obtained from primary sources.

257 With all this primary information and some secondary data sources, the life cycle inventory was  
 258 compiled, which involves the collection and computation of specific data that allow quantifying  
 259 those inputs and outputs in the production system that contribute to a given impact category  
 260 (Vázquez-Rowe et al., 2013b). **Table 1** contains the complete life cycle inventory of SS2, as it  
 261 could be considered the core of this study; however, the detailed life cycle inventories of each  
 262 subsystem considered in the study are shown in Tables S.1, S.2 and S.3 of the supplementary  
 263 material.

264 **Table 1.** Life cycle inventory of the Subsystem 2. Canning process per FU (1 tonne of raw tuna  
 265 at processing plant).

<b>SUBSYSTEM 2. CANNING PROCESS</b>					
<b>Inputs from the Technosphere</b>					
<b>Materials</b>	<b>Unit</b>	<b>Value</b>	<b>Transport</b>	<b>Unit</b>	<b>Value</b>
Tuna from SS1	kg	1,000	Tuna	t·km	2,097
Powder onion	g	241.2	Powder onion	kg·km	282.2
Powder garlic	g	160.8	Powder garlic	kg·km	188.1
White pepper	g	80.4	White pepper	kg·km	94.1
Salt	g	602.9	Salt	kg·km	30.1
Aluminium can	kg	73	Aluminium can	t·km	1.2
Bleached board	kg	36.5	Bleached board	t·km	27.0
Plastic film (LDPE)	kg	3.2	Plastic film	kg·km	255.1
Corrugated board	kg	34.5	Corrugated board	kg·km	621.9
Water	L	120.6	Cardboard waste	t·km	2.7
Sea water	L	5,096	Plastic waste	kg·km	347.8
<b>Energy</b>	<b>Unit</b>	<b>Value</b>			
Electricity	kWh	180.8			
Natural gas	MWh	2.6			
<b>Outputs to the Technosphere</b>					
<b>Products</b>	<b>Unit</b>	<b>Value</b>	<b>Waste to treatment</b>	<b>Unit</b>	<b>Value</b>
Canned tuna	Amount	4,055	Biowaste	kg	17.3
<b>Co-products</b>	<b>Unit</b>	<b>Value</b>	Plastic to recycling	kg	2.8
Inedible by-products to SS3	kg	627	Cardboard to recycling	kg	21.5
Edible by-products to SS4	kg	8	Wastewater	m <sup>3</sup>	16.2

266

267 The scenario under assessment is a multi-output system where more than one product is obtained.  
268 According to the functional unit chosen (1 tonne of tuna entering the canning industry), no  
269 allocation procedure was required. This system is a clear example of system expansion, following  
270 the guidelines set out in the ISO standards for dealing with multi-product systems. However, the  
271 use of allocation factors seems unavoidable to assess the environmental performance of a  
272 particular product; and even more so, within these circular processes, where the objective is  
273 precisely to maximise the production of outputs while minimising the consumption of inputs. In  
274 this case, although the main objective is to establish the environmental profile of the overall  
275 process, the economic values of all products have been taken into account, in order to be able to  
276 relativise the environmental burdens of the system in comparison with other food systems. **Table**  
277 **2** includes the different market prices considered, as well as total amount of produced outputs.  
278 The total production and market prices of canned tuna and tuna pâté were calculated considering  
279 only the total weight of the edible product, excluding the weight of the packaging.

280 **Table 2.** Capacity of end-products per FU and associated market prices.

	<b>Production (kg)</b>	<b>Market price (€/kg)</b>	<b>Data source</b>
<b>Canned tuna</b>	486.6	24.88	Primary information
<b>Tuna pâté</b>	15.4	26.96	Primary information
<b>Fishmeal</b>	172.2	0.55	Mullon et al. (2009)
<b>Fish oil</b>	36.1	0.61	Mullon et al. (2009)

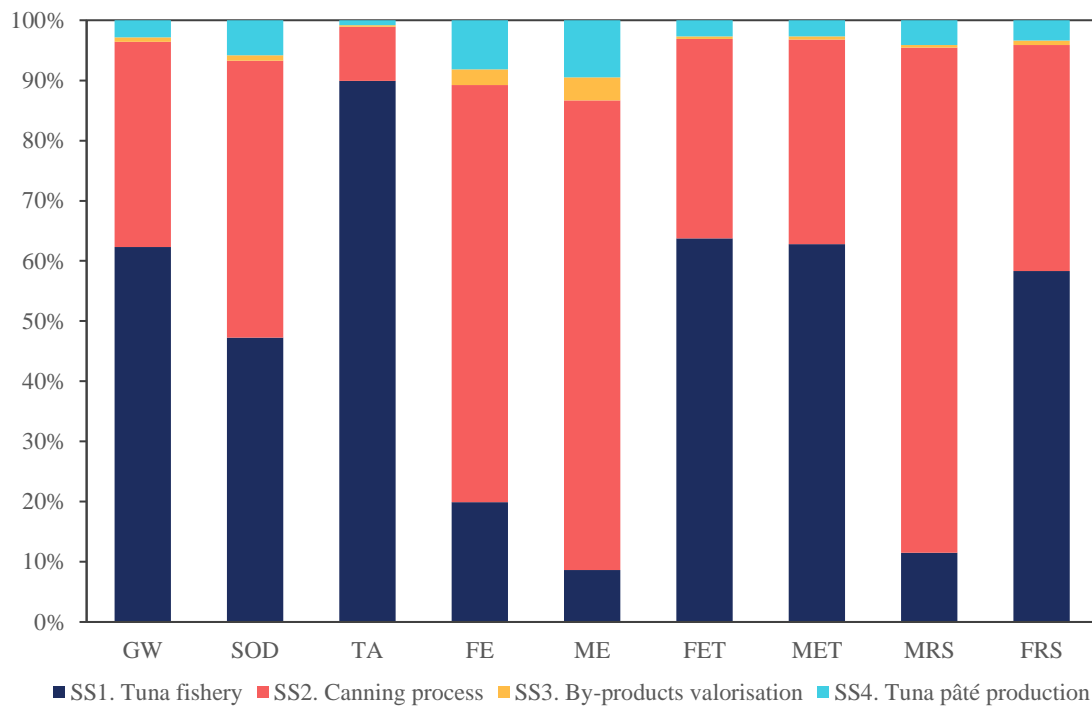
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### 282 **3. Results and discussion**

#### 283 *3.1. Environmental performance of the canned tuna value chain*

284 According to the results shown in **Figure 2**, most of the environmental burdens are produced by  
285 two subsystems: SS1 on Tuna fishery and SS2 on Canning process. Thus, the combined  
286 contribution of these two subsystems accounts for an average of 94% of the impact in all impact  
287 categories, with a minimum of 87% in the ME category and a maximum of 99% in TA. The  
288 individual contribution of SS1 is variable, ranging from a minimum of 8.6% in ME to a maximum

289 of 89.9% in TA. Regarding the SS2, it is especially relevant in the MRS and ME categories, with  
 290 89.9% and 78% of total contributions, respectively. The environmental impact of SS3 on By-  
 291 products valorisation is fairly constant in all impact categories, always below 1%, except for the  
 292 FE and ME categories. A contribution of 4% stands out in the ME category due to the treatment  
 293 of wastewater generated in the valorisation process. Finally, regarding the production of tuna pâté  
 294 (SS4), the environmental impact is variable and ranges from 0.8% in TA to the maximum reached  
 295 in ME with 9.5%. This high value is again reached due to the treatment of the wastewater  
 296 produced in the processing plant.



297

298 **Figure 2.** Relative contribution to environmental impacts associated with the canned tuna value  
 299 chain.

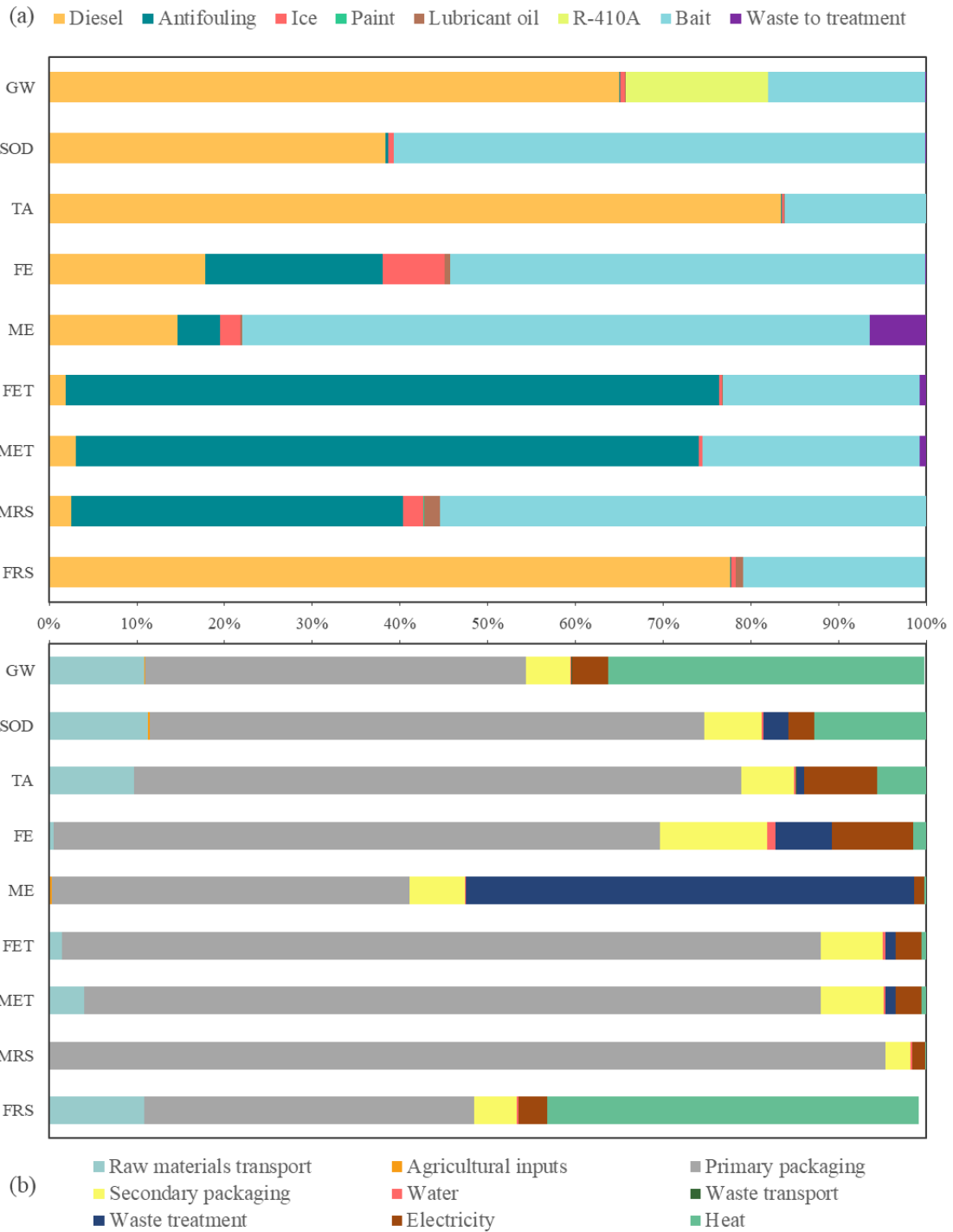
300 Since most of the environmental impact of the entire value chain is produced in SS1 and SS2,  
 301 **Figure 3** provides a complete breakdown of the processes involved in these sub-systems. When  
 302 comparing the pole-and-line tuna fishery in FAO 34 waters with other published studies, similar  
 303 hotspots are observed: mainly fuel production and consumption, antifouling production and  
 304 consumption, and GHG emissions of the refrigerant gas (Abdou et al., 2020, 2018; Vázquez-

305 Rowe et al., 2011b), and bait fishing and processing (Vázquez-Rowe et al., 2014). As can be  
306 observed in **Figure 3.a**, fuel production and consumption during fishing operations turned out to  
307 be one of the main sources of environmental impact, accounting for more than 83% of the total  
308 impact in the TA category, 78% in FRS and 65% in GW. This result was expected and is in line  
309 with other fishing fleets revised, where direct and indirect fuel emissions were highlighted as the  
310 most important carrier of GHG emissions (Avadí et al., 2018; Sandison et al., 2021; Vázquez-  
311 Rowe et al., 2014; Villanueva-Rey et al., 2018), as well as other environmental impacts, such as  
312 terrestrial acidification (Ziegler et al., 2016). In this article, a Fuel Use Intensity (FUI) of 548.9  
313 L/tonne was obtained for pole-and-line tuna fishing. This result can be compared with different  
314 results published in scientific literature; e.g. Miller et al. (2017) estimated the fuel consumption  
315 of the Maldivian pole-and-line fleet among different shoal of tuna, varying from 200 L/tonne to  
316 almost 600 L/tonne.

317 It is worth noting that special emphasis is placed on the fact that bait accounts for approximately  
318 15-20% of the amount of fuel consumed in a fishing trip, which coincides with the results obtained  
319 in this study, since the impacts associated with fishing and bait production reach 71.6% in ME  
320 and do not fall below 16% in any impact category. Pole-and-line tuna fishing methods have not  
321 been traditionally studied, so there are only a few studies that quantify the fuel consumption of  
322 this type of fishing gear with different target species, however, tuna fishing by different fishing  
323 gears has been extensively studied. In this context, Hospido and Tyedmers (2005) quantified the  
324 fuel consumption of the Spanish tuna purse seine fishing fleet in the Indian (373 L/tonne), Atlantic  
325 (442 L/tonne) and Pacific (442 L/tonne) oceans, setting the framework for the quantification of  
326 FUI of other fisheries in the future. Moreover, Parker et al., (2015) achieved a significantly larger  
327 sample of vessels to update this result, obtaining on average that the tuna purse seine fishery  
328 consumes on average 365 L/tonne.

329 Specifically, the purse seine fishery for skipjack tuna in Atlantic waters requires a fuel  
330 consumption of 445 L/tonne according to their results. On the other hand, Parker and Tyedmers  
331 (2015) estimated a fuel consumption of 1,612 L/tonne for large pelagic (mainly tuna) fisheries  
332 using longlines and other forms of pole-and-lines. From another, much more generic point of  
333 view, Parker et al. (2018) calculated the global CO<sub>2</sub> emissions linked to fuel combustion in fishing  
334 vessels, estimating the FUI of pelagic fish (> 30 cm) at 430 L/tonne. In brief, most of the values  
335 provided for tuna fishing, except for longlines, are within the same range, which can give the idea  
336 that the values obtained in this study are close to reality. However, it is important to note that FUI  
337 measurements from previous studies may vary considerably depending on both the measurement  
338 method and the analysed fishing gear (Parker et al., 2015).

339 On the other side, the production and consumption of antifouling has been revealed as a  
340 differential element in the ecotoxicity categories (74.5% in FET and 71% in MET) due to copper  
341 and zinc emissions during the use stage, as demonstrated in previous literature (Avadí and Fréon,  
342 2013). It is also noteworthy the 20% of total fishing impact in MRS category, due to the extraction  
343 of bauxite to produce copper needed for antifouling formulation. The bauxite ore is treated with  
344 dilute sulphuric acid over a period of months, dissolving copper to form a weak solution of copper  
345 sulphate, from which copper can be recovered by electrolysis. Ice production contributes much less  
346 to the environmental burdens of the system, its contribution remains almost constant in all  
347 categories ranging from a minimum of 0.5% to a maximum of 2%, except in the FET category,  
348 where it reaches a maximum of 7%, mainly due to electricity consumption and the high  
349 dependence on coal in the Spanish electricity profile. Within the GW category, the presence of  
350 R-410A stands out, which is a refrigerant gas widely used in refrigeration machines and whose  
351 leakage influences the carbon footprint of the process, as has been shown in previous literature  
352 (Vázquez-Rowe et al., 2011b). Finally, the environmental impact of paint consumption is  
353 practically negligible, while the treatment of the waste (both solid and liquid) is only remarkable  
354 in the ME category due to the treatment of bilge wastewater.



355

356 **Figure 3.** Relative contribution to environmental impacts associated with Subsystem 1. Tuna  
 357 fishery (a) and Subsystem 2. Canning process (b).

358 According to the results shown in **Figure 3.b**, the largest contribution to the environmental impact  
 359 in 6 of the 9 impact categories analysed comes from the production of aluminium primary  
 360 packaging, proving to be one of the most important elements in the production of canned seafood

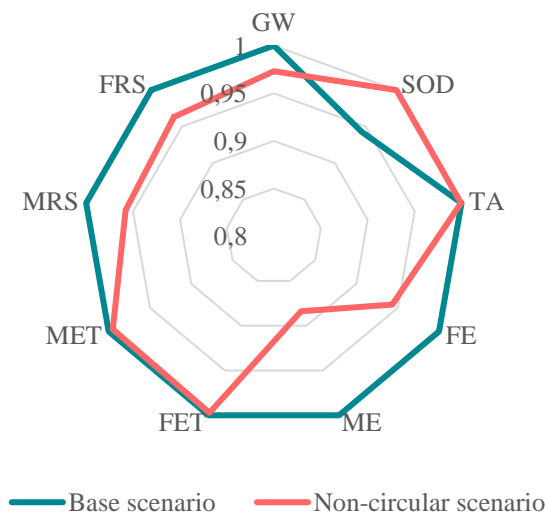
361 (Almeida et al., 2014; Hospido et al., 2006). In fact, the production of aluminium cans is the main  
362 contributor to the MRS category, where it reaches the highest contribution to any impact category  
363 (95%), linked to the production of virgin aluminium, which requires a high consumption of  
364 bauxite (almost 5 kg per kg of aluminium) (Laso et al., 2017). Heat production by natural gas (for  
365 cooking and sterilisation) is also an important contributor to GW (36.2%) and FRS (43%),  
366 although its contribution to the other categories is in all cases less than 5%. Wastewater treatment,  
367 as in the fisheries subsystem (**Figure 3.a**) is only relevant in the ME category (50%) due to  
368 nutrient emissions of the treated effluent, while in the other categories, it hardly exceeds 6%. The  
369 production of secondary packaging (cardboard and plastic) presents a relative constant  
370 contribution around 5-7%, reaching 12.2% in FE and dropping to 2.9% in MRS. Electricity  
371 consumption is almost negligible, only relevant in the FE and TA categories (9.2% and 8.3%,  
372 respectively), while in the rest of the categories it hardly exceeds 4%. Finally, the production of  
373 the agricultural ingredients for the garnish and seasoning (onion, garlic, pepper, etc.) and the  
374 transport of waste to specialised plants have a very low contribution in all impact categories,  
375 always below 0.5%. The numerical results related to the FU can be found in the Table S.4 of the  
376 supplementary material.

### 377 *3.2. Effect of the allocation strategies on the environmental profile*

378 This study has assessed the entire canned tuna value chain from an environmental point of view,  
379 from the production of raw materials to the processing of products and co-products and the  
380 treatment of waste, trying to move towards the target of 0 bio-waste. This study is an example of  
381 system expansion to avoid the use of allocation strategies, as prioritised in the ISO standards.  
382 However, when the objective of the study is to analyse the environmental impacts associated with  
383 a particular product within the value chain, and full segregation of material and energy  
384 consumption for each production line is not possible, the use of allocation factors to accurately  
385 report environmental burdens seems unavoidable (Ayer et al., 2007). Traditionally, the selection  
386 of allocation factors is one of the procedures that generates the least consensus among LCA  
387 practitioners. In this regard, different authors have proposed different allocation methods for

388 different case studies. Within the seafood-specific case studies, for example, Thrane (2006) used  
 389 system expansion strategies to handle the co-product allocation in the LCA of different fish  
 390 products. On the other side, Ziegler et al. (2003) applied economic allocation factors to calculate  
 391 the environmental burdens of cod fillets, but also they applied mass allocation considering that  
 392 price fluctuations may condition the reliability of the results. On this basis and considering that  
 393 the main objective of the canning industry is the production of marketable products that generate  
 394 an economic income, the economic allocation was calculated for canned tuna (95.8%), tuna pâté  
 395 (3.3%), fishmeal (0.7%) and fish oil (0.2%).

396 When analysing the environmental profile of the main product (canned tuna), one different  
 397 approach from system expansion corresponds to the exclusion of subsystems SS3 and SS4 from  
 398 the system boundaries, including within the boundaries of SS2 the organic waste treatment  
 399 processes corresponding to the co-products (635 kg per 1,000 kg of raw tuna). However, this  
 400 approach would not be entirely realistic, as currently, the processing of fish co-products into  
 401 fishmeal and fish oil for feed formulation is a widely used option for the treatment of bio-waste.  
 402 In any case, **Figure 4** shows the variation in the environmental profile of the original value chain  
 403 (Base scenario) compared to the scenario in which only tuna fishing and processing to produced  
 404 canned tuna is included, but the valorisation of organic waste from the processing is excluded  
 405 (Non-circular scenario).



406

407 **Figure 4.** Comparative environmental profile of the two alternative canned tuna production  
408 scenarios.

409 It seems obvious that removing SS3 and SS4 with all associated material and energy consumption  
410 from the system boundaries will reduce the environmental impact of the entire value chain.  
411 Especially relevant is the reduction in the categories ME and FE, where the contribution of the  
412 SS4 subsystem was higher due to the environmental burdens of wastewater treatment, as can be  
413 seen in **Figure 2**. However, it is remarkable that the impact of the non-circular approach is almost  
414 equal to that of the baseline scenario in the categories of MET, FET and TA. Even more  
415 remarkable is that the impact on the SOD category is higher in the non-circular scenario, as the  
416 emissions from bio-waste treatment are higher than the emissions from the inputs and outputs of  
417 SS3 and SS4.

418 In order to relativise the impacts of the system towards the production of canned tuna, in the case  
419 of the baseline scenario it is necessary to apply economic allocation factors, while for the non-  
420 circular scenario, the entire environmental burden is allocated to canned tuna, as it is the main  
421 output of the system. Considering that only 365 kg out of 1,000 kg are canned and that 90 g of  
422 tuna and 30 g of additive are put into each can, the carbon footprint of a can of tuna was quantified  
423 as 0.98 kg CO<sub>2</sub> eq in the baseline scenario (considering the economic allocation of all co-  
424 products), while in the non-circular scenario it reached 1.01 kg CO<sub>2</sub> eq per can. To give a broader  
425 value and not so focused on the carbon footprint, the total environmental impacts were calculated  
426 in terms of the ReCiPe methodology endpoint, ranging between 0.050 and 0.052 pts per can in  
427 the baseline scenario and in the non-circular scenario, respectively.

428 In this way, the comparison between the environmental profile of the system evaluated in Figure  
429 1 (Base scenario) and this new scenario (Non-circular scenario) allow to answer the question: is  
430 the application of multi-product strategies environmentally viable when the objective of the  
431 assessment is to assess only one of the products, without considering the products avoided and  
432 the environmental credits? In the specific case studied and taking into account that the inclusion  
433 of SS3 and SS4 has not had much influence on the total impact of the system (less than 6% on

434 average), the distribution of the loads between the 4 different products has made it possible to  
435 reduce the life cycle impact of canned tuna, while the environmental performance of the whole  
436 value chain is hardly modified. It can be seen that a circular economy approach is feasible and  
437 effective and these principles are in line with those mentioned by the European Commission in  
438 the Circular Economy action plan (European Commission, 2020b).

### 439 *3.3. Benchmarking with other canned seafood*

440 When comparing with other results available in the peer-reviewed literature, it is important to  
441 note that there are some issues that need to be addressed in detail in order to make realistic  
442 comparisons (Avadí and Fréon, 2013; Vázquez-Rowe et al., 2012a): (i) The life cycle impact  
443 methodology should be detailed since, although the categories of different methodologies may be  
444 analogous, in many cases the units of measurement are different; (ii) The functional unit selected  
445 for the analysis, as well as the edible content of each product, to adequately estimate the associated  
446 environmental impact per unit and per quantity of product (e.g. 1 kg); and (iii) the environmental  
447 indicator used for comparison (environmental footprint, normalised impact factor, etc.). Taking  
448 all this information into account, **Table 3** shows the detailed results of the comparison between  
449 the carbon footprint of different canned seafood products.

450

451 **Table 3.** Carbon footprint values for other canned seafood products

Product	Assessment method	Product	kg CO <sub>2</sub> /kg	Reference
Tuna	ReCiPe 2016	90 g tuna 30 g additive 20 g can 5 g board	8.2	Present study
Pilchard	CML-IA Baseline	95 g pilchard 35 g olive oil 20 g can	7.5	(Almeida et al., 2015)
Pilchard	ReCiPe 2008	85 g pilchard 35 g olive oil 20 g can	25.2	(Vázquez-Rowe et al., 2014)
Mussels	IPCC 2016	129 g mussels 120 g sauce 81 g can 12.7 g board	17.5	(Iribarren et al., 2010a)
Tuna	ReCiPe 2008	n.d.	3.7	(Avadí et al., 2015)
Peruvian anchovy	ReCiPe 2008	n.d.	1.7	(Avadí et al., 2014)
Cantabrian anchovy	ESA with metrics from ICheme 2002	30 g anchovy 20 g olive oil 15 g can 5 g board	4.7	(Laso et al., 2017)

452

453 Is important to note that **Table 3** compiles the results with a cradle-to-gate approach, considering  
 454 the impacts related to fishing, processing and packaging. Thus, in those studies that considered  
 455 the distribution and consumption stages (Almeida et al., 2015; Laso et al., 2017; Vázquez-Rowe  
 456 et al., 2014), the environmental burdens corresponding to these stages were not taken into account.

457 The carbon footprint of canned tuna presents an intermediate value, much lower than the values  
 458 presented by sardine in olive oil (Vázquez-Rowe et al., 2014) and mussels, mainly due to the  
 459 production of the primary packaging, as these two cases were packed in tinplate. However, this  
 460 value is very similar to the associated with the can of sardines with olive oil assessed in Almeida  
 461 et al. (2015), where similar packaging is used and similar techniques are followed.

462 Cantabrian anchovy is also packaged in aluminium and, despite having a much lower fish/package  
 463 ratio than that obtained in this study, they reported only 4.7 kg CO<sub>2</sub>/kg. This is because the  
 464 processing operations are very different, and the catch ratios of this fishery are much higher. In  
 465 this sense, the low results for Ecuadorian canned tuna (Avadí et al., 2015) and Peruvian anchovy

466 (Avadí et al., 2014) can be explained by lower fuel use in the Ecuadorian and Peruvian fisheries,  
467 mainly due to a better catch per unit effort in relation to a higher abundance of the resource (Fréon  
468 et al., 2014a). While it is true that in all cases it was concluded that both the fishing stage and the  
469 production of primary packaging (tinplate or aluminium) are the main drivers of environmental  
470 impacts and all improvement actions should focus on them.

#### 471 **4. Conclusions**

472 This study demonstrates that, from a product approach, the inclusion of by-product valorisation  
473 processes to address a multi-product strategy improves the environmental profile of the main  
474 product. It is a clear example of a system expansion to avoid burden allocation between products  
475 when the focus is on the assessment of the entire value chain. When the focus is on the assessment  
476 of a single product, the allocation of environmental burdens seems unavoidable.

477 It has been shown that the fishing and primary processing stages are the most relevant sub-systems  
478 within the environmental profile of the canned tuna value chain. The inventory of the fishing stage  
479 showed, as previous studies on different fishing fleets, that the impacts of the fishing stage come  
480 mainly from the production and consumption of diesel and antifouling. In this case, the  
481 importance of the bait used for fishing also stands out, as it requires the fishing and processing of  
482 sardine for use as bait. Primary packaging presented the highest environmental impact in the life  
483 cycle impacts of canned tuna. Aluminium production, lamination and extrusion had the highest  
484 impact in almost all impact categories, as expected for canned products. By-product valorisation  
485 processes, both edible and inedible, have proven to have a low impact.

486 This system has allowed an approximation of the EU target towards a cradle-to-cradle system  
487 approach, achieving the goal of zero biowaste. The results show the need to improve the  
488 application of the circular economy in the primary sector, converting waste into raw materials for  
489 the production of new products, minimising the consumption of material and energy resources.  
490 In this sense, the application of multi-product strategies has been shown to improve the  
491 environmental profile of canned products through the allocation of environmental burdens among  
492 the new products; although further analysis from a sustainability point of view is required. Similar

493 studies need to be further applied to specific primary sub-sectors in the future to continue the path  
494 towards a more sustainable and circular food system.

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