

1 **Response patterns of xylem and leaf phenology to temperature at the southwestern**
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3 **distribution boundary of *Quercus robur*: A multi-spatial study**
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Abstract

We investigated how temperature patterns affect cambial activity and leaf phenology of oak across a wide range of natural woodlands at its southwestern distribution boundary. Understanding the climatic control of wood formation in dominant species is very relevant to infer tree responses to ongoing environmental changes and their impact on the carbon cycle.

We selected nine sites along two elevation gradients from the coastline in northwestern Iberia, and sampled ten trees per site biweekly during 2012 and 2013. Leaf and cambial phenological phases were related to mean air temperature for 10-60 day running periods along the year to identify the most relevant time windows for cambium and leaf phenophases, and the relationships among them.

The first earlywood vessels expanded before the appearance of small leaves, and subsequently underwent maturation to meet water requirements for full leaf unfolding. The advance or delay of cambial reactivation and budburst varied among sites and years modulated by spring temperature, and were respectively maximized by maximum and minimum values.

Temperature can modify the onset of early phenophases of primary and secondary growth differently, and also the synchronicity between them. However, the maturation of the first earlywood vessels is necessary to undergo full leaf extension.

Keywords: budburst, cambium, earlywood, latewood, ring-porous wood, xylogenesis.

1 **1.Introduction**

2 *Quercus robur* L. is a nemoral tree species widely distributed under different climatic
3 conditions throughout Europe (Gilliam, 2016), from the Baltic Sea to its southern
4 distribution boundary in the Iberian Peninsula. Along this boundary, the transition to the
5 Mediterranean region involves its progressive replacement by more drought-tolerant
6 species (Sánchez de Dios et al., 2009), first nemoral oaks, and evergreen oaks under
7 more limiting conditions.

8 One of these transitional areas is located in northwestern Iberian, where prevailing
9 Atlantic conditions involve high precipitation records mainly during autumn and winter,
10 but summer drought can often occur as a consequence of Mediterranean influence. In
11 coastal areas, winter temperature is not limiting, but warm summer conditions facilitate
12 the occurrence of thermophile species, or even subtropical elements where summer
13 drought is not limiting (Izco et al., 1990). As a result, trees need to couple their patterns
14 of phenology and wood formation to these meteorological conditions.

15 Activity of primary and secondary meristems follows a distinct annual pattern in crown
16 and cambium, respectively. These processes are constraint to a well-defined time period
17 from spring to autumn, when conditions are favorable for growth and reproduction,
18 whereas trees enter dormancy during winter. Spatial and temporal changes in
19 environmental conditions can modify tree phenology (Menzel et al., 2006; Vitasse et al.,
20 2017), as species adjust the timing and length of their growth and reproduction phases
21 to climate, with variations that depend on specific regional drivers, local adaptations, or
22 individual plasticity (Rossi et al., 2013). Though also interacting with photoperiod
23 (Basler and Korner, 2014), phenological events related to growth resumption are mainly
24 driven by temperature (Begum et al., 2013; Rossi et al., 2016), and consequently
25 changes related to the ongoing global warming have been observed (Menzel et al.,
26 2006).

27 *Q. robur*, as a deciduous ring-porous hardwood species, needs to yearly renew its xylem
28 vessel network, so that the cambium must build the mechanical and conductive support
29 to fulfill water requirements from roots to leaves. The main pathways of sap flow are
30 the networks of earlywood vessels of the current year, [because those from the previous](#)
31 [season are no more functional after a year](#) (Chaney and Kozlowski, 1977; Ellmore and

32 Ewers, 1986; Umabayashi et al., 2008). The differentiation of **current year's** earlywood
33 vessels has been detected to take place before the onset of bud growth (Lavrič et al.,
34 2017; Pérez-de-Lis et al., 2016; Puchalka et al., 2017; Sass-Klaassen et al., 2011;
35 Takahashi et al., 2015). However, the importance of their contribution for crown
36 development is still under discussion (Kudo et al., 2018). Recent studies on the specific
37 moment when the networks of vessel elements become functional as conduits for water
38 movement suggest that the requirements of the early stages of leaf expansion can still be
39 fulfilled by latewood vessels formed in previous years (Kitin and Funada, 2016; Kudo
40 et al., 2015). Therefore, it is necessary to understand not only the mechanisms of wood
41 formation, but also physiological aspects of the tree growth.

42 Variations in the meteorological conditions prior or/and at the moment of specific
43 phenological events can affect the synchronization between primary and secondary
44 growth in a different way. Buds, leaves, and flowers that grow from primary meristems
45 are easily visible to the naked eye, and numerous records allow assessing variations in
46 the timing of leaf phenology. For example, Rossi (2015) found that temperature is a
47 predominant factor driving the ecotypic differentiation of budburst in black spruce.
48 Recent studies on different oak provenances in England (Wilkinson et al., 2017)
49 reported an advance in spring budburst across a range of temperatures, and Čufar et al.
50 (2012) found that March and April temperatures were related leaf unfolding in beech
51 (*Fagus sylvatica*) in Slovenia. During the growing season, vascular cambium cannot be
52 directly observed to record phenological events, but in the last decades, a great effort
53 has been made to identify stages of wood formation at a cellular level, although this
54 monitoring of xylogenesis is a very time-consuming method.

55 In conifers, linear and nonlinear patterns of timings and duration of wood phenology
56 showed local annual temperature as the main driver of cambial activity in the northern
57 hemisphere (Rossi et al., 2016; Rossi et al., 2013). Similarly, a phenological advance in
58 xylem phenology linked to temperature was found to modify the production of wood
59 biomass in northeastern France (Cuny et al., 2015). However, the study of xylogenesis
60 in hardwoods has deserved less attention. In the case of ring-porous oaks (*Q. robur* and
61 *Q. pyrenaica*), Pérez-de-Lis et al. (2017) demonstrated that the length of the growing
62 season was modulated by predisposing the number of dormant cambium cells, whereas
63 the size of the first earlywood vessels was affected by the timing of earlywood
64 enlargement (Pérez-de-Lis et al., 2016). The reactivation of cambial cells by heat

65 treatment was also proven for deciduous species (Begum et al., 2013; Kudo et al.,
66 2014); similarly, differences in the yearly onset can be explained by temperature
67 variations (Prislan et al., 2013).

68 Although there is much evidence that temperature is one of the main driving forces for
69 plant growth in terrestrial ecosystems, its influence on wood formation has been mainly
70 analyzed on conifers, often at high latitudes or elevations (Lorena et al., 2016; Rossi et
71 al., 2016), whereas studies on cambial dynamics of temperate hardwood species such as
72 oaks are limited to very few sites (Lavrič et al., 2017; Pérez-de-Lis et al., 2017;
73 Puchałka et al., 2017). On the other hand, several studies dealt with the variation of
74 phenology across gradients, but were not linked to secondary growth (Vitasse et al.,
75 2017). In order to fill this gap, we intend to evaluate the influence of the temperature
76 regime on the primary and secondary growth of native *Q. robur* forests within a region
77 that covers broad environmental gradients. For this, we compiled a data set of cambial
78 dynamics and leaf phenological phases at nine sites during 2012 and 2013, and related
79 their different phases to temperature. These sites were located towards the most
80 southwestern distribution boundary of oak, and represent Atlantic and Cantabrian
81 influences, from mild coastal areas to high continental inland, which to our knowledge
82 provides the widest range of these data currently available for oak. The aim of our study
83 is to establish the role of temperature on wood formation and crown development by i)
84 comparing the timings of cambial activity and leaf phenological phases, and ii)
85 evaluating how these relationships vary along different microclimatic gradients.

86 **2. Material and Methods**

87 **2.1 Study site and tree selection**

88 The study was conducted at nine *Q. robur* stands in northwestern Iberia (Table 1). The
89 selected sites covered the course of two rivers, Eume (E) and Sor (S), from their upper
90 watershed to their mouths into the Atlantic Ocean or the Cantabrian Sea respectively
91 (Fig. 1a). The area presents a mild Atlantic climate, with a mean annual temperature
92 ranging 9-13 °C among sites, and a total precipitation of 900-1,500 mm; maximum
93 rainfall occurs during autumn-winter, and there is a varying degree of summer drought
94 depending on elevation or position towards the coast. Oak forests in this area are

95 characterized by moist and warm conditions, which even result in the occurrence of
96 some subtropical plants (Izco et al., 1990), especially at low altitude.

97 Within each watershed, we followed an altitudinal gradient that covered the whole
98 species distribution, with increasing degrees of precipitation with elevation, and
99 continentality from the coast to the inland mountains. Nevertheless, these two rivers
100 represent two dominant climatic influences on oak formations in northwestern Iberia.
101 Whereas the Atlantic coast is dominated by a certain Mediterranean trend during
102 summer (higher temperature, more intense drought), the Cantabrian coast is exposed to
103 mild northern winds that increase humidity and attemperate maximum temperature
104 (Martínez Cortizas and Pérez Alberti, 1999).

105 We monitored these forests for two consecutive years, from March to November in
106 2012 (1,175 trees sampled), and from February to September in 2013 (1,000 trees
107 sampled). For this, 90 trees (9 locations × 10 trees) with a diameter of 20-40 cm were
108 randomly selected at each sampling date within an area of ca. 2 ha per forest. The
109 sampling interval was 12-15 days in spring, and 20 days in summer, because changes
110 during earlywood formation or transition to latewood take place much faster than later
111 in the season. Trees selected were dominant or codominant in the canopy, and
112 individuals with polycormic stems, partially dead crowns, or evident damage, were
113 avoided. The random selection of trees at each date prevented the study at the individual
114 tree level, but provided a representative sample of the whole forest as a single
115 population, avoiding the bias of a specific tree. In addition, this strategy increased the
116 number of individuals that could be analyzed to relate primary and secondary growth,
117 i.e., to associate leaf and cambium phenophases.

118 **2.2 Site meteorological data**

119 Air temperature was hourly monitored at each site during the sampling period using
120 sensors (iButton DS1922L, San Jose, CA, USA) set at 2 m above the ground surface.
121 We calculated daily mean, maximum, and minimum values, as well as the
122 corresponding thermal amplitudes. Temperature time series were corrected to complete
123 missing data by simple linear regression with daily records from nearby meteorological
124 stations belonging to the weather service Meteogalicia (<http://www.meteogalicia.gal/>).
125 We compared all study sites according to their monthly mean temperatures for both

126 study years using hierarchical cluster analysis. The Euclidean distance was selected to
127 calculate dissimilarities among sites, whereas the average linkage was used as grouping
128 method (Fig. 1b).

129 **2.3 Xylem sampling and wood phenophases**

130 At each sampling date, a minimum of two microcores (2 mm in diameter, 15 mm in
131 length) was extracted at breast height (1.3 m) out of each selected tree by means of a
132 Trephor tool (Rossi et al., 2006), in perpendicular direction to the slope. Samples
133 contained mature and developing xylem of the current year, the cambial zone and
134 adjacent phloem, and at least one previous complete tree ring. After extraction, one
135 microcore per tree was processed by embedding in paraffin, cutting into thin sections
136 with a rotary microtome, and staining following the same protocol as in previous works
137 (Guada et al., 2018; Pérez-de-Lis et al., 2016).

138 Observation and width measurements of the wood phenophases of the 2,173 microcore
139 cross-sections were performed on images taken with a digital camera (Canon EOS
140 600D, Tokyo, Japan), coupled to a transmitted light microscope (Olympus BX40,
141 Tokyo, Japan); a white light polarizing filter allowed the detection of secondary cell
142 wall deposition (40 × magnification). We measured the width of cell expansion and
143 maturation zones along three radial lines per image (Fig. S1); and each phase of cambial
144 activity was expressed as particular day of year (DOY), including: beginning of cell
145 enlargement in the earlywood (bE), beginning of earlywood maturation (bM), beginning
146 of latewood maturation (bLW), and cessation of cell expansion (cE). The beginning of
147 cell enlargement was considered when the most recent ring contained at least one
148 enlarging cell; whereas the beginning of earlywood vessel maturation was defined by
149 the deposition of the secondary cell wall in the vessels, detected by birefringence under
150 polarizing light; the cessation of cell expansion corresponded to the moment when no
151 expanding cells were further detected, and the beginning of latewood maturation was
152 defined as the moment when earlywood growth had already ceased, and maturation was
153 detected in cells formed beyond the earlywood.

154 The durations between the onset and cessation of these phases were also calculated for
155 cell expansion ($dE = cE - bE$), earlywood maturation ($dEW = bLW - bM$), latewood

156 maturation ($dLW = cE - bLW$), and cell expansion of first row of earlywood vessels
157 ($d1r = bM - bE$).

158 **2.4 Leaf phenological observations**

159 Leaf phenology of each sampled tree was recorded in the field. Observations were
160 performed at the upper main branches using binoculars ($10 \times$ magnification), and
161 expressed as DOY.

162 We considered four different phenophases, namely budburst (BB), leaf unfolding (LU),
163 appearance of small leaves (SL; leaves $< 50\%$ of their apparent final size), and full
164 extension (FL; leaves $> 50\%$ of their apparent final size). Bud dormancy corresponded
165 to the overwintering stage, while bud swelling was identified by the apparent separation
166 of buds from the stem, together with the exposition of areas of lighter colored tissue as a
167 result of the initial extension of cataphylls with the separation of scales. Budburst was
168 characterized by green-colored expanded buds with no unfolded leaves, and leaf
169 unfolding ended as soon as the leaf blade was clearly visible, but not the petiole.
170 Appearance of small leaves was defined as the moment when at least one leaf was
171 completely out of the bud, and current year twigs and petiole could be visually
172 appreciated; and full extension was recorded when leaves attained at least the 50% of
173 their apparent final size.

174 **2.5 Statistical analyses**

175 In order to assess the critical dates of xylem and leaf phenology, the information on the
176 absence or presence of each phenological event per site and year was expressed as
177 binary data (0 no active phenophase; 1 active phenophase). Afterwards, we performed
178 logistic regressions by GLM for each phenophase using the DOY as independent
179 variable, and considering a probability of 0.5 as the most likely DOY for the activity of
180 a given event (Rathgeber et al., 2011). The effects of year, site, and their interaction
181 were studied by comparing the best logistic regression fit when these factors were
182 included isolated or in combination as classification variables. Independent variables
183 considered in the models tested were as follows: DOY + year; DOY+ site; DOY + year
184 + site; DOY + year \times site. The model providing the lowest corrected Akaike's
185 Information Criterion (AICc) for each event was selected as the best fit, and a multiple
186 comparison between years and sites was performed using the Tukey test.

187 Relationships among the dates of wood phenological events estimated from the logistic
188 regression and their durations were related using Pearson's correlations. The DOYs of
189 cessation of cell expansion among sites diverged significantly from normality, and were
190 transformed using the Y^2 formula.

191 We used two approaches to establish relationships between primary and secondary
192 growth at tree scale, and specifically to look for a possible link between vessel
193 formation and budbreak. First, we selected the trees achieving [the expansion of first row](#)
194 [of earlywood vessels, i.e., those showing enlarging vessels adjacent to the previous](#)
195 [annual ring](#), but still no maturation, and classified them according to their leaf
196 phenology in order to obtain the proportion of trees that started crown development
197 before earlywood maturation. The second approach consisted of selecting the trees with
198 already mature earlywood vessels [for](#) each phenological crown phase, and consequently
199 we established the proportion of trees that had started earlywood maturation for each
200 stage of leaf development.

201 In order to detect the time window that [influenced](#) primary and secondary growth, we
202 obtained moving averages of climatic data, and compared them to the DOYs of each
203 phenological event along the growing season. Thus, we preliminary used 30-day
204 averages of maximum and minimum temperatures, as well as thermal amplitude, and
205 correlated them to each phenological event every 15 days. A more detailed analysis of
206 the most relevant variables involved running temperature means of 10 to 60 days,
207 shifted [in](#) only one day. For these analyses, we assumed that the increase of temperature
208 advanced along with tree growth, and performed a linear model between the
209 temperature before the event and the timing of each event per site, including the year as
210 class variable. For each linear model, the p -value of a Type I test, and the corresponding
211 percentage of variation explained, [were](#) provided for the temperature effect and for the
212 difference between years. [We also estimated temperature](#) thresholds from those in the
213 DOY predicted by the GLM logistic curve per site and year. All statistical analyses
214 were performed using R statistical software (R Core Team, 2017).

215 **3. Results**

216 **3.1 Temperature across sites**

217 The sites had a temperate regime, with an average variation of annual mean of 3.8 °C
218 across the whole area, from 10.1 °C at S5 (continental influence) to 13.8 °C at E1
219 (Atlantic influence). All sites (Table 1) exhibited a mild winter with an overall range of
220 daily mean temperatures from -0.1 °C to 15.4 °C, respectively corresponding to the
221 continental sites and to the sites closest to sea level; values below 0 °C were only one
222 day in February at the two most continental and highest locations. The minimum
223 absolute temperature of all sites ranged from -3.5 to -0.25 °C, with a period below 0 °C
224 of only four days at the most Atlantic site (E1), and up to 30 days under continental
225 influence (E4). The maximum absolute temperature ranged between 25 °C in July at S3,
226 and 41 °C in June at E1.

227 The sites were grouped into three main clusters based on their monthly mean
228 temperatures of the two study years (Fig. 1b). The first cluster included only one site
229 (E1), namely the lowest location (100 m a.s.l.) at the Atlantic side, where the monthly
230 mean temperature ranged between 7.8 and 21 °C. The second cluster held the lowest
231 Cantabrian site S1(100 m a.s.l.), and all sites at mid elevation (200-500 m a.s.l.),
232 regardless of being at the Atlantic or Cantabrian side; the average temperature range in
233 this group was from 5.6 to 19 °C. The remaining locations belonged to the third cluster,
234 and included the two highest sites (650 m a.s.l.) of both watersheds, with monthly mean
235 temperatures ranging from 4.6 to 17.6 °C, being the coldest and most continental sites.

236 As regards differences between the altitudinal gradients along both rivers, mean
237 monthly temperatures differed more among the locations on the Atlantic side (western
238 exposure) than those towards the Cantabrian sea (northern exposure). There were also
239 differences between both study years, mainly an increase in March maximum
240 temperature in 2012, yielding an increment of the thermal amplitude due to the absence
241 of precipitations (Fig. S2).

242 **3.2 Relationships between wood phenological timings and the duration of wood** 243 **formation**

244 Many of the dates and durations of cambium phenological events were correlated to
245 each other (Table 2). Dates of detection of the first enlarging earlywood vessels were
246 positively correlated with those of the beginning of maturation in both earlywood ($r =$
247 0.821; $P < 0.001$), and latewood ($r = 0.560$; $P < 0.05$). Thus, a late onset of vessel

248 enlargement was associated to a delayed onset of vessel maturation. In contrast, no
249 correlation was observed between beginning of cell enlargement and its cessation of
250 expansion in latewood.

251 The duration of cell enlargement was negatively correlated to its onset ($r = -0.478$; $P <$
252 0.05), and positively to the date of cessation of cell expansion ($r = 0.791$; $P < 0.001$),
253 with a higher correlation for the latter. The duration of earlywood maturation was
254 positively correlated to the beginning of latewood maturation ($r = 0.665$; $P < 0.01$),
255 while there was no correlation to the onset of cell maturation or earlywood cell
256 enlargement although the present definition of latewood maturation has to be bear in
257 mind. The duration of latewood had a strong positive correlation to both duration ($r =$
258 0.808 ; $P < 0.001$) and cessation ($r = 0.726$; $P < 0.001$) of cell expansion, while it was
259 negatively correlated to the duration of earlywood formation ($r = -0.700$; $P < 0.01$), and
260 to the beginning of latewood maturation ($r = 0.703$; $P < 0.01$). Consequently, the
261 duration of cell enlargement and latewood maturation were more closely associated to
262 the cessation than to the onset of cell enlargement.

263 3.3 Phenological timings of xylem and leaves

264 The best fit of the logistic regression for all events, except for the cessation of xylem
265 growth (cE), was achieved by the models including the interaction between year and site
266 (Table 3). This indicates that the effect of the year on the delay or advancement of
267 xylem and crown growth varied across sites. For the cessation of xylem growth, the best
268 fit was provided by the model with year and site as independent main effects.

269 The beginning of cell enlargement started on average 10 days earlier in 2012 than in
270 2013, but while the differences were significant at six out of the nine sites (E1, E3, E4,
271 S2, S4, and S5), ranging from 23 days at E4 ($Z = -3.17$, $P < 0.01$) to 11 days at S2 ($Z =$
272 -2.34 , $P < 0.05$), this was not the case for the other three sites (E2, S1, and S3), which
273 ranged from one day at S3 ($Z = 0.34$, $P = 0.73$) to five days at S1 ($Z = 1.03$, $P = 0.30$).

274 The onset of cell maturation, which is linked to that of cell enlargement, also started on
275 average six days earlier in 2012 than in 2013, although differences were only significant
276 at three sites (E1, E3, and S5), and ranged from 15 days at S5 ($Z = -2.59$, $P < 0.01$) to
277 20 days at E3 ($Z = -2.92$, $P < 0.01$). At the other six sites (E2, E4, S1, S2, S3, and S4)

278 the differences between years ranged from one day at S4 ($Z = 0.17$, $P = 0.86$) to nine
279 days at E4 ($Z = -1.53$, $P = 0.13$).

280 With regard to leaf phenology, budburst occurred on average 20 days later than the
281 beginning of cell expansion in 2012 ($P < 0.001$), but synchronously in 2013 ($P = 0.57$).
282 Differences in the onset between years were significant at five sites (E4, S2, S1, S3, and
283 S4), ranging from 12 days at S4 ($Z = -3.4$, $P < 0.001$) to 29 days at S2 ($Z = 6.46$, $P <$
284 0.001), while they were only from one day at E3 ($Z = -0.16$, $P = 0.87$) to seven days at
285 E2 ($Z = 1.51$, $P = 0.13$) at the other four sites (E1, E2, E3, S5).

286 The onset of leaf unfolding was synchronous with that of earlywood maturation (bM) in
287 2012 ($Z = 0.03$, $P \approx 1$). Differences between years were only non-significant at S1 (13
288 days, $Z = 2.41$, $P = 0.01$) and S2 (11 days, $Z = 2.21$, $P = 0.02$), while the appearance of
289 small leaves was synchronous with earlywood maturation in 2013 ($Z = 0.579$, $P = 0.98$),
290 and did differ between years ($Z = -0.028$, $P = 0.97$).

291 **3.4 Relationship between primary and secondary growth**

292 Although the chronology of phenological events derived from the logistic regression
293 provided some information on the relationship between primary and secondary growth,
294 the random selection of trees per date allowed a further insight into this relationship,
295 with a high number of samples at tree level.

296 A total of 314 individuals from the whole data set showed the cambial phenophase ‘cell
297 expansion’, but had not started earlywood vessel maturation yet; we consequently
298 calculated the proportion of these individuals with different crown phenophases (Fig.
299 2a). Out of the 174 trees sampled in 2012, only one showed expanded leaves (more than
300 50% of their apparent final size), while there were eight trees out of 140 in 2013. The
301 number of trees at earlier phenological phases of crown development was higher,
302 reaching 42 at budbreak in 2012, and 61 in 2013, while even a higher number (107 in
303 2012; 30 in 2013) still presented close buds.

304 Our second approach aimed at defining which proportion of trees with mature
305 earlywood vessels showed each of the different crown phenophases, out of a total of
306 750 observations (Fig. 2b). The results showed an increasing proportion of trees with
307 mature cells during the successive phenophases of crown development. The evolution

308 was similar in both years, and trees with visible leaves were those that had a greater
309 proportion of mature earlywood cells.

310 These analyses point to a synchronization between primary and secondary growth, as
311 practically no expanding leaves existed at the moment earlywood cells began to enlarge.
312 A percentage of 91% out of 314 trees **enlarging** the first cells did not show any
313 phenological phases that were more advanced than leaf unfolding, whereas ca. 75% of
314 103 trees had begun the maturation of the first row of vessels concomitantly to the
315 appearance of small leaves.

316 **3.5 Temperature and tree growth relationships**

317 The sole mean annual temperature did not explain the differences in the onset and
318 cessation of primary and secondary growth among these nearby sites, but the adjusted
319 R^2 of their linear regression with progressive monthly temperatures highlighted the
320 relevance of certain periods prior to the phenological events (Fig. 3, Fig. 4). The
321 adjusted R^2 ranged between 0.00 and 0.61; the highest values corresponded to the
322 period between the second fortnight of February and the second fortnight of April for
323 maximum, minimum, and mean temperatures on the beginning of cell enlargement; and
324 from mid-March to mid-April for the effect of minimum temperature on the beginning
325 of budburst (Fig. 4). On the contrary, the cessation of cell enlargement did not show any
326 significant correlation. The thermal amplitude was only significant for the beginning of
327 cell enlargement, from February to the second fortnight of April, which is the period
328 with the greatest variations between years, as can be seen for March temperatures (Fig.
329 S2).

330 In order to verify if these variations appeared to drive the start of the active period, the
331 onset of each phenological event was related to March temperature at each site,
332 including the effect of year as a fixed factor (Table 4). For all linear regressions, the
333 interaction between year and temperature was not significant, suggesting that the
334 response to temperature was similar in both years.

335 Budburst was not affected ($P > 0.05$) by maximum temperature from mid-March to
336 mid-April, which only explained 15% of the total variation, while mean temperature
337 explained 47% and 53% of total and the within-year variation (Table 4). Early-spring
338 minimum temperature explained 56% of the variation in the onset of budburst among

339 sites, which averaged 107 ± 12.8 and 98 ± 9.3 DOY in 2012 and 2013, associated to
340 mean minimum temperatures of 5.3 and 5.8 °C respectively. Dates of budburst predicted
341 by these temperatures were 107 ± 2.5 and 104 ± 2.5 DOY in 2012 and 2013
342 respectively, decreasing by 7.22 days °C⁻¹ (Table 4). The beginning of the successive
343 phenophases (leaf unfolding, leaves < 50% of their final size, and > 50% of their final
344 size) was also explained by mid-March to mid-April temperature, because the delay in
345 budburst affected the successive leaf phenophases (Fig. 3).

346 March maximum temperature explained 62% of the variation in the onset of cell
347 enlargement, which averaged 86 ± 7.8 and 98 ± 7.7 DOY in 2012 and 2013
348 respectively. The predicted values were 86 ± 1.9 and 97 ± 1.9 DOY, advancing by 2.82
349 days °C⁻¹ (Table 4), for mean maximum temperatures in March of 15.8 and 11.7 °C in
350 2012 and 2013.

351 The beginning of latewood cell maturation was also explained by March temperature
352 (Table 4), because the delay in cell enlargement affected the onset of cell maturation
353 and latewood, as shown by the strong correlation between both events (Table 2 and Fig.
354 5). However, the interval between these two phases, which is the duration of
355 enlargement of the first row of vessels (d1r), was neither correlated ($P > 0.05$) to the
356 onset cell expansion nor to maturation (Table 2). Nevertheless, the linear model showed
357 that 43% of the variation recorded in this interval (d1r) was explained by the average
358 maximum temperature during its duration (April) with an advance of 2.22 days °C⁻¹
359 (Table 4). The average maximum temperature in April across all sites was 11.3 °C in
360 2012, and 13.3 °C in 2013; and predicted durations of enlargement of the first vessels
361 row were 32 ± 1.5 and 28 ± 1.5 days, with recorded averages of 33.1 ± 5.9 and 27.6 ± 2
362 days, respectively.

363 The duration of maturation of both earlywood ($P > 0.5$) and latewood could not be
364 explained by the variation in temperature, although latewood duration tended ($P = 0.05$)
365 to respond to October maximum temperature (Table 4), probably pointing out the
366 existence of a late pulse of growth in autumn if weather conditions permit.

367 As a result, it seems that the thermal influences of late winter (March) are transferred to
368 the successive phenological events of primary and secondary growth, because one
369 phenological phase leads to comparable shifts in the successive phases (Fig. 5).

370 **4. Discussion**

371 **4.1 Linear patterns between events and durations of xylogenesis**

372 The correlations between xylem timings and durations let us verify the existence of
373 common relationships, and also support the evidence of internal and external (mainly
374 thermal) drivers. [Wood growth dynamics seemed to be homogeneous among sites, and](#)
375 [the sequence of dates of successive phenological timings and durations are closely](#)
376 [interconnected.](#)

377 The beginnings of the different recorded events of xylogenesis (bE, bM, bLW) were
378 positively correlated. This was somehow expected, since they are consecutive timings
379 where the first phenological phase (bE) leads to comparable shifts of the successive
380 phases, as previously reported [for *Q. pyrenaica* and *Q. robur* under temperate climates](#)
381 [by Pérez-de-Lis et al. \(2016\), and for conifers in cold environments \(Rossi et al., 2013\).](#)
382 In contrast, the onset and cessation of cell enlargement were not directly related. To this
383 respect, it should be noted that onset and end of xylogenesis involve different parts of
384 the xylem, i.e., the first and the last cell produced (Rossi et al., 2013). Nevertheless,
385 both phenological events showed significant correlations with the duration of cell
386 enlargement. Although cessation of xylem growth explained more variation in the
387 relation between events and durations (62%) than the beginning of cell enlargement
388 (23%), the latter becomes important because the variation of spring phenology is more
389 closely linked to favorable conditions for photosynthesis than variations in autumnal
390 growth season (Chuine, 2010).

391 The duration of vessel expansion in the first row as associated to spring temperature
392 (mainly maximum), but was neither correlated to the onset of cell enlargement nor to
393 the beginning of cell maturation. These results do not support the existence of a causal
394 relationship between overall duration of vessel expansion and the dates of their onset
395 and cessation. However, Pérez-de-Lis et al. (2016) found that longer periods of vessel
396 expansion were related to higher hydraulic diameters in the first row of vessels for *Q.*
397 *robur*, while Souto-Herrero et al. (2017) analyzed long vessel chronologies of *Q. robur*,
398 showing that environmental conditions in early spring were also linked to vessel
399 diameter in this first row. Consequently, we suggest that it is spring temperature that
400 controls the duration of vessel expansion, which in turn determines the final vessel size.

401 **4.2 Primary and secondary growth relationships**

402 There **is** an increasing interest **in** the relationships between primary and secondary
403 growth in ring-porous trees (e.g., Sass-Klaassen et al. (2011)), in order to understand the
404 influence of climate on the development of earlywood vessels, because it has been
405 shown to be a powerful dendroclimatic proxy (Souto-Herrero et al., 2017). This linkage
406 between crown phenology and intra-annual dynamics of xylem formation has been
407 investigated for different ring-porous hardwood species, as summarized by Kitin and
408 Funada (2016). According to the literature, the enlargement of the first vessel elements
409 starts before budburst, but their maturation onset differs **among** studies (Kudo et al.,
410 2015; Pérez-de-Lis et al., 2016; Puchałka et al., 2017); in fact, Puchałka et al. (2017)
411 attributed such differences to genetic variability. But the number of individuals was
412 very limited in previous studies; **in contrast**, our study based on a sample size of 750
413 trees randomly selected during spring in two years. We observed that only 38-46% of
414 the individuals had started earlywood vessel maturation at the moment of leaf
415 unfolding, and even most of the trees with small leaves (76-85%) had already
416 undergone the maturation of the first vessel row. In addition, out of a sample of 314
417 trees with earlywood cell enlargement and still no secondary wall, more than the half
418 had not achieved budburst yet (75% in 2012, 56% in 2013), and a small proportion
419 (14% in 2012, 22% in 2013) was at the stage of budburst; later leaf phenophases were
420 hardly detected at this stage of vessel enlargement.

421 In view of these findings, we hypothesize that the newly-formed vessels are not capable
422 of supplying enough water for bud swelling and leaf unfolding in most trees yet. In this
423 sense, Pérez-de-Lis et al. (2016) also reported that secondary wall deposition was not
424 initiated at the moment of budburst in some *Q. robur* trees, Kudo et al. (2015) found
425 that the first earlywood vessels were completed along the entire stem only when small
426 leaves were visible to the naked eye in *Q. serrata*; and Guada et al. (2018) described the
427 maturation of the first vessel row to be concomitant with the leaf phenophases having
428 the greatest demand for water, such as leaf unfolding and extension. Kitin and Funada
429 (2016) concluded that water requirements for the initial leaf development needed to be
430 fulfilled by latewood vessels formed in previous years. Therefore, these latewood
431 vessels are probably enough to provide water before the appearance of small leaves, as
432 requirements at this stage are minimal in contrast to the amount of water needed for full
433 leaf expansion, which should require new functional earlywood vessels for more water

434 conduction (Lavrič et al., 2017). Thus, Guada et al. (2018) quantified the water content
435 of different leaf phenophases in *Q. pyrenaica*, and found that water transport of newly-
436 formed earlywood vessels was required to maintain the turgidity of extending leaves
437 and stems, but was not fundamental for the earliest phenological stages (bud swelling
438 and leaf unfolding).

439 **4.3 Phenology and temperature**

440 The relationship between cambial activity and leaf development previously described
441 help us understand the role of new earlywood vessels on the successful completion of
442 crown development in ring-porous hardwoods. However, this internal relationship can
443 be modified by external factors such as climatic conditions, which can somehow lead to
444 advances or delays in the different phenological events.

445 Spring temperature is the major driving force for cambium and crown reactivation, but
446 most investigations on its relation to xylogenesis were based on conifers at high
447 latitudes or elevations (Rossi et al., 2016; Rossi et al., 2008). Our results based on a
448 temperate ring-porous hardwood suggest that resumption of primary growth requires a
449 minimum threshold temperature, which is probably related to the need of a certain
450 thermal accumulation (Wilkinson et al., 2017). On the other hand, expansion of cambial
451 derivatives is more dependent on concomitant warm temperatures. The fact that
452 budburst is more linked to minimum temperatures while cell enlargement relies on
453 maximum values causes that the process of vessel maturation can take place slightly
454 before or after leaf unfolding depending on the conditions of each specific year. In fact,
455 we estimate that minimal temperature from mid-March to mid-April increases budburst
456 by 7.2 DOYs °C⁻¹, while March maximum temperature anticipates cell enlargement by
457 2.8 DOYs °C⁻¹, so that the variations between both processes are not coupled. However,
458 the new functional earlywood vessels are required for full shoot and leaf expansion
459 (Guada et al., 2018).

460 The influence of temperature on cambium reactivation has also been confirmed for
461 other deciduous hardwoods. Thus, Kudo et al. (2014) showed that localized heating for
462 six weeks induced earlier cambial reactivation in seedlings of *Q. serrata*, while a similar
463 result was found for a 4-week heating in poplar (*P. sieboldii* x *P. grandidentata*)
464 (Begum et al., 2007). In both studies, elevated temperature (20 ± 5 °C) caused earlier

465 xylem differentiation, suggesting that the variation of temperature modifies the onset of
466 cell enlargement. Our study evidenced that the maximum temperature and thermal
467 amplitude (i.e., the difference between maximum and minimum daily temperatures)
468 anticipated cell enlargement in 2012 compared to 2013, as shown by the average of 90
469 trees. In contrast, the minimum temperature was not able to explain the differences in
470 cell enlargement between years. According to Begum et al. (2010), cambial reactivation
471 can be predicted considering the daily maximum temperature; likewise, we are the
472 opinion that an increasing temperature (and thermal amplitude) is an important factor
473 for cambial differentiation of the first vessels elements in the ring-porous hardwood *Q.*
474 *robur*.

475 Although crown development has been reported to require a minimum threshold to
476 break dormancy (Caffarra and Donnelly, 2011; Prislán et al., 2013; Wilkinson et al.,
477 2017), budburst is considered to be also induced by photoperiod (Basler and Korner,
478 2014). Yet, photoperiod differences are small in our study due to the latitudinal
479 proximity among all sites; as a result, minimum spring temperature appears to be the
480 main factor affecting budburst of *Q. robur* in this area. This is consistent with the results
481 reported by Wilkinson et al. (2017) for the south of England, who found a negative
482 correlation between the date of budburst and the mean daily air temperature for *Q.*
483 *robur* and *Q. petraea*, whereby spring warming had a considerably larger effect on
484 budburst than winter chilling.

485 While wood formation responds to temperature increments probably by increasing its
486 growth rate, it is the variation in the minimum and maximum temperatures that
487 advances or delays the onset of primary and secondary growth differently across years
488 and sites (Fig. 6a). The broad sampling strategy involving nine sites and two study
489 years allowed us to estimate the average threshold temperature for each event (Fig. 6b).
490 The different timing for the beginning of cell expansion can be explained by the
491 considerable variation in maximum temperature between years; but this is not the case
492 for leaf unfolding, which hardly varied between 2012 and 2013, probably due to the
493 similar values of minimum temperature.

494 **4.4 Conclusions**

495 Primary and secondary growth of *Q. robur* at its southwestern distribution boundary
496 was closely linked to the moment of budburst and vessel enlargement. Practically all
497 trees began earlywood vessel enlargement before budburst, and only when at least the
498 first new vessels had completed maturation, did current leaves undergo full expansion.
499 Therefore, previous year's vessels are enough to fulfill water requirements for budburst
500 and initial leaf growth, but current year's vessels are needed to provide enough water
501 for further leaf and shoot development.

502 Differences in spring (or late winter) temperature regime between years and among sites
503 were able to explain the advancement and delay of leaf and earlywood development.
504 However, shoot and cambium activity were not controlled by the same environmental
505 conditions, because minimum temperature determined budburst, whereas maximum
506 temperature was responsible for the onset of vessel formation. Consequently,
507 understanding the environmental control of growth resumption in *Q. robur* is not
508 straightforward, because not only the timings, but also the relationship between primary
509 and secondary growth, can be modified by external factors.

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520 **6. Author Contribution**

521 I.G-G and G.G. planned and designed the research. G.G. performed experiments,
522 conducted fieldwork, and analysed data. G.G, I.G-G., and R. V-R interpreted the results.
523 G.G. and I.G-G. wrote the manuscript, with inputs from R.V-R.

524

525 **Tables**

526 **Table 1** Description of the sites included in the analysis, with their identification codes
 527 (ID), the corresponding river catchment (Eume, Atlantic; and Sor, Cantabrian), site
 528 name, geographical location, elevation, and diameter at breast height (DBH) of the
 529 sampled trees. Annual temperature (Temp) and precipitation (Prec) values obtained
 530 from Rodríguez-Lado et al. (2016) (source: digital database at www.rgis.cesga.es).

ID	River	Latitude (N)	Longitude (E)	Elevation (m a s l)	DBH (cm)	Temp (°C)	Prec (mm)
E1	Eume	43.416991	-8.064395	125	29.1 ± 4.5	13.2	1158
E2	Eume	43.372757	-7.991181	350	29.0 ± 5.4	12.2	1268
E3	Eume	43.469576	-7.788688	450	29.3 ± 4.4	12.0	1427
E4	Eume	43.448001	-7.634295	600	30.0 ± 4.8	9.5	1526
S1	Sor	43.676000	-7.708347	125	29.5 ± 5.2	13.0	1194
S2	Sor	43.594782	-7.721225	275	28.0 ± 5.4	12.9	1232
S3	Sor	43.556076	-7.740287	350	30.0 ± 5.8	12.4	1346
S4	Sor	43.529401	-7.736388	500	24.8 ± 3.9	12.4	1361
S5	Sor	43.495749	-7.739470	625	25.7 ± 3.6	11.0	1442

531

532

533 **Table 2** Pearson's correlation matrix between the date of cambium phenology events
534 (DOY) and the duration of wood maturation phases (days). The events are: beginning of
535 **cell enlargement** (bE); earlywood maturation (bM); latewood maturation (bLW); and
536 **cessation of cell expansion** (cE with a normal-transformation Y^2). The durations are
537 referred to cell expansion (dE), earlywood maturation (dEW), latewood maturation
538 (dLW), and cell expansion of first row of earlywood vessels (d1r).

	bE	cE	bM	bLW	dE	dEW	dLW	d1r
bE			< 0.001	< 0.05	< 0.05			
cE	0.170				< 0.001		< 0.001	
bM	0.821	0.358						
bLW	0.560	-0.022	0.562			< 0.01	< 0.01	
dE	-0.478	0.791	-0.197	-0.357			< 0.001	
dEW	-0.086	-0.349	-0.244	0.665	-0.240		< 0.01	
dLW	-0.270	0.726	-0.138	-0.703	0.808	-0.700		
d1r	-0.192	0.361	0.402	0.069	0.428	-0.282	0.197	

539

540

541 **Table 3** Generalized linear models to evaluate the effect of site and year on the
542 occurrence of the main phenological events. AIC increments (DAICc) for each model
543 are shown as compared to those of the model with the lowest score (the best-fitted
544 model). Selected models are highlighted in bold. **Beginning of cell enlargement** (bE);
545 **beginning** of maturation (bM); **beginning** of latewood maturation (bLW); cessation of
546 cell expansion (cE); budburst (BB); leaf unfolding (LU); <50% of final leaf size (SL);
547 >50% of final leaf size (FL); d.f. refers to degrees of freedom.

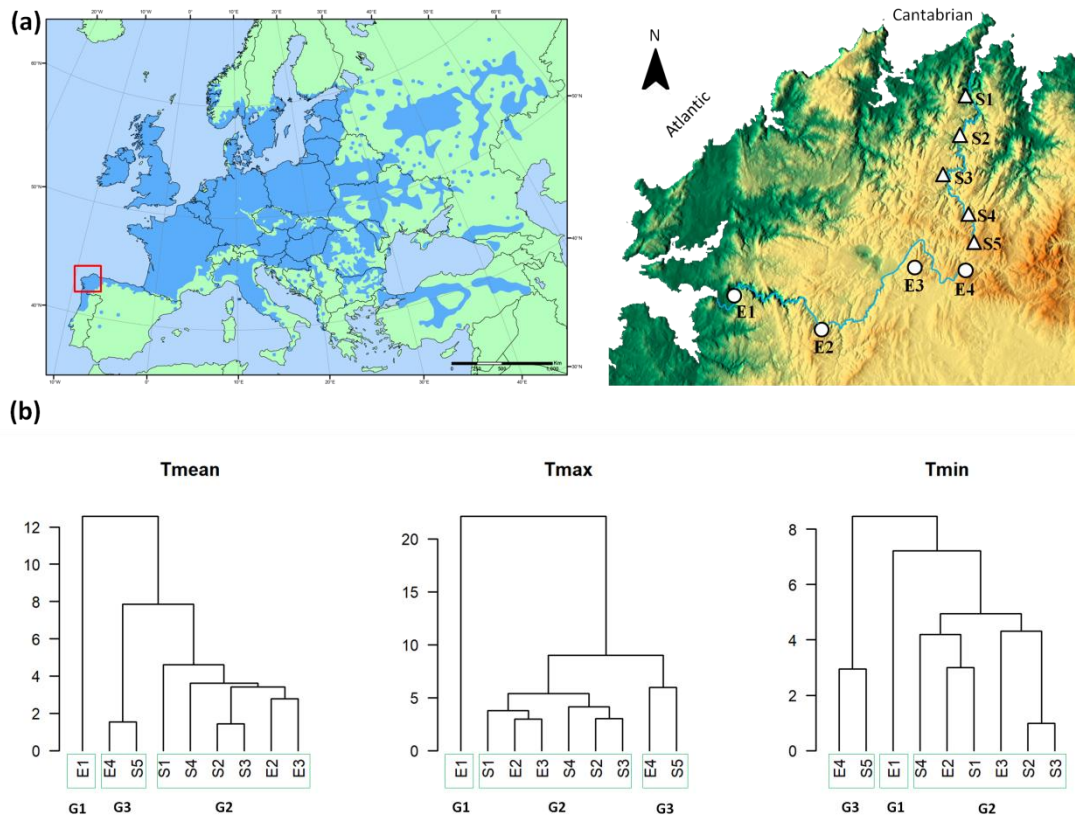
Fixed effects	d.f.	Xylogenesis				Leaf phenology			
		bE	bM	bLW	cE	BB	LU	SL	FL
DOY + Site x Year	19	0	0	0	3.50	0	0	0	0
DOY + Site + Year	11	30.94	11.32	6.52	0	84.29	4.01	21.34	13.92
DOY + Site	10	66.06	19.69	52.80	5.98	106.54	5.05	19.45	23.63
DOY + Year	3	130.39	87.88	43.53	20.97	208.96	170.68	199.68	231.26

548

549 **Table 4** Significant ($P < 0.05$) statistical values of the linear regression for the different
550 events, expressed as day of the year (DOY) or duration in days as dependent variable;
551 and the mean, amplitude (Ampl.) minimum (Min.), and maximum (Max.) site
552 temperature for the period of each event as independent variable; year is considered as
553 fixed factor. The values shown are: intercept (a); slope (b); residual standard error of the
554 regression (RSE); and adjusted R^2 of the model, including temperature and year effects
555 (Adj. R^2). The P -value of Type I test is provided for the temperature effect, as well as
556 the difference between years, expressed in days, whenever this effect was significant.
557 Variables with the highest R^2 are shown in bold.

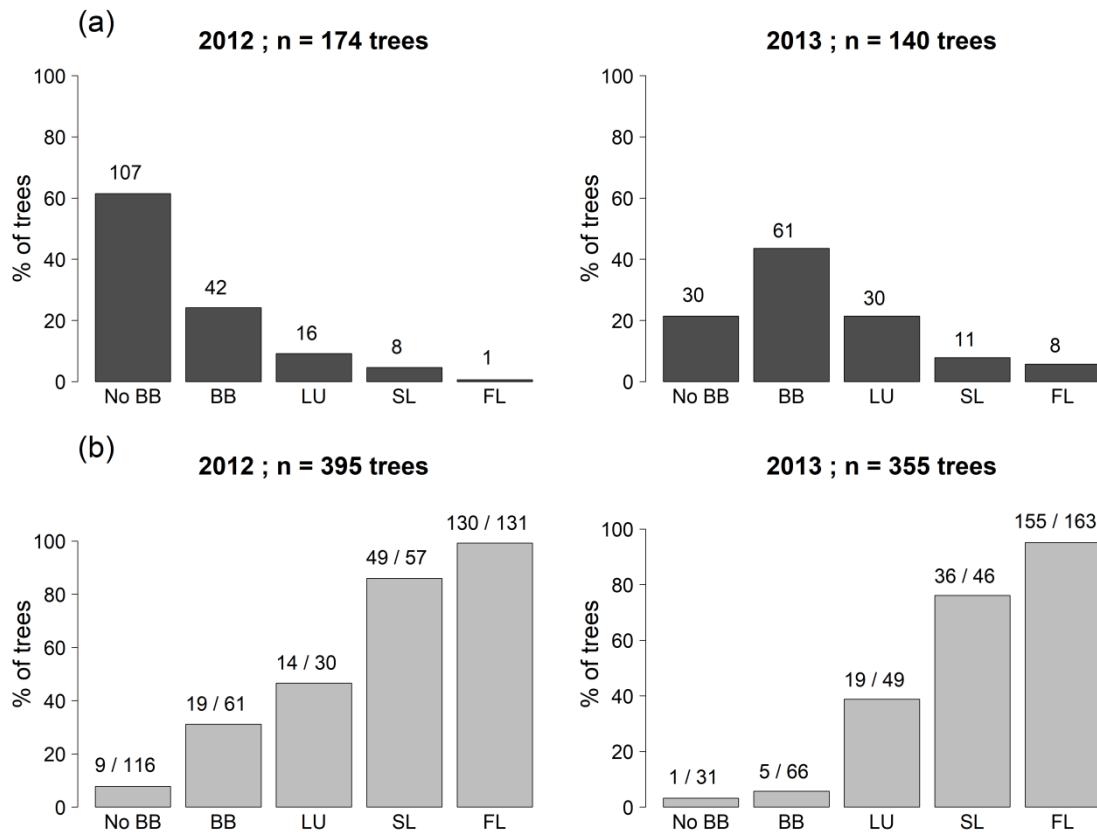
Events	Period	Temp.	b	a	RSE	Year effect	Type I P	Adj. R^2
Beginning of cell enlargement (bE)	March	Mean	137.99	-5.09	6.68	ns	< 0.001	0.5219
		Max.	130.69	-2.82	5.99	ns	< 0.001	0.6161
		Ampl.	122.31	-3.90	6.24	ns	< 0.001	0.5821
Budburst (BB)	Mid-March to mid-April	Mean	165.90	-6.40	8.94	-10	< 0.01	0.472
		Min.	145.79	-7.22	8.06	ns	< 0.001	0.567
Beginning of cell maturation (bM)	March	Mean	172.51	-5.54	6.90	ns	< 0.001	0.555
		Min.	147.78	-5.37	8.21	9	< 0.05	0.3719
		Max.	190.16	-4.48	6.36	-12	< 0.001	0.6225
Duration of 1 st vessels enlargement (d1r)	April	Mean	53.98	-2.72	4.79	ns	< 0.01	0.367
		Min.	46.62	-2.83	5.13	ns	< 0.05	0.271
		Max.	57.77	-2.20	4.52	ns	< 0.01	0.434
Duration of latewood (dLW)	October	Max.	-27.103	5.78	14.26	-23	0.05	0.45

558



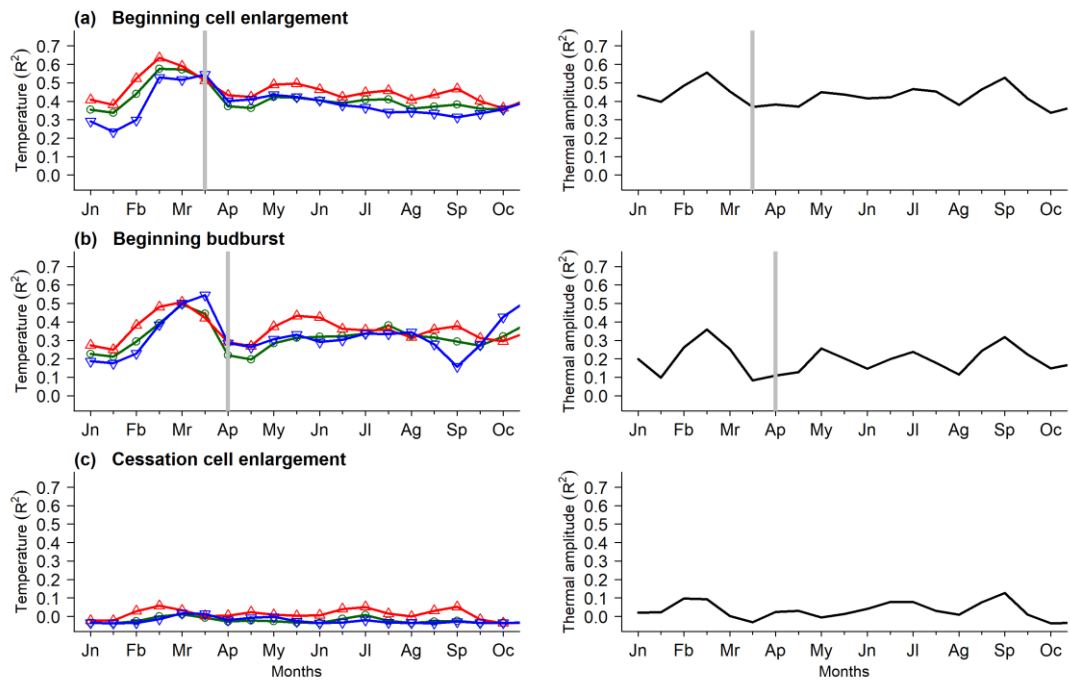
560
 561 **Figure 1.** Location of the study sites in the northwestern Iberian Peninsula, and
 562 corresponding hierarchical classification following temperature regime. (a) *Q. robur*
 563 distribution map (www.euforgen.org); and the study sites, Eume (E1-E4) and Sor (S1-
 564 S5) river. (b) Dendrograms of monthly mean, maximum, and minimum temperatures
 565 for the nine sites, clustered into three similar climatic conditions G1, G2, G3.

566



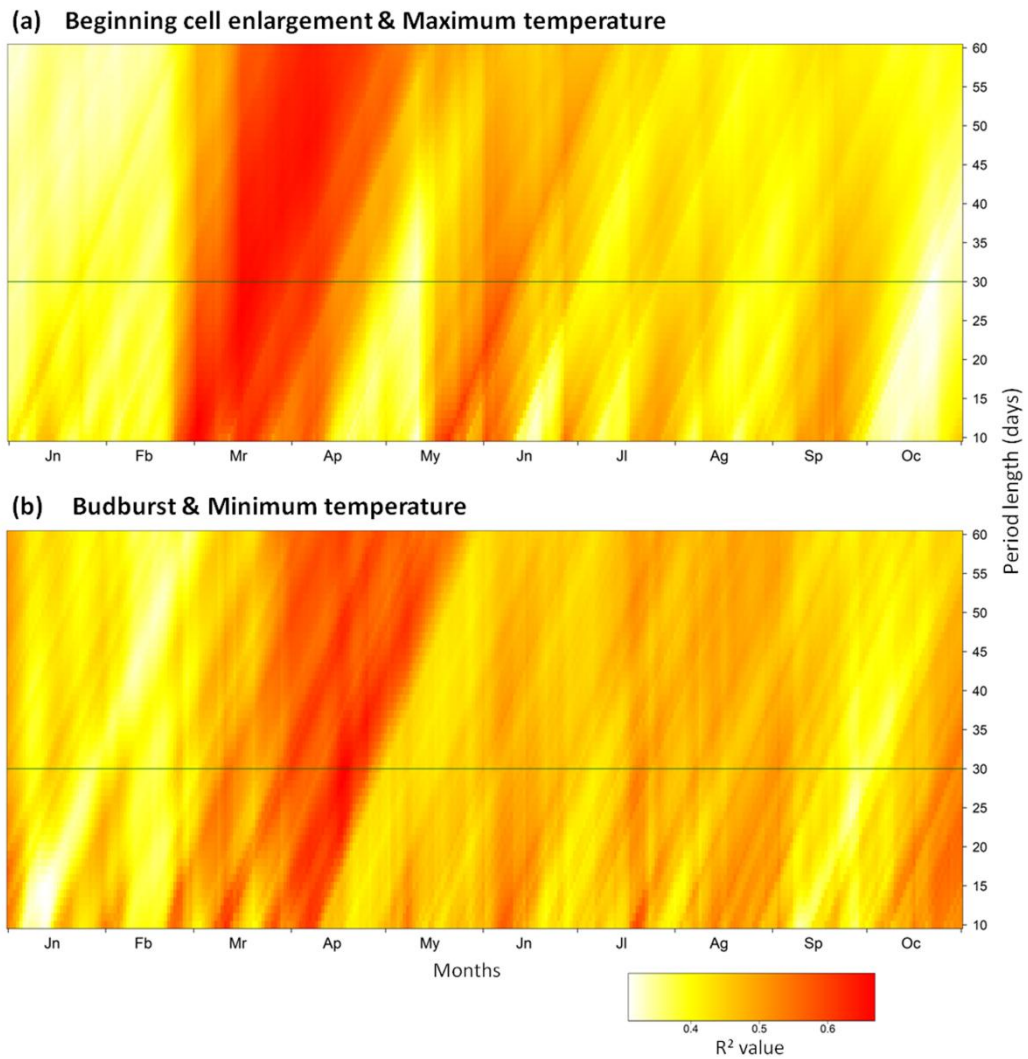
567
 568 **Figure 2** Relationship of primary and secondary growth at tree scale. **(a)** Percentage of
 569 different crown phenophases at the moment of beginning of cell enlargement; number
 570 of trees per each crown phenophase are indicated above the column. **(b)** Percentage of
 571 trees with vessel maturation for each crown phenophase in spring; the number of trees
 572 with maturation out of the total amount trees for each specific crown phenophase is
 573 indicated above the column. No budburst (No BB), budburst (BB), leaf unfolding (LU),
 574 small leaves (SL), full leaf expansion (FL).

575



576 | **Figure 3** Adjusted R-square of the linear regression of 30-day average temperature at
 577 each site on the dates of beginning and cessation of vessel enlargement and budburst.
 578 Regressions were calculated for progressive periods separated in 15 days. *Line (without*
 579 *points)*: thermal amplitude; *blue line (triangle down)*: minimum temperature; *green line*
 580 *(circle)*: mean temperature; and *red line (triangle up)*: maximum temperature.

582

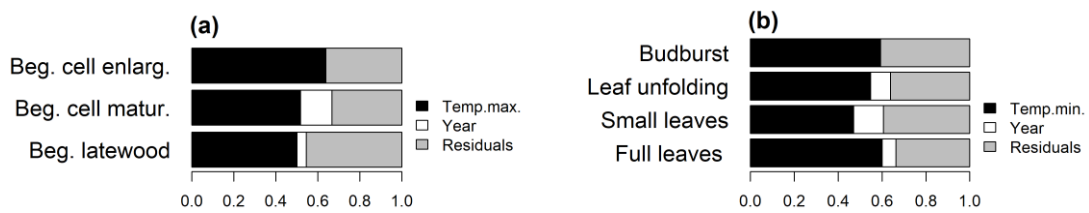


583

584 **Figure 4** Adjusted R-square of linear regression relating the dates of (a) maximum
 585 temperature on beginning of cell enlargement, and (b) minimum temperature on
 586 budburst. Running means were calculated for periods of 10 to 60 days, shifted in one
 587 day. Horizontal line indicates the average for a 30-day period.

588

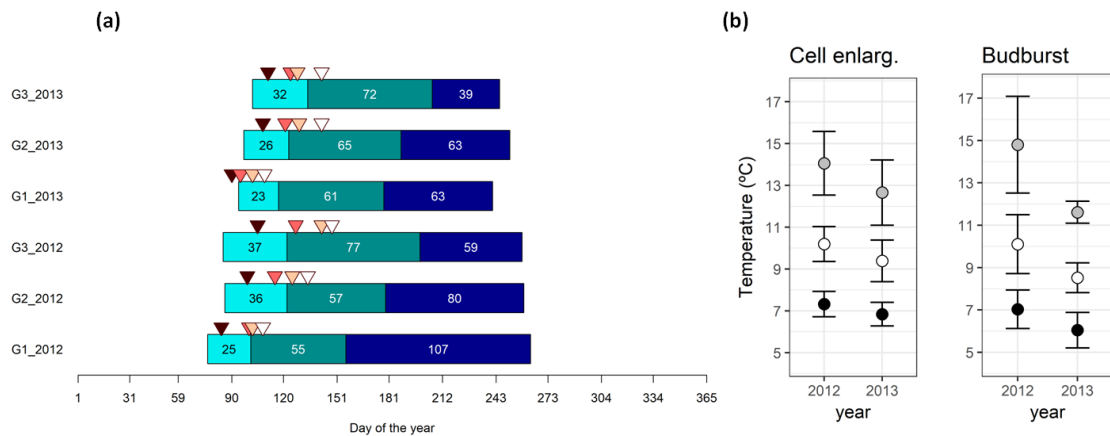
589



590

591 **Figure 5** Variance partition of phenological events with temperature and year as
592 independent variables. **(a)** Contribution of the March average maximum temperature to
593 total variability of the phases of the xylem (beginning of cell enlargement, beginning
594 cell vessel maturation, and beginning latewood maturation). **(b)** Contribution of the
595 average minimum temperature from mid-March to mid-April to total variability of the
596 phases of the crown (budburst, leaf unfolding, small leaves, and full leaf extension).

597



598

599 **Figure 6** (a) Sequence of the timings for the events of wood formation and crown
600 phenology for groups and years. G1 (Atlantic influence; N=10), G2 (intermediate;
601 N=60), G3 (continental influence; N=20) in 2012 and 2013. Wood formation events are
602 indicated by boxes in the bar diagrams (pale: cell enlargement; intermediate: earlywood
603 maturation; dark: latewood maturation; triangles refer to leaf phenology, with lighter
604 colors progressively referring to later stages (budburst, leaf unfolding, leaves with
605 <50% of its apparent final size, and leaves with >50% of its apparent final size. (b)
606 Thresholds of temperatures (minimum (black dots), mean (white dots) and maximum
607 (grey dots)), corresponding with the 0.5-probability of appreciation of cell enlargement
608 and budburst for *Q. robur* in 2012 and 2013. Error bars indicate the standard deviation
609 among the nine sites.

610

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Supporting Information

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